

2021/01/04

Osaka AG Seminar

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# Geometric derived Hall algebra I

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# 0 Introduction

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The **derived Hall algebra** introduced by Toën (2006) is a version of Ringel-Hall algebra. Roughly it is a “Hall algebra for complexes”.

In the case of ordinary Ringel-Hall algebra, we know **Lusztig’s geometric formulation** using the theory of derived categories of constructible sheaves on **moduli spaces of Quiver representations**, which are realized as **Artin stacks**.

I will explain a geometric formulation of derived Hall algebras using the theory of derived categories of constructible sheaves on **moduli spaces of complexes of Quiver representations**, which are realized as **geometric derived stacks**.

Based on my preprint

S. Yanagida, “Geometric derived Hall algebra”, arXiv:1912.05442.

See also

柳田伸太郎, 「幾何学的導来 Hall 代数」代数学シンポジウム講演集 (2020).

Today I will explain

- Ringel-Hall algebras and Toën's derived Hall algebras (§1).
- Outline of geometric construction of derived Hall algebras (§2).
- Derived stacks and geometric derived stacks [Toën-Vezzosi, 2008] (§3).
- Moduli spaces of complexes via geometric derived stacks [Toën-Vaquié, 2009] (§4).

In the second talk I will explain

- Moduli spaces of complexes via geometric derived stacks (§4).
- Lisse-étale constructible  $\ell$ -adic sheaves over derived stacks (§5).
- Derived category and derived functors (§6).
- Geometric construction of derived Hall algebras (§7).

# 1 Ringel-Hall algebra and derived Hall algebra

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## 1.1 Ringel-Hall algebra

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$\mathbf{A}$ : an  $\mathbb{F}_q$ -linear abelian category of finite global dimension,

$\text{Iso}(\mathbf{A})$ : the set of isomorphism classes of objects in  $\mathbf{A}$ ,

$\mathbb{Q}_c(\mathbf{A})$ : the linear space of  $\mathbb{Q}$ -valued functions on  $\text{Iso}(\mathbf{A})$  with finite supports,

$1_{[M]}$ : the characteristic function of  $[M] \in \text{Iso}(\mathbf{A})$ , forming a basis of  $\mathbb{Q}_c(\mathbf{A})$ .

**Theorem** (Ringel, 1990).  $H(\mathbf{A}) := (\mathbb{Q}_c(\mathbf{A}), *, 1_{[0]})$  is a unital associative  $\mathbb{Q}$ -algebra, where

$$1_{[M]} * 1_{[N]} := \sum_{[L] \in \text{Iso}(\mathbf{A})} g_{M,N}^L 1_{[L]},$$

$$g_{M,N}^L := a_M^{-1} a_N^{-1} e_{M,N}^L, \quad a_M := |\text{Aut}(M)|,$$

$$e_{M,N}^L := |\{0 \rightarrow N \rightarrow L \rightarrow M \rightarrow 0 \mid \text{exact in } \mathbf{A}\}|.$$

Another definition of  $g_{M,N}^L$ :

$$g_{M,N}^L = |\mathcal{G}_{M,N}^L|, \quad \mathcal{G}_{M,N}^L := \{N' \subset L \mid N' \simeq N, L/N' \simeq M\}.$$

$g_{M,N}^L$  counts the number of pairs  $N \subset L$ .

The extended Hall algebra  $\tilde{H}(A) := \mathbb{C}K_0(A) \otimes_{\mathbb{C}} H(A)$

- Fix  $\nu = q^{1/2} \in \mathbb{C}$ . Denote  $k_\alpha \in \mathbb{C}K_0(A)$  for  $\alpha \in K_0(A)$ .
- $k_\alpha * [M] = \nu^{\chi_S(\alpha, M)} [M] * k_\alpha$ ,  
 $\chi(\cdot, \cdot) := \sum_{i \geq 0} (-1)^i \dim_{\mathbb{F}_q} \text{Ext}_A^i(\cdot, \cdot)$ : Euler form,  $\chi_S(M, N) := \chi(M, N) + \chi(N, M)$ .

## Ringel's realization of quantum groups via Hall algebras

- $Q$ : a quiver without loop.  
 $\text{Rep}_{\mathbb{F}_q}^{\text{nilp}} Q = \text{mod-}\mathbb{F}_q Q$ : the category of representations of  $Q$ .  
 $\rightsquigarrow$  the extended Hall algebra  $\tilde{H}(\text{Rep}_{\mathbb{F}_q}^{\text{nilp}} Q)$ .
- $U_\nu(\mathfrak{g}_Q)$ : the quantum group associated to the Kac-Moody Lie algebra  $\mathfrak{g}_Q$ .  
 $U_\nu(\mathfrak{b}_Q) \subset U_\nu(\mathfrak{g}_Q)$ : Borel subalgebra.

