

Discrete Convex Optimization for Left-Right Action (nc-rank & det)

Part II

Hiroshi Hirai

The University of Tokyo

JST PRESTO

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Masaki Hamada & Motoki Ikeda

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Contents

0. (nc-)Edmonds problem, Motivation from combinatorial optimization

1. Deg-Det: A weighted version of (nc-)Edmonds problem

A weighted version of FR formula, polyhedral interpretation

Discrete convex optimization on Euclidean building

2. Reduction of nc-rank over \mathbb{Q} to that over $GF(p)$ by p -adic valuation

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on CAT(0) spaces, *SIAM Journal on Applied Geometry and Algebra*, 2021.

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on Euclidean buildings, *SIAM Journal on Applied Geometry and Algebra*, 2019.

Edmonds Problem Edmonds 1967

Can we compute the rank of

$$A = A_1x_1 + A_2x_2 + \cdots + A_mx_m$$

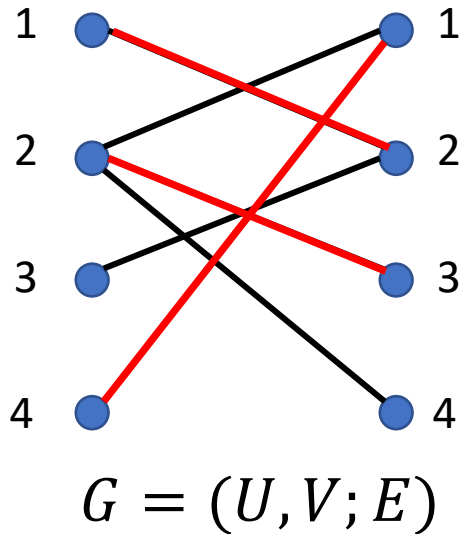
in polynomial time ?

x_i : variables, A_i : $n \times n$ matrices over field \mathbb{K}

A : matrix over $\mathbb{K}[x_1, x_2, \dots, x_m] \subset \mathbb{K}(x_1, x_2, \dots, x_m)$

- RP, but P ? (for large field)
- Related to fundamental problems in diverse areas
~ combinatorial optimization, rigidity theory, TCS,...

Motivation in combinatorial optimization: Algebraic interpretation of bipartite matching



$$A = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{pmatrix} & & & \\ & x_{12} & & \\ x_{21} & & x_{23} & x_{24} \\ & x_{32} & & \\ & & & x_{41} \end{pmatrix} \end{matrix}$$

$$= \sum_{ij \in E} E_{ij} x_{ij} \quad E_{ij} = \begin{matrix} & & & j \\ i & \begin{bmatrix} \dots & 1 \end{bmatrix} \end{matrix}$$

Lem. # maximum matching of $G = \text{rank } A$

$$\because \det A = \sum_M \pm \prod_{ij \in M} x_{ij}$$

- min-max formula (Hall, König-Egerváry)
- polynomial time algorithm

Non-commutative Edmonds Problem

Ivanyos-Qiao-Subrahmanyam 2017

Can we compute the rank (*ncrank*) of

$$A = A_1x_1 + A_2x_2 + \cdots + A_mx_m$$

in polynomial time ?

x_i : noncommutative variables, A_i : matrices over field \mathbb{K}

A : matrix over free ring $\mathbb{K}\langle x_1, x_2, \dots, x_m \rangle$

\cap
free skew field $\mathbb{K}(\langle x_1, x_2, \dots, x_m \rangle)$

- $\text{rank } A \leq \text{ncrank } A$

FR-formula \rightarrow min-max relations in combinatorial optimization

Thm (Fortin-Reutenauer 2004)

$$\text{ncrank } \sum_k A_k x_k = 2n - \text{Max. } r + s$$

$$\text{s.t. } PA_k Q = \begin{matrix} & \begin{matrix} * & & * \\ & * & & \\ \hline \mathbf{0} & & & * \\ & & & \end{matrix} & \\ r & & s & \end{matrix} \quad (\forall k)$$

$$P, Q \in GL_n(\mathbb{K})$$

In the case of bipartite matching $A = \sum_{ij \in E} E_{ij} x_{ij}$,
 P, Q can be chosen as permutation matrices

$$\begin{aligned} \text{ncrank } A &= \text{Min } 2n - |S| \text{ s.t. } S: \text{ stable set of } G \\ &= \text{Max } |M| \text{ s.t. } M: \text{ matching in } G \\ &= \text{rank } A \end{aligned}$$

