

# The Bannach - Tarski Theorem

Thms- let  $A, B \subset \mathbb{R}^3$  bounded subsets with non-empty interior, then  $\exists n \in \mathbb{N}$  and  $\{A_i\}_{i \leq n}, \{B_i\}_{i \leq n}$  partitions of  $A, B$  s.th  $A_i$  is congruent to  $B_i$  for all  $i \leq n$

loosely speaking, one can find a partition of  $A$  into  $n$  subsets and rearrange them using only rigid transformation "rotation, translation" to get  $B$ .

Remark:- the theorem implies the existence of non measurable sets.

let  $\mathcal{P}(\mathbb{R}^3)$  be the power set of  $\mathbb{R}^3$ ,  $(\mathbb{R}^3, \mathcal{P}(\mathbb{R}^3))$  is a measurable space

one defines  $\mu: \mathcal{P}(\mathbb{R}^3) \rightarrow \mathbb{R}$  to be the measure that corresponds to volume

So if  $A \in \mathcal{P}(\mathbb{R}^3), B \in \mathcal{P}(\mathbb{R}^3)$ ,  $\{A_i\}_{i \leq n}, \{B_i\}_{i \leq n}$  are partitions of  $A, B$

let  $g = \{g_i: \mathbb{R}^3 \rightarrow \mathbb{R}^3 \mid g_i: x \mapsto x + y_i \text{ where } y_i \text{ is a fixed vector in } \mathbb{R}^3\}$

then  $g$  represents the set of all translations

let  $SO_3 = \{R_i \in M_3(\mathbb{R}) \mid R_i R_i^T = I_3, \det(R_i) = 1\}$

then  $SO_3$  is the set of all rotations

since  $\mu$  is the volume, then it should be invariant under the effect of  $g$  and  $SO_3$

Based on B-T theorem, we can write  $B_i$  in the following way

$B_i = g_i R_i A_i$  "we just rotated and moved  $A_i$  to get  $B_i$ "

then  $B = \bigcup_i B_i = \bigcup_i g R_i A_i$

now one has

$$\mu(B) = \mu\left(\bigcup_i g_i R_i A_i\right) = \sum_i \mu(g_i R_i A_i)$$

since  $\mu$  is invariant then

$\mu(B) = \sum_i \mu(A_i) = \mu(A)$  then we showed that the volume of  $A, B$

is the same. which is a contradiction. This implies that the set  $A_i, B_i$  are non-measurable with the measure  $\mu$  for ~~all~~ some  $i \leq n$

The B-T thm is valid in  $\mathbb{R}^3$  and in higher dimensions ( $\mathbb{R}^n$ ) but it fails when  $n=1, 2$ . however, we can show a similar result with by partitioning the sets into infinite number of sets.

we do a proof of a similar result in  $\mathbb{R}^2$ .

let  $S \subset \mathbb{R}^2$  be the unit circle centered at the origin.

let  $\mathcal{P} = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in M_2(\mathbb{R}) \mid \theta = 2\pi n \text{ where } n \in \mathbb{Q} \cap [0, 1) \right\}$

is the set of all rotations by a rational multiple of  $2\pi$

now because the number of elements in  $\mathcal{P}$  depends on the allowed values for  $n$  and because  $\mathbb{Q} \cap [0, 1)$  is countable then  $\mathcal{P}$  is also countable. hence, one can numerate the elements of  $\mathcal{P}$ .

So, let  $\mathcal{P} = \{ \mathcal{P}_i \}_{i \in \mathbb{N}}$

let  $P \in S$  then one define

$$\mathcal{P}(P) := \{ \mathcal{P}_i(P) \mid \mathcal{P}_i \in \mathcal{P} \}$$

clearly if  $q, q' \in \mathcal{P}(P)$  then one can always find  $\varphi \in \mathcal{P}$  s. th  $\varphi(q) = q'$ . then  $\mathcal{P}(P)$  form an equivalent class.

Applying  $\mathcal{P}$  to all points in  $S$  partitions  $S$  into an uncountable number of equivalent classes.