**Theorem** (Ringel, 1990). There is an algebra embedding

$$U_\nu(\mathfrak{b}_Q) \hookrightarrow \tilde{H}(\text{Rep}_{\mathbb{F}_q}^{\text{nilp}} Q), \quad E_i \longmapsto [S_i], \quad K_i \longmapsto k_{\overline{S_i}}.$$

If  $Q$  is of type ADE, then it is an isomorphism.

**Theorem** (Green, 1995; Xiao, 1997). If  $\mathcal{A}$  is a **hereditary** finitary abelian category, then  $\tilde{H}(\mathcal{A})$  has a structure of **bialgebra**.

If moreover the number of subobjects for any object is finite, then  $\tilde{H}(\mathcal{A})$  has a structure of **Hopf algebra**.

Green's coproduct and Hopf inner product:

$$\Delta([L]) := \sum_{[M],[N]} \nu^{\chi(M,N)} \frac{|\mathrm{Ext}_{\mathcal{A}}(M, N)_L|}{|\mathrm{Aut}_{\mathcal{A}}(L)|} [M] \otimes [N],$$

$$([M] * k_{\alpha}, [N] * k_{\beta}) = \frac{\delta_{M,N} \chi_S(\alpha, \beta)}{|\mathrm{Aut}_{\mathcal{A}}(M)|}.$$

**Theorem** (Green, 1995; Xiao, 1997). Ringel's algebra embedding  $U_{\nu}(\mathfrak{b}_Q) \hookrightarrow \tilde{H}(\mathrm{Rep}_{\mathbb{F}_q}^{\mathrm{nilp}} Q)$  is an **embedding of Hopf algebras**.

## Hall algebras for smooth projective curves

- $C$ : a smooth projective curve over  $\mathbb{F}_q$ .  
The abelian category  $\text{Coh}(C)$  is finitary and hereditary.  
 $\rightsquigarrow$  A topological bialgebra  $\tilde{H}(\text{Coh}(C))$ .
- [Kapranov,1997]: The case  $C = \mathbb{P}^1$ .  
a central extension  $\tilde{H}'(\mathbb{P}^1)$  of  $\tilde{H}(\text{Coh}(\mathbb{P}^1))$  has a sub-bialgebra isomorphic to the Borel subalgebra  $U_\nu(\mathcal{L}\mathfrak{b}_+)$  of the quantum loop algebra  $U_\nu(\mathcal{L}\mathfrak{sl}_2)$ :  
$$U_\nu(\mathcal{L}\mathfrak{b}_+) \hookrightarrow \tilde{H}'(\mathbb{P}^1).$$
- [Burban-Schiffmann, 2006 (2012)]: The case  $C$  is an elliptic curve  $E$ .  
a central extension  $\tilde{H}'(E)$  of  $\tilde{H}(\text{Coh}(E))$  has a sub-bialgebra isomorphic to the “Borel subalgebra” of the  $\mathfrak{gl}_1$ -quantum toroidal algebra (Ding-Iohara-Miki algebra).

## 1.2 Derived Hall algebra

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Toën introduced an analogue of Ringel-Hall algebra for a dg-category.

Rough idea: instead of counting subobjects, **count cofibrations up to homotopy**.

Notations on dg-categories and of model categories

$C(\mathbb{F}_q)$ : the model dg-category of complexes of  $\mathbb{F}_q$ -modules.

: A fibration is defined to be an epimorphism.

A weak equivalence is defined to be a quasi-isomorphism.

$D$ : a dg-category over  $\mathbb{F}_q$ .

$M(D)$ : the model **dg-category of dg-modules** over  $D^{\text{op}}$ .

: A dg-module means a  $C(\mathbb{F}_q)$ -enriched functor  $D^{\text{op}} \rightarrow C(\mathbb{F}_q)$ .

: The model structure is induced by that of  $C(\mathbb{F}_q)$ .



## Notations on the simplicial homotopy theory

$\mathbf{sSet} := \text{Fun}(\Delta^{\text{op}}, \text{Set})$ : the **category of simplicial sets** and simplicial maps  
: having Kan model structure where a fibration is a Kan fibration and  
a weak equivalence is a homotopy equivalence of geom. realizations.

