# 0. Introduction

[FP] Fulton, Pandharipande, "Notes on stable maps and quantum cohomology",

Proc. Symp. Pure Math. 62, AMS, 1997; arXiv:alg-geom/9608011

Contents

- 1- 6: Definition, construction and properties of the moduli space of stable maps
- §7 §10: Quantum cohomology

I will explain

- I. Stable maps and the moduli space  $\overline{M}_{g,n}(X,\beta)$
- II. Outline of construction  $\rightarrow$  [R2]
- III. Theorems of [FP] on  $\overline{M}_{0,n}(X,\beta)$  (especiially for X = G/P)  $\rightarrow$  [R4], [R5]
- IV. Basics of quantum cohomology  $\rightarrow$  [R1], [R3]

### Schemes are over C.

Consider the classification of  $\{(p_1, ..., p_n) \mid p_i \in \mathbb{P}^1, p_i \neq p_j \forall i \neq j\}$  under the equivalance  $(p_1, ..., p_n) \sim (q_1, ..., q_n) \Leftrightarrow \exists \Phi \in Aut(\mathbb{P}^1) \text{ s.t. } \Phi(p_i) = q_i \forall i \}.$ The corresponding moduli space would be the "classifying space" of the equiv. classes.

## [Grothendieck]

One should consider not only the objects but the families of such objects over any base.

A family of n points on  $\mathbb{P}^1$  over a base scheme B is  $(\pi, \sigma_1, ..., \sigma_n)$  with

- A (flat proper) morphism  $\pi: X \to B$  of schemes such that  $\pi^{-1}(b) \in \mathbb{P}^1 \ \forall b \in B$
- *n* disjoint sections  $\sigma_i: B \to X$

Two families  $(\pi: X \to B, \sigma_i)$  and  $(\pi': X' \to B, \sigma_i')$  are equivalent if  $\exists \Phi: X \to X'$  isom. s.t. ...

The moduli functor  $\mathcal{M}_{0,n}$ : Schemes  $\rightarrow$  Sets<sup>op</sup> assigns to a scheme *B* the set of classes and to any morphism  $f:B' \rightarrow B$  the map induced by the publiback of families. Ex. For pt = Spec( $\mathbb{C}$ ),  $\mathcal{M}_{0,n}(pt) = \{$ equivalence classes  $[p_1, ..., p_n]$  in the beginning $\}$ .

### I.2. Moduli space of n points in $\mathbb{P}^{1}$

A fine moduli  $M_{0,n}$  is a scheme representing  $\mathcal{M}_{0,n}$ , i.e.,  $\exists$  fun. isom.  $\mathcal{M}_{o,n} \rightarrow \text{Hom}(-, M_{0,n})$ .

For n = 3,  $\mathcal{M}_{0,3}(\text{pt}) = \text{pt} = \{(0,1,\infty)\}$  by  $\text{Aut}(\mathbb{P}^1) = \text{PGL}_2(\mathbb{C})$ .

Given any family  $(\pi: X \to B, \sigma_1, \sigma_2, \sigma_3)$ , consider the morphism  $T: X \to B \times \mathbb{P}^1$  defined by  $T(x) \coloneqq (\pi(x), \operatorname{CrossRatio}_{\pi(x)}(\sigma_1(\pi(x)), \sigma_2(\pi(x)), \sigma_3(\pi(x)), x)), \operatorname{CR}(p_1, \dots, p_4) \coloneqq p_{14}p_{23}/p_{12}p_{34})$ It yields an isomorphism of families  $(\pi, \sigma_1, \dots)$  to  $(\pi_1: B \times \mathbb{P}^1 \to B, 0, 1, \infty)$ . Thus, we have the fine moduli  $M_{0,3} = pt$ .

For n = 4, we have the fine moduli  $M_{0,4} = \mathbb{P}^1 - \{0,1,\infty\}$ , since any  $(p_1, \dots, p_4)$  is equivalent to  $(0,1,\infty, CR(p_1,\dots, p_4))$ .

For  $n \ge 4$ , we have the fine moduli  $M_{0,n} = (M_{0,4})^{n-3} - (all diagonals)$ [since any  $(p_1, ..., p_4)$  is equivalent to  $(0, 1, \infty, CR(p_1, p_2, p_3, p_4), ..., CR(p_1, p_2, p_3, p_n))$ .]