\rightarrow rank $A = \text{ncrank } A$ (Edmonds-Rado property)

Non-bipartite matching ---- $A = \sum_{ij \in E} T_{ij} x_{ij} \quad \rightarrow \text{rank } A < \text{ncrank } A$

Linear matroid intersection ---- $A = \sum_{k=1}^m a_k b_k^T x_k \quad \rightarrow \text{rank } A = \text{ncrank } A$

Linear matroid matching ---- $A = \sum_{k=1}^m (a_k b_k^T - b_k a_k^T) x_k \quad \rightarrow \text{rank } A < \text{ncrank } A$

2x2-partitioned matrix

$$A = \begin{pmatrix} A_{11}x_{11} & A_{12}x_{12} & \cdots & A_{1n}x_{1n} \\ A_{21}x_{21} & A_{22}x_{22} & \cdots & A_{2n}x_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ A_{n1}x_{n1} & A_{n2}x_{n2} & \cdots & A_{nn}x_{nn} \end{pmatrix} \quad \text{where } A_{ij} \in \mathbb{K}^{2 \times 2}$$

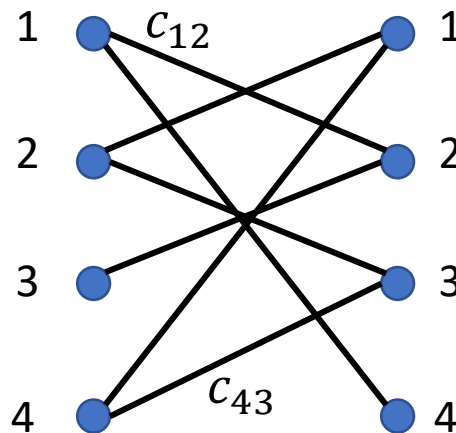
$\text{rank } A = \text{ncrank } A$ (from Iwata-Murota 95)

“Matching” concept & combinatorial “blow-up free” algorithm
based on Wong sequence (H-Iwamasa 2020)

Weighted Edmonds problem: Motivation

~ capture “weighted” combinatorial optimization problems from (non-commutative) linear algebra

Ex: Weighted bipartite matching

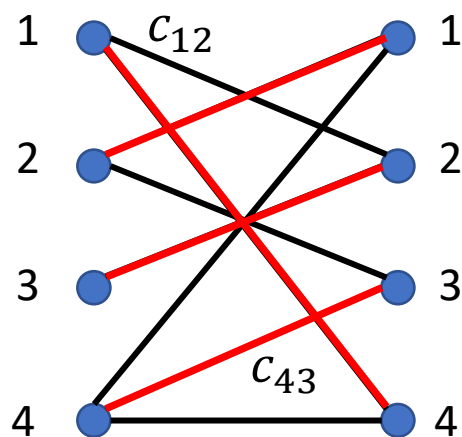


$c_{ij} \in \mathbb{Z}_+$: edge-weight

$$\text{Max. } c(M) := \sum_{ij \in M} c_{ij}$$

s.t. M : perfect matching

Algebraic Interpretation of Weighted Matching



$$A(t) = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{pmatrix} & & & x_{14}t^{c_{14}} \\ x_{21}t^{c_{21}} & x_{12}t^{c_{12}} & & \\ & x_{32}t^{c_{32}} & x_{23}t^{c_{23}} & \\ x_{41}t^{c_{41}} & & x_{43}t^{c_{43}} & x_{44}t^{c_{44}} \end{pmatrix} \end{matrix}$$

$$= \sum_{ij \in E} E_{ij} x_{ij} t^{c_{ij}}$$

Lem. Max weight of perfect matching = $\deg_t \det A(t)$

$$\because \deg \det A = \deg \sum_M \pm t^{c(M)} \prod_{ij \in M} x_{ij} = \max_M c(M)$$

Weighted non-bipartite matching ---- $A = \sum_{ij \in E} T_{ij} x_{ij} t^{c_{ij}}$

Weighted linear matroid intersection ---- $A = \sum_{k=1}^m a_k b_k^T x_k t^{c_k}$

Weighted linear matroid matching ----- $A = \sum_{k=1}^m (a_k b_k^T - b_k a_k^T) x_k t^{c_k}$