$\mathcal{H} := \text{Ho sSet}$ : the homotopy category of the model category  $\mathbf{sSet}$ ,  
called the **homotopy category of spaces**.

We have the standard Quillen adjunction

$$| | : \mathbf{sSet} \rightleftarrows \mathcal{CG} : \text{Sing}$$

between  $\mathbf{sSet}$  and the category  $\mathcal{CG}$  of compactly generated Hausdorff spaces.

Thus  $\mathcal{H} = \text{Ho sSet} \simeq \text{Ho } \mathcal{CG}$ .

## Preliminaries for counting cofibrations

For a dg-category  $D$ , the category  $M(D)$  is  $C(\mathbb{F}_q)$ -enriched, so one can attach a simplicial set

$$\mathrm{Map}_{M(D)}(X, Y) := N(\mathrm{Hom}_{M(D)}(X, Y)) \in \mathrm{sSet}$$

where  $N(\ ) : C(\mathbb{F}_q) \rightarrow \mathrm{sSet}$  denotes the nerve construction.

**Definition.** A dg-module  $X \in M(D)$  is **perfect** if for any filtered system  $\{Y_i\}_{i \in I}$  in  $M(D)$  the natural morphism

$$\varinjlim_{i \in I} \mathrm{Map}_{M(D)}(X, Y_i) \longrightarrow \mathrm{Map}_{M(D)}(X, \varinjlim_{i \in I} Y_i)$$

is an isomorphism in  $\mathcal{H}$ .

## The diagram of correspondence

D: dg-category

P(D): the sub-dg-category of M(D) of cofibrant and perfect objects  
and of weak equivalences

$G'(D) := \text{Fun}(\Delta^1, M(D))$ , where  $I = \Delta^1$  is the 1-simplex  
: with the model structure induced levelwise by M(D)

G(D): the sub-dg-cat. of  $G'(D)$  of **cofibrant** and perfect objects  
: considered as the **category of cofibrations**  $X \hookrightarrow Y$

For an object  $u : X \rightarrow Y$  in G(D),

$$s(u) := X, \quad c(u) := Y, \quad t(u) := Y \coprod^X 0,$$

which yield a diagram of dg-categories:

$$\begin{array}{ccc} G(D) & \xrightarrow{c} & P(D) & & (X \hookrightarrow Y) & \dashrightarrow & Y \\ & & \downarrow s \times t & & \downarrow & & \\ P(D) \times P(D) & & & & (X, "Y/X") & & \end{array}$$

Define  $X^{(0)}(\mathbf{D}), X^{(1)}(\mathbf{D}) \in \mathcal{H}$  by

$$X^{(0)}(\mathbf{D}) := [\mathbb{N}_{\text{dg}}(\mathbf{P}(\mathbf{D}))], \quad X^{(1)}(\mathbf{D}) := [\mathbb{N}_{\text{dg}}(\mathbf{G}(\mathbf{D}))],$$

where  $\mathbb{N}_{\text{dg}}$  denotes the dg nerve construction [Lurie, Higher Algebra] and  $[\cdot] : \text{sSet} \rightarrow \mathcal{H}$ . Then we have the diagram of homotopy types

$$\begin{array}{ccc} X^{(1)}(\mathbf{D}) & \xrightarrow{c} & X^0(\mathbf{D}) \\ \downarrow s \times t & & \\ X^{(0)}(\mathbf{D}) \times X^{(0)}(\mathbf{D}) & & \end{array}$$

**Lemma.** If the dg-category  $\mathbf{D}$  is **locally finite**, then  $s \times t$  is proper and the homotopy types  $X^{(i)}(\mathbf{D}) \in \mathcal{H}$  are **locally finite**.

Here we used:

**Definition.** A dg-category  $\mathbf{D}$  is called **locally finite** if the complex  $\text{Hom}_{\mathbf{D}}(x, y)$  is homologically bounded with finite-dimensional homology groups for any  $x, y \in \mathbf{D}$ .

**Definition.** A homotopy type  $X \in \mathcal{H}$  is called **locally finite** if for any  $x \in X$  the group  $\pi_i(X, x)$  is finite and there exists an  $n \in \mathbb{N}$  such that  $\pi_i(X, x)$  is trivial for  $i > n$ .

$\mathcal{H}^{\text{lf}}$ : the full subcategory of  $\mathcal{H}$  spanned by locally finite objects

## The definition of derived Hall algebra

For  $X \in \mathcal{H}^{\text{lf}}$ , we denote  $\mathbb{Q}_c(X) := \{\alpha : \pi_0(X) \rightarrow \mathbb{Q} \mid \text{having compact support}\}$ .