For  $n \leq 2$ ,  $\mathcal{M}_{0,n}(pt)$  consists of only one point, but there is some *B* with non-equiv. families. There is no fine moduli. Generally, a moduli functor means a functor  $\mathcal{F}$ : Schemes  $\rightarrow$  Sets<sup>op</sup>. Similarly, a fine moduli of  $\mathcal{F}$  is defined.

For a fine moduli space M of  $\mathcal{F}$  and functor isom  $\varphi: \mathcal{F} \to \operatorname{Hom}(-, M)$ , the universal family is defined to be  $\varphi^{-1}(id_M) \in \mathcal{F}(M)$ , which can be expressed as a family  $\pi: U \to M$  of objects over the base M. It has the property that  $\forall m \in M = \mathcal{F}(\operatorname{pt})$  corresponding to the equivalence classes of objects  $[X_m]$ , we have  $[\pi^{-1}(m)] = [X_m]$ .

For the fine moduli  $M_{0,3} = pt$ , the universal family is  $U_{0,3} = (\pi: \mathbb{P}^1 \to pt, 0, 1, \infty)$ .

For the fine moduli  $M_{0,4} = \mathbb{P}^1 - \{0,1,\infty\}$ , the universal family is  $U_{0,4} = (\pi_1: M_{0,4} \times \mathbb{P}^1 \to M_{0,4}, 0, 1, \infty, \delta)$  with  $\delta$  the diagonal section  $\delta(p) = p$ .

## I.4. Rational stable curves

Remark. Grassmann functor  $\mathcal{GR}$ : Schemes  $\rightarrow$  Sets<sup>op</sup>,

 $\mathcal{GR}(S) = \{\text{equivalence classes } [\mathcal{F}, q] \text{ of quotients } q: \mathcal{O}_{S}^{\bigoplus n} \to \mathcal{F} \text{ with } \mathcal{F} \text{ locally free of rank } k \}$ has a fine moduli, nothing but the (quotient) Grassmannian  $\operatorname{Gr}^{\operatorname{quot}}(\mathbb{C}^{n}, k)$ . The universal family  $\pi: Q \to \operatorname{Gr}^{\operatorname{quot}}(\mathbb{C}^{n}, k)$  is the tautological quotient bundle Q. [Special case of Quot scheme]

 $M_{0,n}$  is open, and one wants to compactify it. It would be nice if the universal family is also lifted to the compactification. A solution is:

An *n*-pointed rational stable curve  $(C, p_1, ..., p_n)$  consists of a connected curve *C* with at worst nodal singularity and n-tuple of distinct points in C - Sing(C) such that for each irreducible component  $E \subset C$ , there are at least 3 special points on E.



Nodal singularity: locally (xy = 0) in  $\mathbb{C}^2 = \text{Spec } \mathbb{C}[x, y]$ .

The condition means  $Aut(C, \{p_i\})$  is a finite group (no non-trivial infinitesimal aut.).

# I.5. Moduli of pointed curves and KDM moduli

# Thm. [Knudsen]

There exists an irreducible smooth projective fine moduli space  $\overline{M}_{0,n}$  for *n*-pointed rational stable curves which contains  $M_{0,n}$  as open dense sub.

The boundary  $\partial M_{0,n} \coloneqq \overline{M}_{0,n} - M_{0,n}$  consisting of nodal stable curves is NCD.

Ex. 
$$\overline{M}_{0,n} = \mathbb{P}^1$$
,  $\partial M_{0,4} = \{0,1,\infty\}$ , corresponds to

 $\partial M_{0,5}$  consists of 10 codim 1 strata and 15 codim 2 strata

## Higher genus case [Deligne-Mumford, Knudsen]

An *n*-pointed stable curve  $(C, p_1, ..., p_n)$  of genus g is a pair of a connected curve C with at worst nodal sing. and *n*-tuple of distinct points in C - Sing(C) such that for  $E \subset C$ ,

- If  $E \cong \mathbb{P}^1$ , then E must contain at least 3 special points.
- If g(E) = 1, then E must contain at least 1 special point.

These conditions are equivalent to  $Aut(C, \{p_i\})$  is a finite group.

In this case, we have the moduli space  $\overline{M}_{g,n}$  as DM stack (orbifold) of dim = 3g - 3 + n.

# II.1. Stable maps

An n-pointed genus g quasi-stable curve  $(C, p_1, ..., p_n) = (C, \{p_i\})$  is a projective connected reduced curve C of arithmetic genus g with at most nodal singularities, equipped with n-distinct non-singular marked points  $p_1, ..., p_n$ .