A weighted version of Edmonds Problem

Can we compute **deg det** of

$$A(t) = A_1(t)x_1 + \cdots + A_m(t)x_m$$

in polynomial time ?

x_1, x_2, \dots, x_m : variables

$A_1(t), \dots, A_m(t)$: matrices over $\mathbb{K}[t]$

A : matrix over $\mathbb{K}(x_1, x_2, \dots, x_m)(t)$

Important special case:

$$A(t) = A_1 x_1 t^{c_1} + \cdots + A_m x_m t^{c_m}$$

A_1, \dots, A_m : matrices over \mathbb{K} , c_1, \dots, c_m : (nonnegative) integers

Goal: develop a non-commutative version

Contribution of [H.19] & [H-Ikeda 20]

- Formulate weighted non-commutative Edmonds problem
by using *Dieudonné determinant* Det
- Establish a formula for $\deg \text{Det}$ (\sim an analogue of FR formula for nc-rank)
 \sim *L-convex function on Euclidean building*
- Algorithm: minimization of L-convex function
 $\sim O(nd)$ nc-rank computation, d : max degree
- Special case of $\deg \det = \deg \text{Det}$ (strong Edmonds-Rado property):
 \sim weighted linear matroid intersection

- Polytime algorithm for $\deg \text{Det}$ of $A_1 x_1 t^{c_1} + \dots + A_m x_m t^{c_m}$
 \rightarrow Polytime algorithm for $\deg \det = \deg \text{Det}$ of 2x2-partitioned matrix
- Polyhedral interpretation of $\deg \text{Det}$ by nc-version of Newton polytope

How to see $A(t) = A_1(t)x_1 + \cdots + A_m(t)x_m$

- Matrix over (skew) polynomial ring $\mathbb{K}(\langle x \rangle)[t]$

$$\text{Ore ring} \text{ ---- } \forall p, q, \exists u, v: pu = qv \\ \neq 0$$

- Matrix over the rational function skew field $\mathbb{K}(\langle x \rangle)(t)$

$$p/q = p'/q' \Leftrightarrow \exists u, v, pu = p'v, qu = q'v \\ \neq 0$$

- Degree: $\deg p/q := \deg p - \deg q$

How to define “determinant” of matrices over skew field

A : nonsingular over \mathbb{F} (Our case: $\mathbb{F} = \mathbb{K}(\langle x \rangle)(t)$)

Bruhat decomposition: LU-decomposition of matrices over skew field

$$\begin{array}{ccccccc}
 & & \text{uni-lower-triangular} & & & & \text{uni-upper-triangular} \\
 A & = & L & D & P & U & \\
 & & & \text{diagonal} & \text{permutation} & & \\
 & & & \swarrow & \nearrow & & \\
 & & & \text{unique} & & &
 \end{array}$$

Dieudonné determinant \in Abelianization $\mathbb{F}^*/[\mathbb{F}^*, \mathbb{F}^*]$ of \mathbb{F}^*

$$\text{Det } A := \text{sgn}(P) D_{11} D_{22} \dots D_{nn} \pmod{[\mathbb{F}^*, \mathbb{F}^*]}$$

commutator group

Lem. $\text{Det } AB = \text{Det } A \text{ Det } B$

$\text{Det } A := 0$ for singular A

Weighted Non-commutative Edmonds Problem

Can we compute **deg Det** of

$$A(t) = A_1(t)x_1 + \cdots + A_m(t)x_m$$

in polynomial time ?

x_1, x_2, \dots, x_m : variables $x_i x_j \neq x_j x_i$

$A_1(t), \dots, A_m(t)$: matrices over $\mathbb{K}[t]$

A : matrix over $\mathbb{K}(\langle x_1, x_2, \dots, x_m \rangle)(t)$

Rem. deg Det is well-defined since deg is zero on commutators

$$\deg(pqp^{-1}q^{-1}) = 0$$

Formula for deg Det

$$A(t) = A_1(t)x_1 + \cdots + A_m(t)x_m$$

This problem is viewed as discrete convex optimization over Euclidean building

Thm. [H. 19]

$$\begin{aligned} \deg \text{Det } A = & \text{Min. } -\deg \det P - \deg \det Q \\ & \text{s.t. } \deg (PA_k Q)_{ij} \leq 0 \quad (\forall k, \forall ij) \\ & P, Q \in \text{GL}_n(\mathbb{K}(t)) \end{aligned}$$