For a morphism  $f : X \rightarrow Y$  in  $\mathcal{H}^{\text{lf}}$ , define  $f^* : \mathbb{Q}_c(Y) \rightarrow \mathbb{Q}_c(X)$  by

$$f^*(\alpha)(x) := \alpha(f(x)) \quad (\alpha \in \mathbb{Q}_c(Y), x \in \pi_0(X)).$$

Also define a linear map  $f_! : \mathbb{Q}_c(X) \rightarrow \mathbb{Q}_c(Y)$  by

$$f_!(\alpha)(y) := \sum_{x \in \pi_0(X), f(x)=y} \alpha(x) \cdot \prod_{i>0} \left( |\pi_i(X, x)|^{(-1)^i} |\pi_i(Y, y)|^{(-1)^{i+1}} \right).$$

**Theorem** (Toën 2006). Let  $D$  be a locally finite dg-category over  $\mathbb{F}_q$ . Then

$$H(D) = \mathbb{Q}_c(X^{(0)}(D))$$

has a structure of a unital associative  $\mathbb{Q}$ -algebra with the multiplication

$$\mu := c_! \circ (s \times t)^* : H(D) \otimes_{\mathbb{Q}} H(D) \longrightarrow H(D).$$

We call  $H(D)$  the **derived Hall algebra** of  $D$ .

## 1.3 An example of derived Hall algebra — Jordan quiver

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[Shimoji-Y., "A study of symmetric functions via derived Hall algebra"]

- $Q = (\{\bullet\}, \{a\})$ : the Jordan quiver



- $A = \text{Rep}_{\mathbb{F}_q}^{\text{nilp}} Q$ : the category of nilpotent representations of  $Q$  over  $k = \mathbb{F}_q$ .

: a hereditary abelian category

$\rightsquigarrow$  the Ringel-Hall algebra  $H_{\text{cl}} := H(A)$  is a Hopf algebra,

called the **classical Hall algebra**.

- For a partition  $\lambda = (\lambda_1, \lambda_2, \dots)$ ,  $\lambda_i \in \mathbb{N}$ ,  $\lambda_1 \geq \lambda_2 \geq \dots$ ,  $\lambda_n \gg 0 = 0$ , we define  $I_\lambda \in A$  by

$$I_\lambda := (k^{|\lambda|}, J_\lambda), \quad J_\lambda := J_{\lambda_1} \oplus J_{\lambda_2} \oplus \dots$$

Here we used  $|\lambda| := \sum_{i \geq 1} \lambda_i$  and

$$J_n := \begin{bmatrix} 0 & 1 & & & \\ & 0 & 1 & & \\ & & \ddots & \ddots & \\ & & & 0 & 1 \\ & & & & 0 \end{bmatrix}.$$

We also consider  $\emptyset = ()$  as a partition, and set  $I_\emptyset = (0, 0)$ .

**Lemma.** Objects in  $A$  are described as follows.

- (1)  $\text{Iso}(A) = \{[I_\lambda] \mid \lambda : \text{partitions}\}$ .
- (2) Simple objects of  $A$  are isomorphic to  $I_{(1)} = (k, 0)$ .
- (3) Indecomposable objects are isomorphic to  $I_{(n)}$  with some  $n \in \mathbb{N}$ .

**Fact** (Hall,Steiniz,Macdonald). The classical Hall algebra  $H_{\text{cl}} = H(A)$  is described as

$$H_{\text{cl}} = (\mathbb{Q}_c(A), *, [0], \Delta, \epsilon, S), \quad \mathbb{Q}_c(A) = \bigoplus_{\lambda:\text{partitions}} \mathbb{Q}[I_\lambda],$$

$$[I_\mu] * [I_\nu] = \sum_{\lambda:\text{partitions}} g_{\mu,\nu}^\lambda [I_\lambda], \quad g_{\mu,\nu}^\lambda := |\mathcal{G}_{\mu,\nu}^\lambda|,$$

$$\mathcal{G}_{\mu,\nu}^\lambda := \mathcal{G}_{I_\mu, I_\nu}^{I_\lambda} = \{N \subset I_\lambda \mid N \simeq I_\nu, I_\lambda/N \simeq I_\mu\},$$

$$\Delta([I_\lambda]) := \sum_{\mu,\nu} a_\lambda^{-1} a_\mu a_\nu g_{\mu,\nu}^\lambda \cdot [I_\mu] \otimes [I_\nu], \quad a_\lambda := a_{I_\lambda} = |\text{Aut}_A(I_\lambda)|.$$