X: scheme (of finite type over  $\mathbb{C}$ )

A map from a quasi-stable curve  $(C, \{p_i\})$  to X is a morphism  $f: C \to X$  of schemes, denoted as  $(C, \{p_i\}, f)$ .

Such a map is stable if for each irreducible component E of C,

- If  $E \cong \mathbb{P}^1$  and f(E) is a point, then E must contain at least 3 special points.
- If g(E) = 1 and f(E) is a point, then E must contain at least 1 special point. Equivalent to Aut(C, {p<sub>i</sub>}, f) is a finite group (no non-trivial infinitesimal aut.)

## II.2. Kontsevich moduli

#### X: projective variety

The Chow (co)homology in even deg:  $A_d(X) \coloneqq H_{2d}(X,\mathbb{Z}), A^d(X) \coloneqq H^{2d}(X,\mathbb{Z}).$ For  $\beta \in A_1(X) = H_2(X,\mathbb{Z})$ , the moduli functor  $\mathcal{M}: Sch \to Sets^{op}$ ,  $\mathcal{M}(S) \coloneqq \{\text{isom clasess of stable maps } (C \to S, \{p_i\}, f: C \to X) \text{ over } S \text{ with } f_*[C] = \beta \}.$ 

FP's Thm. 2, proved in 4, § 5:

The functor  $\mathcal{M}$  has a coarse moduli space  $\overline{M} = \overline{M}_{g,n}(X,\beta)$  as a projective scheme. It is a compactification of the moduli  $M_{g,n}(X,\beta)$  of nonsingular domains C.

For  $\mathcal{F}: Sch \to Sets^{op}$ , the coarse moduli M is a scheme with fun. morph.  $\varphi: \mathcal{F} \to Hom(-, M)$ such that  $\varphi(Spec \mathbb{C})$  is a bijection and  $\mathcal{M} \xrightarrow{\qquad} Hom(-, M)$  $\forall \psi \xrightarrow{\qquad} \exists! \alpha$  $Hom(-, \forall N)$ 

Kontsevich [1995, PM129] sketched the construction as a Deligne-Mumford stack.

### III. Construction of moduli

Remark 1. Trivial case  $\beta = 0$ .  $\overline{M}_{g,n}(X,0) = \overline{M}_{g,n} \times X$ ,  $\overline{M}_{g,n}$ : Deligne-Mumford moduli (orbifold in general). Remark 2.  $\overline{M}_{0,n}(\mathbb{P}^n, 1) = G(\mathbb{P}^1, \mathbb{P}^r) = \operatorname{Gr}(2, r+1)$ 

Outline of the construction

§ 3 A rigidification of  $\overline{M}_{g,n}(\mathbb{P}^r, d)$ : for a basis  $\overline{t} \subset V^* \coloneqq H^0(\mathbb{P}^r, O(1))$ ,  $d \in A_1(\mathbb{P}^r) = H_2(\mathbb{P}^r, \mathbb{Z}) = \mathbb{Z}H \cong \mathbb{Z}$ 

construct a coarse moduli space  $\overline{M}_{g,n}(\mathbb{P}^r, d, \overline{t})$  of  $\overline{t}$ -rigid stable maps.  $\overline{M}_{0,n}(\mathbb{P}^r, d, \overline{t})$  is a nonsingular algebraic variety.

§ 4 The construction of  $\overline{M}_{g,n}(\mathbb{P}^r, d)$ : gluing  $\{\overline{M}_{g,n}(\mathbb{P}^r, d, \overline{t}) \mid \overline{t} \subset V^*$ : basis}

§ 5 The construction of  $\overline{M}_{g,n}(X,\beta)$ :

for projective variety X,  $\iota: X \hookrightarrow \mathbb{P}^r$ ,  $\iota * (\beta) = dH$ ,

construct  $\overline{M}_{g,n}(X,\beta,\overline{t}) \subset \overline{M}_{g,n}(\mathbb{P}^r,d,\overline{t})$  and glue them.

### IV.1. Genus 0 Moduli spaces

A nonsingular projective variety is convex if  $\forall f : \mathbb{P}^1 \to X$ ,  $f^*(T_X)$  is globally generated. A homogeneous space X = G/P is an example. ( $T_X$  globally generated,

FP's Thm. 2, proved in  $\S3 - \S5$ :

X: nonsingular projective convex variety,  $\beta \in A_1(X)$ ,  $\overline{M} \coloneqq \overline{M}_{0,n}(X,\beta)$ .