is definable on any field with valuation, such as \mathbb{Q}

(Proof of \leq ; Murota 95 for deg det)

$$\begin{aligned} \deg (PA_k Q)_{ij} \leq 0 \quad (\forall k, \forall ij) & \Leftrightarrow \deg (PAQ)_{ij} \leq 0 \quad (\forall ij) \\ \Rightarrow \deg \text{Det } PAQ \leq 0 & \Rightarrow \deg (\text{Det } P \text{ Det } A \text{ Det } Q) \leq 0 \\ \Rightarrow \deg \text{Det } P + \deg \text{Det } A + \deg \text{Det } Q & \leq 0 \\ \parallel & \qquad \qquad \qquad \parallel \\ \deg \det P & \qquad \qquad \qquad \deg \det Q \end{aligned}$$

Auxiliary lemma

\mathbb{F} : skew field, $\mathbb{F}(t)$: rational function skew field (our case: $\mathbb{F} = \mathbb{K}(\langle x \rangle)$)

$$B = B(t) \in \mathbb{F}(t)^{n \times n}$$

Lem: Suppose $\deg B_{ij} \leq 0 \iff B(t) = B^{(0)} + B^{(-1)}t^{-1} + B^{(-2)}t^{-2} + \dots$

$\deg \text{Det } B = 0$ if and only if $B^{(0)}$ is nonsingular over \mathbb{F}

Proof of Thm

P, Q : feasible ($\deg(PAQ)_{ij} \leq 0$)

$$\deg \text{Det } PAQ = 0$$

If $\text{ncrank}(PAQ)^{(0)} = n \rightarrow P, Q$: optimal; $\deg \text{Det } A = -\deg \det P - \deg \det Q$

Otherwise, $\exists S, T \in GL_n(\mathbb{K})$ s.t. $S(PAQ)^0 T =$

| | | | | |
|-----|----------|---|---|-----|
| | * | | | |
| | | * | | |
| r | 0 | | * | |
| | | | | s |

$r + s > n$

Rem. If $\text{rank}(PAQ)^{(0)} = n \rightarrow P, Q$: optimal; $\deg \det A = -\deg \det P - \deg \det Q$

$$\rightarrow S(PAQ)T = \begin{array}{|c|c|} \hline * & * \\ \hline * & * \\ \hline \end{array} \quad \deg \begin{pmatrix} I & O \\ O & tI_r \end{pmatrix} SPAQT \begin{pmatrix} I & O \\ O & t^{-1}I_{n-s} \end{pmatrix} \leq 0$$

r s

Update $P, Q \rightarrow P', Q' := \begin{pmatrix} I & O \\ O & tI_r \end{pmatrix} SP, QT \begin{pmatrix} I & O \\ O & t^{-1}I_{n-s} \end{pmatrix}$ feasible,

$$-\deg \det P' - \deg \det Q' = -\deg \det P - \deg \det Q + (n - r - s)$$

Repeat this procedure:

If terminates then $\deg \text{Det } A = -\deg \det P - \deg \det Q$

Otherwise, $\deg \text{Det } A \leq \text{RHS} = -\infty$

Deg-Det algorithm

Minimizing an L-convex func
on Euclidean building

Input: $A(t) = x_1 A_1(t) + x_2 A_2(t) + \dots + x_m A_m(t)$

0: Choose $P, Q \in GL_n(\mathbb{K}(t))$ s.t. $\deg (PAQ)_{ij} \leq 0$

1: $PAQ = (PAQ)^{(0)} + t^{-1}M$

2: Choose $S, T \in GL_n(\mathbb{K})$ s.t. $S(PAQ)^0 T =$

with maximum $r + s$

$$\begin{array}{|c|c|}
 \hline
 * & * \\
 \hline
 * & \\
 \hline
 \mathbf{0} & * \\
 \hline
 \end{array}
 \begin{array}{l}
 r \\
 s
 \end{array}$$

Optimization
over the link at $\langle P \rangle, \langle Q \rangle$
(= spherical building)
= nc-rank computation

$(PAQ)^0$: nc-nonsingular

3: If $r + s = n \Rightarrow P, Q$: optimal; $\deg \text{Det } A = -\deg \det P - \deg \det Q$