**Lemma.** On the structure constant  $g_{\lambda,\mu}^\nu = |\mathcal{G}_{\lambda,\mu}^\nu|$  of  $H(A)$ , we have

- (1)  $\mathcal{G}_{(1^{n-r}), (1^r)}^{(1^n)} = \text{Gr}(n, r)$ , and  $g_{(1^{n-r}), (1^r)}^{(1^n)} = \begin{bmatrix} n \\ r \end{bmatrix}_q$ .
- (2)  $\mathcal{G}_{(n-r), (r)}^{(n)}$  consists of one point, and  $g_{(n-r), (r)}^{(n)} = 1$ .

**Theorem** (Shimoji-Y.). The **derived Hall algebra**  $\text{DH}_{\text{cl}}$  for the perfect complexes in  $A = \text{Rep}_{\mathbb{F}_q}^{\text{nilp}} Q$  is a unital associative algebra with generators

$$\{Z_\lambda^{[n]} \mid n \in \mathbb{Z}, \lambda \neq \emptyset : \text{partitions}\}$$

and  $Z_\emptyset^{[n]} = 1$ , and the relations

$$Z_\lambda^{[n]} * Z_\mu^{[n]} = \sum_{\nu: \text{partitions}} g_{\lambda, \mu}^\nu Z_\nu^{[n]}, \quad Z_\lambda^{[n]} * Z_\mu^{[m]} = Z_\mu^{[m]} * Z_\lambda^{[n]}, \quad (|n - m| > 1),$$

$$Z_\lambda^{[n]} * Z_\mu^{[n+1]} = \sum_{\alpha, \beta: \text{partitions}} \gamma_{\lambda, \mu}^{\alpha, \beta} Z_\alpha^{[n+1]} * Z_\beta^{[n]}, \quad (\#)$$

The relation  $(\#)$  is equivalent to the following **Heisenberg relation**: For  $k \in \mathbb{Z}_{>0}$  we define  $b_{\pm k}^{[n]} \in \text{DH}_{\text{cl}}$  by

$$b_k^{[n]} := \sum_{|\lambda|=k} (q; q)_{\ell(\lambda)-1} Z_\lambda^{[n]}, \quad b_{-k}^{[n]} := \sum_{|\lambda|=k} (q; q)_{\ell(\lambda)-1} Z_\lambda^{[n+1]}.$$

We also set  $b_0 := 1 \in \text{DH}_{\text{cl}}$ . Then we have

$$b_k^{[n]} * b_l^{[n]} - b_k^{[n]} * b_l^{[n]} = \delta_{k+l,0} \frac{k}{q^k - 1}.$$



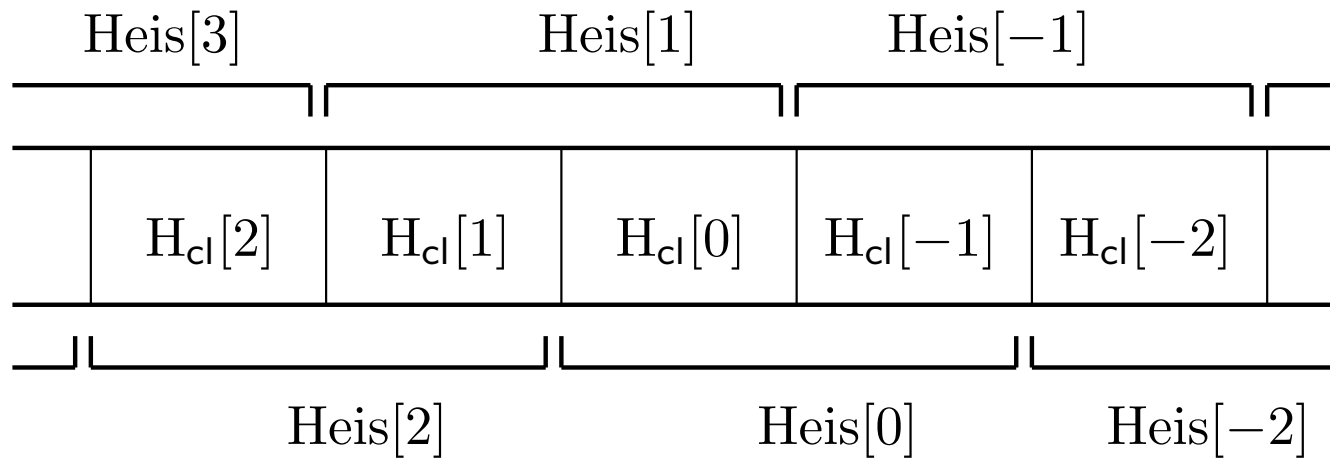


Figure 1 Infinite family of Heisenberg subalgebras in  $DH_{cl}$

## 2 Outline of our geometric construction

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$D$ : a locally finite dg-category over  $\mathbb{F}_q$