- (1)  $\overline{M}$  is a normal projective variety of pure dimension dim  $X 3 + \int_{\beta} c_1(T_X) + n$ .
- (2)  $\overline{M}$  is locally a quotient of a nonsingular variety by a finite group.
- (3)  $\overline{M}^* \subset \overline{M}$ : the open locus of stable maps with no non-trivial automorphism.  $\overline{M}^*$  is a nonsingular fine moduli space of the corresponding functor  $\mathcal{M}^*$ .

FP's Thm. 3, proved in § 6: *X*: as in Thm. 2,  $\beta \in A_1(X)$ ,  $\overline{M} \coloneqq \overline{M}_{0,n}(X,\beta)$ .  $\overline{M} \supset \partial \overline{M} \coloneqq \{f: C \to X \mid C \text{ is reducible}\}$  is a divisor with normal crossings.

### IV.2. Dimension of moduli space

The argument of dimension in [ $\S$  5.2, FM] seems to be inaccurate. The following is based on  $\S$  7.1.4 of

Cox, Katz, "Mirror Symmetry and Algebraic Geometry", MSM 68, AMS, 1998.

For  $\overline{M} = \overline{M}_{g,n}(X,\beta)$ , and its point  $m \in \overline{M}$  corresponding to  $(C, \{p_i\}, f: C \to X)$ , exp. dim<sub>m</sub>  $\overline{M} \coloneqq$  dim(1st order deformations of m) – dim(obstructions for m)

 $\begin{array}{l} (1 \text{st order deformations}) &= \operatorname{Ext}_{C}^{1}(f^{*}\Omega_{X}^{1} \rightarrow \Omega_{C}^{1}(\sum_{i=1}^{n}p_{i}), O_{C}), \quad (\text{obstructions}) = \operatorname{Ext}_{C}^{2}(..., O_{C}), \\ &\quad 0 \rightarrow \operatorname{Ext}_{C}^{0}(..., O_{C}) \rightarrow \operatorname{Ext}_{C}^{0}(\Omega_{C}^{1}(...), O_{C}) \rightarrow \operatorname{Ext}_{C}^{0}(f^{*}\Omega_{X}^{1}, O_{C}) \rightarrow \operatorname{Ext}_{C}^{1}(..., O_{C}) \rightarrow \cdots \\ \text{3rd arrow is injective by the stability of } f, \text{ so } \operatorname{Ext}_{C}^{0}(..., O_{C}) = 0. \\ &\quad \therefore \text{ exp. dim} = -\chi(..., O_{C}) = -\chi(\Omega_{C}^{1}(...), O_{C}) + \chi(f^{*}\Omega_{X}^{1}, O_{C}) = \operatorname{ext}^{1} - \operatorname{ext}^{0} + \chi(f^{*}\Theta_{X}) \\ &\quad (\text{at the last equality, used } \operatorname{Ext}_{C}^{i}(\Omega_{C}^{1}(...), O_{C}) \cong \operatorname{H}^{i}(f^{*}\Theta_{X}) ) \\ \text{HRR gives } \chi(f^{*}\Theta_{X}) = -\int_{\beta} \omega_{X} + (1 - g) \operatorname{dim} X. \\ &\quad -\int_{\beta} \omega_{X} := \omega_{X}(\beta) \quad \text{for } \beta \in A_{1}(X) = \operatorname{H}_{2}(X, \mathbb{Z}), \quad \omega_{X} = -\operatorname{c}_{1}(\operatorname{T}_{X}) \in \operatorname{H}^{2}(X, \mathbb{Z}). \\ \text{ext}^{1} - \operatorname{ext}^{0} = (1 \text{st order deform. of } (C, \{p_{i}\})) - (\operatorname{infinitesimal aut.}) = \operatorname{dim} \overline{M}_{g,n} = 3g - 3 + n. \\ \text{Therefore exp. dim}_{m} \overline{M} = (1 - g)(\operatorname{dim} X - 3) - \int_{\beta} \omega_{X} + n. \end{array}$ 

#### V.1 Gromov-Witten invariant

X: nonsingular projective convex variety (e.g. homogeneous variety G/P)  $\beta \in A_1(X), \ \overline{M} \coloneqq \overline{M}_{0,n}(X,\beta) \supset M \coloneqq M_{0,n}(X,\beta),$ Evaluation maps:  $ev_1, \dots, ev_n \colon \overline{M} \to X, \ (C, \{p_i\}, f \colon C \to X) \mapsto f(p_i).$  $\gamma_1, \dots, \gamma_n \in A^*(X)$  gives rise to cohomology class  $ev_1^*(\gamma_1) \cup \dots \cup ev_n^*(\gamma_n) \in A^*(\overline{M}).$ 