By the axiom of choice, one picks up one element from each equivalent class to form a set. we call it the choice set  $M$ . we define

$$\mathcal{P}_i(M) := \{ \mathcal{P}_i(P) \mid P \in M \}$$

$$\mathcal{P}(M) := \bigoplus_i \mathcal{P}_i(M)$$

clearly  $\mathcal{P}(M) = S$

Remark:- let  $A, B \in \mathcal{P}$  then one can always find  $C \in \mathcal{P}$  s.th  
 $A = CB$

one define  $\psi_{2i}, \psi_{2i-1}$  s.th

$$\psi_{2i} \mathcal{P}_{2i} = \mathcal{P}_i, \quad \psi_{2i-1} \mathcal{P}_{2i-1} = \mathcal{P}_i$$

then, we can define a bijection between the even numbered elements of  $\mathcal{P}$  and  $\mathcal{P}$  itself. hence, we have:-

$$\mathcal{P} = \{ \psi_{2i} \mathcal{P}_{2i} \}_{i \in \mathbb{N}} = \{ \psi_{2i-1} \mathcal{P}_{2i-1} \}_{i \in \mathbb{N}}$$

then

$$S = \bigoplus_i \mathcal{P}_i(M) = \left[ \bigoplus_i \mathcal{P}_{2i}(M) \right] \oplus \left[ \bigoplus_i \mathcal{P}_{2i-1}(M) \right]$$
$$= \bigoplus_i \psi_{2i} \mathcal{P}_{2i}(M) = \bigoplus_i \psi_{2i-1} \mathcal{P}_{2i-1}(M)$$

Q.E.D.

### Some remarks:-

In the case of the circle in  $\mathbb{R}^2$ , we had to divide it into countable infinite number of subsets. When we go to  $\mathbb{R}^3$  and higher dimensions where B-T theorem holds, one can get the same paradoxical results with finite number of pieces. "actually five pieces are enough for balls in  $\mathbb{R}^3$ "

⇒ the paradox is actually a result of the complexity of the group of rotations. "note that, we used the concept of cardinality of the set of rational rotations to prove the result for circles." so the theorem is actually about the complexity of  $SO_n$

"  $SO_n := \{ A \in M_n(\mathbb{R}) \mid A A^T = I_n, \det(A) = 1 \}$  "

general notions:-

In a more general settings, we have:-

let  $X$  be a set,  $\mathcal{P}(X)$  is the power set, then  $(X, \mathcal{P}(X))$  is a measurable space. then let  $\mu$  be a measure on  $(X, \mathcal{P}(X))$  then  $(X, \mathcal{P}(X), \mu)$  is a ~~measurable~~ measure space.

now let  $\mathcal{G} = \{ g : \mathcal{P}(X) \rightarrow \mathcal{P}(X) \mid \mu(g(P)) = \mu(P) \forall P \in \mathcal{P}(X), \forall g \in \mathcal{G} \}$   
s.th  $P$  is measurable }

now suppose  $E \in \mathcal{P}(X)$ . we say  $E$  is  $\mathcal{G}$ -paradoxical "paradoxical with respect to  $\mathcal{G}$ " if for some  $m, n$  there exist  $g_1, \dots, g_m \in \mathcal{G}$  and  $h_1, \dots, h_n \in \mathcal{G}$  and pair wise disjoint  $A_1, \dots, A_m$  and  $B_1, \dots, B_n$  s.th  $E = \cup g_i(A_i) = \cup h_j(B_j)$  and we say that  $A_i, B_j$  are non-measurable  $\subseteq E$

by the measure  $\mu$  for some  $i, j$   
\* note that in that definition,  $\{A_i\}_i^m \cup \{B_j\}_j^n$  may not cover all of  $E$   
\* note that  $\{g_i(A_i)\}$  may not be pair wise disjoint, similarly for  $\{h_j(B_j)\}$

The B-T thm shows that any ball in  $\mathbb{R}^3$  is paradoxical w.r.t.  $\{O_3\}$  "rotation"

The example we showed proves that circles in  $\mathbb{R}^2$  are paradoxical w.r.t  $\{O_2\}$  "rotation"

The results arise from the complexity of the set  $\mathcal{G}$  and the freedom allowed by the measurable space.