

§4 Affine root systems

type GL $x = (x_1, \dots, x_n)$, $q, t \in \mathbb{C}$,

$$P_\lambda(x) = P_\lambda(x; q, t) \in \mathbb{C}[x] \quad \ell(\lambda) \leq n$$

$$(*) \quad D_x^\lambda P_\lambda(x) = P_\lambda(\alpha) \varepsilon_\lambda \quad \varepsilon_\lambda = e_1(q^\lambda + t^\lambda) \in \mathbb{C}$$

$$D_x^\lambda := \sum_{i=1}^n \prod_{j \neq i} \frac{1 - tx_j/x_i}{1 - x_j/x_i} T_{q, x_i}$$

$$\lambda = \phi : P_\lambda(x) = 1$$

$$(*) \Leftrightarrow \sum_{i=1}^n \prod_{j \neq i} \frac{1 - tx_j/x_i}{1 - x_j/x_i} = e_1(t^\phi) = t^{n-1} + \dots + 1$$

$$\Leftrightarrow \sum_{w \in S_n} \prod_{1 \leq i < j \leq n} \frac{1 - tx_{w(j)}/x_{w(i)}}{1 - x_{w(j)}/x_{w(i)}} = \text{cst.} \quad (\text{indep. of } x)$$

[Macdonald. 1972, Math. Ann.]

R : irreducible finite root system

$\Pi \subset R$: positive roots ↖ [Bourbaki]

$W_{\text{fin}} = W(R)$: Weyl group.

$$\sum_{w \in W_{\text{fin}}} \prod_{\alpha \in \Pi} \frac{1 - t_\alpha e^{-w\alpha}}{1 - e^{-w\alpha}} = \sum_{w \in W_{\text{fin}}} \prod_{\alpha \in \Pi \cap w^{-1}(-\Pi)} t_\alpha$$

Hecke param. \rightarrow $t: \Pi \rightarrow \mathbb{C}$, $\alpha \mapsto t_\alpha$, s.t. $\alpha \in W\Pi \Rightarrow t_\alpha = t_\beta$

$$\left[\begin{array}{l} (*) \text{ is recovered by} \\ R = A_{n-1} = \{\alpha_{ij} := \varepsilon_i - \varepsilon_j \mid 1 \leq i \neq j \leq n\} \subset \bigoplus_{i=1}^n \mathbb{C}\varepsilon_i \\ \Pi = \{\alpha_{ij} \mid i < j\}, \quad x_i = e^{\varepsilon_i}, \quad t_\alpha = t \quad (\forall \alpha \in \Pi) \end{array} \right.$$

\rightsquigarrow D_x 's and P_λ 's for root systems ...

Another motivation:

type GL $q=0$: $P_\lambda(x; 0, t) =$ Hall-Littlewood polynomial.
 $=$ zonal sph. func. of (GL_n, K_λ) over \mathbb{Q}_p
 $(t=1/p)$

\exists 3 formulations of root systems for Macdonald polynomials

① admissible pair (R, S) of finite root systems

[Macdonald 1987 preprint; arXiv:0011046]

② pair (S, S') of affine root systems

[Macdonald 2003 book] "Affine root systems & orthogonal polynomials"

③ initial data $(R, \Delta, \circ, \Lambda, \Lambda^\vee)$ ($\circ = u$ (untwist) or t (twist))

[Haiman, 2006. PICM] [Stokman, in. vol. 5 of

Astey-Bateman project. arXiv:1111.6112]

② $V = \mathbb{R}^n$: linear space w/ std. inner product $\langle \cdot, \cdot \rangle$, $|u| := \langle u, u \rangle^{\frac{1}{2}}$

$E = \mathbb{R}^n$: Euclidean space with metric $d(x, y)$

: affine space / \mathbb{R} (faithful & transitive action by add. grp. V)

$x, y \in E, u \in V \Rightarrow x+u \in E, x-y \in V, d(x, y) = |x-y|$

$F := \{ f: E \rightarrow \mathbb{R} \mid \text{affine-linear maps between affine spaces} \}$