Gromov-Witten invariant:  $I_{\beta}(\gamma_1, ..., \gamma_n) \coloneqq \int_{[\overline{M}]} ev_1^*(\gamma_1) \cup \cdots \cup ev_n^*(\gamma_n).$ It is 0 unless  $\sum \operatorname{codim} \gamma_i = \dim \overline{M} = \dim X - 3 + \int_{\beta}^{\square} c_1(T_X) + n$  (for homog.  $\gamma_i$ ). It is symmetric for  $\gamma_1, ..., \gamma_n$ .

FP's Lem.14 in §7:  $X = G/P, g_1, ..., g_n \in G$ : general elements,  $\Omega_1, ..., \Omega_n \subset X$ : pure dimensional subvar. The scheme theoretic intersection  $Z \coloneqq ev_1^{-1}([g_1\Omega_1]) \cap \cdots \cap ev_n^{-1}([g_n\Omega_n])$ is a finite number of reduced points supported in M, and  $I_{\beta}([\Omega_1], ..., [\Omega_n]) = \# Z$ .

#### V.2 Quantum cohomology

 $X = G/P, \ \beta \in A_1(X), \ \overline{M} \coloneqq \overline{M}_{0,n}(X,\beta)$  as before.  $T_0 \coloneqq 1 \in A^0(X), \ T_1, \dots, T_p$ : basis of  $A^1(X), \ T_{p+1}, \dots, T_m$ : the other basis of  $A^*(X)$ .

The classical cup product is given by GW invariants at  $\beta = 0$ :  $\overline{M}_{0,n}(X, \beta = 0) = \overline{M}_{0,n} \times X.$   $\Delta \subset X \times X$ : the diagonal,  $[\Delta] = \sum g^{ef} T_e \otimes T_f \in A^*(X \times X) = A^*(X) \otimes A^*(X).$  $T_i \cup T_j = \pi_{2*} \left( [\Delta] \cup \pi_1^* (T_i \cup T_j) \right) = \sum (\int_X T_i \cup T_j \cup T_e) g^{ef} T_f = \sum I_0 (T_i T_j T_e) g^{ef} T_f.$ 

Quantum cup product:

$$T_i * T_j \coloneqq \sum_{ef} \Phi_{ije} g^{ef} T_f, \quad \Phi_{ijk} \coloneqq \sum_{n \ge 0} \sum_{\beta} \frac{1}{n!} I_{\beta} (\gamma^n T_i T_j T_k), \quad \gamma \coloneqq \sum_{i=0}^m y_i T_i.$$

FP's Thm. 4 in §7 (big quantum cohomology ring):

The operation \* makes  $A^*(X) \otimes \mathbb{Q}[[y]]$  into a comm. unital assoc.  $\mathbb{Q}[[y]]$ -algebra.

### V.3 Small quantum cohomology ring

 $X = G/P, \ \beta \in A_1(X), \ \overline{M} \coloneqq \overline{M}_{0,n}(X,\beta)$  as before.  $T_0 \coloneqq 1 \in A^0(X), \ T_1, \dots, T_p$ : basis of  $A^1(X)$ .

Small quantum product:

$$\begin{split} \overline{\Phi}_{ijk} &\coloneqq \Phi_{ijk} \big( y_0, \dots, y_p, 0, \dots, 0 \big) = \int_X T_i \cup T_j \cup T_k + \overline{\Gamma}_{ijk}, \\ \overline{\Gamma}_{ijk} &\coloneqq \sum_{n \ge 0} \frac{1}{n!} \sum_{\beta \ne 0} I_\beta \big( \overline{\gamma}^n T_i T_j T_k \big) \in \mathcal{A}^*(X) \otimes \mathbb{Z} \big[ q_1, \dots, q_p \big], \qquad q_i \coloneqq e^{y_i}. \\ T_i * T_j &\coloneqq \sum_{ef} \overline{\Phi}_{ije} g^{ef} T_f = T_i \cup T_j + \sum_{ef} \overline{\Gamma}_{ije} g^{ef} T_f. \end{split}$$

We have a unital commutative associative  $\mathbb{Z}[q]$ -algebra [R1]  $QH_s^*(X) \coloneqq (A^*(X) \otimes \mathbb{Z}[q],*)$  : the small quantum cohomology ring of X.