4: If $r + s > n \Rightarrow$

$$P, Q \leftarrow \begin{pmatrix} I & O \\ O & tI_r \end{pmatrix} SP, QT \begin{pmatrix} I & O \\ O & t^{-1}I_{n-s} \end{pmatrix} ; \text{ go to } 1$$

$\langle P \rangle, \langle Q \rangle$ moves on the 1-skeleton of the Euclidean building

Building theoretic view

Min. $-\deg \det P - \deg \det Q$

s.t. $\deg (PA_k Q)_{ij} \leq 0 \quad (\forall k, \forall ij)$

$P, Q \in GL_n(\mathbb{K}(t))$

$\mathbb{K}(t)^- := \{ p/q \in \mathbb{K}(t) \mid \deg p/q \leq 0 \}$ (= valuation ring)

$GL_n(\mathbb{K}(t)^-)$: group of invertible $n \times n$ matrices over $\mathbb{K}(t)^-$

Obs. $P, Q \rightarrow UP, QV \quad (U, V \in GL_n(\mathbb{K}(t)^-))$

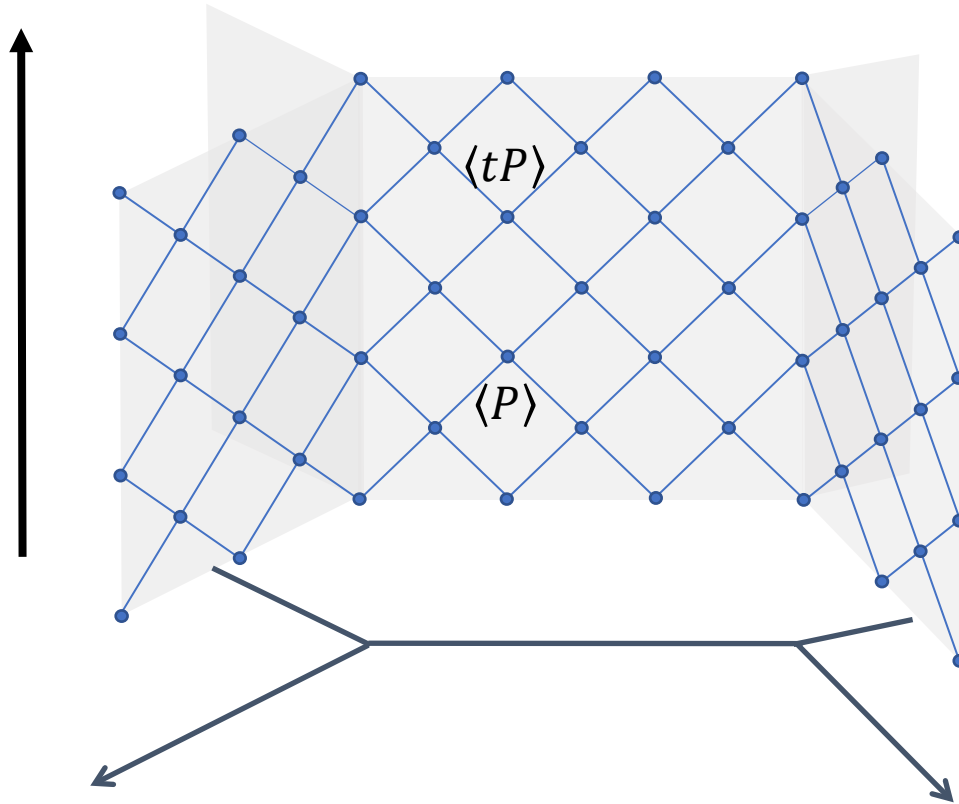
does not change feasibility & objective value

\rightarrow The problem is over $\mathcal{L} \times \mathcal{L}$, where $\mathcal{L} := GL_n(\mathbb{K}(t))/GL_n(\mathbb{K}(t)^-)$
 $= \{ \langle P \rangle \mid P \in GL_n(\mathbb{K}(t)) \}$

Def. $\langle P \rangle := \mathbb{K}(t)^-$ -module generated by columns of $P \in GL_n(\mathbb{K}(t))$

Lem. $\mathcal{L} = \{\langle P \rangle \mid P \in GL_n(\mathbb{K}(t))\}$ is a modular lattice,
 where $\preceq := \subseteq$, $\wedge = \cap$, $\vee = +$, and $t\mathcal{L} = \mathcal{L}$