$= \left\{ \begin{array}{l} \text{"} \\ \exists Df: V \rightarrow \mathbb{R} \text{ linear func.} \\ f(x+u) = f(x) + (Df)(u), \forall x \in E, \forall u \in V \end{array} \right\}$

: \mathbb{R} -lin. sp., $D: F \rightarrow V^*$ linear, onto,

$F = V^* \oplus \ker D = V^* \oplus \mathbb{R}c$ $c(u) = 1 \forall u \in V$

- $\langle \cdot, \cdot \rangle$ on F : $\langle f, g \rangle := \langle Df, Dg \rangle$

- $u \in V \setminus \{0\}$ $u^\vee := 2u / |u|^2 \in V$

$f \in F \setminus \mathbb{R}c$ $f^\vee := 2f / |f|^2 \in F$

$\Delta f: E \rightarrow E$, isometry

$\Delta f(x) := x - f^\vee(x) \cdot Df \quad (x \in E)$

$H_f := f^{-1}(0) \subset E$

$\text{codim} = 1$

Dfn affine root sys. on E (Macdonald. 1972. Inv.)

S subset $S \subset F$ s.t.

$$(AR1) \quad \langle S \rangle_{\mathbb{R}\text{-lin.}} = F, \quad S \cap \mathbb{R}C = \emptyset$$

$$(AR2) \quad \forall a, b \in S \quad \lambda a(b) \in S$$

$$(AR3) \quad = \quad \langle a^\vee, b \rangle \in \mathbb{Z}$$

$$(AR4) \quad W_S := \langle \lambda a \mid a \in S \rangle_{\text{gp}} \subset \text{Isom}(E)$$

$$W_S \curvearrowright E \text{ proper } (k, k' \subset E \text{ cpt. } \# \{w \in W_S \mid w k \cap k' \neq \emptyset\} < \infty)$$

□

$$(AR3) \text{ implies: } a, \lambda a \in S \ (\lambda \in \mathbb{R}) \Rightarrow \lambda \in \{\pm \frac{1}{2}, \pm 1, \pm 2\} \quad (\star)$$

$$\leadsto \text{Dfn. } S \text{ is reduced if } \lambda = \pm 1 \ \forall a \in S \quad \square$$

Dfn. S is irreducible if $\nexists S_1, S_2 \subset S, \neq \emptyset$, s.t. $\langle a_1, a_2 \rangle = 0 \ \forall a_i \in S_i \quad \square$

S' : irreducible affine root sys

$\{H_a \mid a \in S'\}$ is locally finite

alcove := conn. comp. of $E \setminus \bigcup_{a \in S'} H_a$: open rectilinear n -simplex

$W_{S'} \curvearrowright \{\text{alcoves}\}$,

$$C: \text{alcove} \quad B(C) := \left. \begin{array}{l} a \in S' \\ \text{irreducible } [\lambda = \pm 1 \text{ in } (\star)] \\ H_a \text{ is a wall of } C \\ \forall x \in C \quad a(x) > 0 \end{array} \right\}$$

$$\Rightarrow \# B(C) = n+1, \quad B(C) \text{ is a linear basis of } F$$

Dfn. $B \subset S'$ is a basis of S' if \exists chamber $B = B(C) \quad \square$

$$\text{Eg. } S = S(A_n): E = \{ {}^t(\alpha_1, \dots, \alpha_{n+1}) = \sum_{i=1}^{n+1} \alpha_i e_i \in \mathbb{R}^{n+1} \mid \sum_{i=1}^{n+1} \alpha_i = 0 \}$$