This structure is equivalent to
 Euclidean building of $GL_n(\mathbb{K}(t))$



← Part of Euclidean building
 for $GL_2(\mathbb{K}(t))$

Define L-convex function: $f(x) + f(y) \geq f(x \wedge y) + f(x \vee y)$, $f(tx) = f(x)$

→ The deg Det problem is L-convex function minimization on $\mathcal{L} \times \mathcal{L}^*$

Remarks

- Idea comes from *combinatorial relaxation method* (Murota 90) for deg det
- Bipartite matching $A = \sum E_{ij} x_{ij} t^{c_{ij}} \rightarrow$ Hungarian method
- Linear matroid intersection $A = \sum_k a_k b_k^T x_k t^{c_k}$
 \rightarrow Frank's weight splitting algorithm (Furue-H 20)
- Computation of deg Det for skew polynomial matrix (Oki 19)
- Further discrete convex analysis & cost-scaling imply
a polytime algorithm for deg Det $A = \sum_k A_k x_k t^{c_k}$ (H-Ikeda 20)

Polyhedral interpretation of deg Det [H-Ikeda 20]

Obs. $\deg \det \sum_{k=1}^m A_k x_k t^{c_k} = \max\{c^T u \mid u \in \text{Newton } A\}$

where $\text{Newton } A := \text{Newton polytope of } \det A$

Def. Newton polytope of polynomial $p(x_1, x_2, \dots, x_m)$

$:= \text{Conv} \{ \alpha \in \mathbb{Z}^m \mid x_1^{\alpha_1} x_2^{\alpha_2} \dots x_m^{\alpha_m} \text{ is a monomial of } p \} \subseteq \mathbb{R}^m$

$$p(x_1, x_2, \dots, x_m) = \sum_{\alpha} b_{\alpha} x^{\alpha} \Rightarrow p(x_1 t^{c_1}, x_2 t^{c_2}, \dots, x_m t^{c_m}) = \sum_{\alpha} b_{\alpha} x^{\alpha} t^{c^T \alpha}$$

Def. NcNewton $A := \bigcup_{d \geq 1} \text{proj Newton } A^{\{d\}}$

d -Blow-up $A^{\{d\}} := \sum_{k=1}^m A_k \otimes X_k$, $X_k = (x_{k,ij})$: $d \times d$ variable matrix

proj: $(u_{k,ij})_{k,ij} \rightarrow (\frac{1}{d} \sum_{i,j} u_{k,ij})_k \in \mathbb{R}^m$

Prop. $\deg \text{Det} \sum_{k=1}^m A_k x_k t^{c_k} = \max\{c^T u \mid u \in \text{NcNewton } A\}$

\rightarrow NcNewton A is an integral polytope \supseteq Newton A

Proof sketch:

If d : large

Derksen-Makam, IQS

$$\mathbf{Fact:} \text{ ncrank } A = \frac{1}{d} \text{ ncrank } A^{\{d\}} = \frac{1}{d} \text{ rank } A^{\{d\}}$$

If d : large

$$\begin{aligned} \rightarrow \text{deg Det } A(t) &= \frac{1}{d} \text{deg Det } A(t)^{\{d\}} = \frac{1}{d} \text{deg det } A(t)^{\{d\}} \\ &= \max\{c^T u \mid u \in \text{proj Newton } A^{\{d\}}\} \end{aligned}$$

$P, Q \rightarrow P \otimes I_d, Q \otimes I_d$

Def. Strong Edmonds-Rado property \Leftrightarrow Newton $A = \text{NcNewton } A$

If $A = \sum_k a_k b_k^T x_k$ (matroid intersection)

\rightarrow Matroid intersection polytope = Newton $A = \text{NcNewton } A$

If $A = \sum_{ij} T_{ij} x_{ij}$ (nonbipartite matching)

$\rightarrow 2 \cdot$ Matching polytope = Newton $A \subset \text{NcNewton } A$

?? $2 \cdot$ Fractional matching polytope ??