$$S := \{ \pm (e_i - e_j) + rC \mid 1 \leq i, j \leq n+1, r \in \mathbb{Z} \}$$

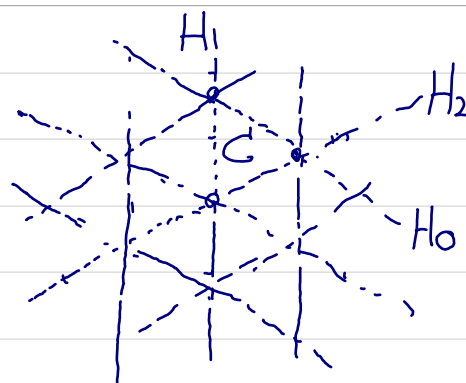
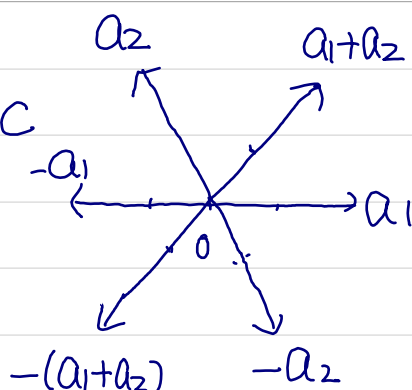
$$B = \{ a_0 := -\varepsilon_1 + \varepsilon_{n+1} + C, \quad a_i := e_i - e_{i+1} \ (1 \leq i \leq n) \}$$

(n=2)

$$a_0 = -e_1 + e_3 + c$$

$$a_1 = e_1 - e_2$$

$$a_2 = e_2 - e_3$$



$$a_1, a_2 \in H_0$$

Claim. R : irreducible finite root sys. [type $A_n - F_2, BC_n$]

V : \mathbb{R} -lin. sp., spanned by R , w/ inner prod. $\langle \cdot, \cdot \rangle$

$\Rightarrow \forall \alpha \in R, \forall r \in \mathbb{Z} \quad \alpha_{\alpha, r}: V \rightarrow \mathbb{R}, \alpha_{\alpha, r}(x) := \langle \alpha, x \rangle + r$

is aff. linear, and

$S(R) := \{ \alpha_{\alpha, r} \mid \alpha \in R, r \in \mathbb{Z} \}$ is an irred. aff. root sys. \square

$\alpha + rc$

Dynkin diagram of S

Choose $B = \{a_i \mid i=0, \dots, n\}$: basis of S

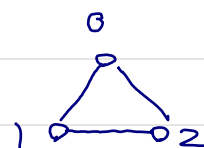
vertex set $I := \{0, \dots, n\}$

$$n_{ij} := \langle a_i^\vee, a_j \rangle \quad (i, j \in I)$$

$$d_{ij} := \max(|n_{ij}|, |n_{ji}|)$$

edges between i and j = d_{ij}

$$i \rightarrow j \quad \text{if } |a_i| > |a_j|$$



$S(A_3)$

classification of irreducible root sys. (see [Macdonald 2003, §1.3])

Dfn. & claim $S^\vee := \{a^\vee \in F \mid a \in S\}$: aff. root sys. \square

reduced $\Rightarrow S = S(R)$ or $S(R)^\vee$, R : irred fin. root sys. of type X
 called type X X^\vee $(A_n - f_2, BC_n)$

A_{n-1}	B_{n-3}	B_n^\vee
D_{n-4}	C_{n-2}	G_n^\vee
E_6	F_4	F_4^\vee
BC_{n-1}	f_2	G_2^\vee

$(S(R)^\vee \cong S(R))$

non-reduced $\Rightarrow S = S_1 \cup S_2$, $W_{S_1} \cong W_{S_2}$

$S_1 := \{a \in S \mid \frac{1}{2}a \notin S\}$ } reduced irred.
 $S_2 := \{a \in S \mid \frac{1}{2}a \in S\}$ } aff. root systems.

called type (X, Y) X : type of S_1 , Y : type of S_2

$(X, Y) = (C_n^\vee, C_n)$

- $(C_2, G_2^\vee), (B_n, B_n^\vee) \quad n \geq 3$
 - $(C_n^\vee, BC_n) \quad n \geq 1$
 - $(BC_n, C_n) \quad n \geq 1$
- (subsys. of (C_n^\vee, C_n))

of W_S -orbits (= # of Hecke parameters)

#	reduced	non-red
1	A, D, E,	
2	B, B^\vee , F, F^\vee , G, G^\vee , BC_1	
3	$C, C^\vee, BC_{n \geq 2}$	others
4		(C_1^\vee, C_1) Askey-Wilson
5		$(C_n^\vee, C_n)_{n \geq 2}$ Koornwinder