Problem: Further study on $\text{NcNewton } A$ (char. of vertices/facets, LP-description)

2. Reduction of nc-singularity over \mathbb{Q}

to that over $GF(p)$ by p -adic valuation

Def. (p -adic valuation) p : prime, $v_p: \mathbb{Q} \rightarrow \mathbb{Z} \cup \{\infty\}$

$$v_p(u) := k \text{ if } u = p^k \frac{a}{b} \in \mathbb{Q}, \text{ } a, b \in \mathbb{Z} \text{ prime to } p$$

$$v_p(0) := \infty$$

p -adic expansion of $u \in \mathbb{Q}$:

$$u = a_k p^k + a_{k+1} p^{k+1} + \dots$$

where $k = v_p(u)$, $a_i \in \{0, 1, 2, \dots, p-1\} = GF(p)$

Gauss extension: $v_p: \mathbb{Q}(x_1, x_2, \dots, x_m) \rightarrow \mathbb{Z} \cup \{\infty\}$

$$v_p(f) := \min_i v_p(c_i) \quad (f = \sum_i c_i x^{\alpha_i})$$

$$v_p(f/g) := v_p(f) - v_p(g)$$

$$A = A_1x_1 + A_2x_2 + \dots + A_mx_m, \text{ where } A_k \text{ over } \mathbb{Z} \subseteq \mathbb{Q}$$

Instead of nc-rank / FR formula, we solve with $|A_{k,ij}| < D$

$$\begin{aligned} v_p \text{Det}' A &:= \text{Max. } -v_p \det P - v_p \det Q \\ \text{s. t. } &v_p (PA_kQ)_{ij} \geq 0 \quad (\forall ij, k) \\ &P, Q \in GL_n(\mathbb{Q}) \end{aligned}$$

If d : large

Lem. $v_p \text{Det}' A = \frac{1}{d} v_p \text{Det}' A^{\{d\}} = \frac{1}{d} v_p \det A^{\{d\}}$

Cor. A : nc-nonsingular $\Leftrightarrow v_p \text{Det}' A < O(n \log nD) < \infty$

\therefore | coefficient of $\det A^{\{d\}}| \leq (nD)^{O(nd)}$ $\leftarrow v_p(z) \leq \log_p |z|$ for $0 \neq z \in \mathbb{Z}$

$\frac{1}{d} v_p \det A^{\{d\}} = O(n \log_p nD)$

$z = \underbrace{1011\overbrace{000}^{v_2(z)}}_{\text{bitsize}}$

Problem: How can we extend v_p to $\mathbb{Q}(\langle x \rangle)$ so that $v_p \text{Det}' A = v_p \text{Det} A$? ²⁷

Initially, $(P, Q) := (I, I)$; $\text{obj} = 0$

$$v_p(PA_k Q) \geq 0$$

over $\text{GF}(p)$

p -adic expansion

$$\rightarrow PA_k Q = (PA_k Q)^{(0)} + (PA_k Q)^{(1)}p + (PA_k Q)^{(2)}p^2 + \dots$$

- If $\text{ncrank} \sum_k (PA_k Q)^{(0)} x_k = n$ over $\text{GF}(p)$

$$\rightarrow \text{ncrank} \sum_k PA_k Q x_k = \text{ncrank} \sum_k A_k x_k = n \text{ over } \mathbb{Q}$$

- Otherwise $\exists S, T$ s.t. $S(PA_k Q)^{(0)} T =$

$$r \begin{array}{|c|c|} \hline * & * \\ \hline 0 & * \\ \hline \end{array} s$$

$\text{mod } p \quad (\forall k)$

$$r + s > n$$

$$\text{Update } P, Q \rightarrow P', Q' := \begin{pmatrix} I_{n-r} & \\ & p^{-1}I_r \end{pmatrix} SP, QT \begin{pmatrix} I_s & \\ & pI_{n-s} \end{pmatrix}$$

$$-v_p \det P' - v_p \det Q' = -v_p \det P - v_p \det Q + (r + s - n)$$

Final remarks

- I gave up to find a shrunk subspace (Hall blocker) by this approach.

Difficulty: p -adic expansion of a rational x is cycling,

where the length of the cycle may be exponential of $\text{bit-size}(x)$.

- $v \text{ Det}'A$ problem is useful and has many analogies of the FR formula for nc-rank.

Question: Find a natural reasoning of $v \text{ Det}'A$ in left-right action :

$$SL_n(\mathbb{K}) \times SL_n(\mathbb{K}) \ni (S, T) \mapsto (SA_k T)_{k=1,2,\dots,m}$$

in a field \mathbb{K} with discrete valuation v .

END

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