**Theorem** (Toën-Vaquié (2009)). We have the **moduli stack  $\mathcal{P}(D)$  of perfect dg-modules** over  $D^{\text{op}}$ .

It is a **derived stack**, locally geometric and locally of finite type.

We can also construct the **moduli stack of cofibrations  $X \rightarrow Y$**  of perfect-modules over  $D^{\text{op}}$ , denoted by  $\mathcal{G}(D)$ .

There exist morphisms

$$s, c, t : \mathcal{G}(D) \longrightarrow \mathcal{P}(D)$$

of derived stacks which send  $u : X \rightarrow Y$  to

$$s(u) = X, \quad c(u) = Y, \quad t(u) = Y \prod^X 0.$$

where  $s, t$  are smooth and  $c$  is proper.

## The diagram of geometric correspondence

Thus we have the diagram

$$\begin{array}{ccc} \mathcal{G}(\mathcal{D}) & \xrightarrow{c} & \mathcal{P}(\mathcal{D}) \\ p \downarrow & & \\ \mathcal{P}(\mathcal{D}) \times \mathcal{P}(\mathcal{D}) & & \end{array}$$

of derived stacks with smooth  $p := s \times t$  and proper  $c$ .

Next let  $\Lambda := \overline{\mathbb{Q}}_\ell$  where  $\ell$  and  $q$  are assumed to be coprime.

We have the [derived category  \$D\_c^b\(\mathcal{X}, \Lambda\)\$](#)  of [constructible lisse-étale  \$\Lambda\$ -sheaves](#) over a locally geometric derived stack  $\mathcal{X}$ , and [Grothendieck's six operations](#).

Applying the general theory to the present situation, we have

$$\begin{array}{ccc} D_c^b(\mathcal{G}(\mathcal{D}), \Lambda) & \xrightarrow{c!} & D_c^b(\mathcal{P}(\mathcal{D}), \Lambda) \\ p^* \uparrow & & \\ D_c^b(\mathcal{P}(\mathcal{D}) \times \mathcal{P}(\mathcal{D}), \Lambda) & & \end{array}$$

## Main Theorem

$$\begin{array}{ccc} D_c^b(\mathcal{G}(\mathbf{D}), \Lambda) & \xrightarrow{c!} & D_c^b(\mathcal{P}(\mathbf{D}), \Lambda) \\ & \uparrow p^* & \\ D_c^b(\mathcal{P}(\mathbf{D}) \times \mathcal{P}(\mathbf{D}), \Lambda) & & \end{array}$$

Now we set

$$\mu : D_c^b(\mathcal{P}(\mathbf{D}) \times \mathcal{P}(\mathbf{D}), \Lambda) \longrightarrow D_c^b(\mathcal{P}(\mathbf{D}), \Lambda), \quad M \longmapsto c!p^*(M)[\dim p]$$

**Theorem 1.**  $\mu$  is associative.

# 3 Derived stacks

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## 3.1 Derived schemes and derived stacks

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Notations on  $\infty$ -categories:

- $\Lambda_j^n \subset \Delta^n$  denotes the  $j$ -th horn of the  $n$ -simplex  $\Delta^n$  ( $0 \leq j \leq n$ ).
- An  **$\infty$ -category** is a simplicial set  $K$  such that for any  $n \in \mathbb{N}$  and any  $0 < i < n$ , any map  $f_0 : \Lambda_i^n \rightarrow K$  of simplicial sets admits an extension  $f : \Delta^n \rightarrow K$ .

Notations on commutative simplicial algebras:

- $k$ : a commutative ring.
- $\text{sCom}$ : the category of commutative simplicial  $k$ -algebra.
- $\text{sCom}_\infty$ : the  $\infty$ -category obtained by localizing  $\text{sCom}$  via the set of weak equivalences in the Kan model category  $\text{sCom} \subset \text{sSet}$ .

**Definition.** We call  $\text{dAff}_\infty := (\text{sCom}_\infty)^{\text{op}}$  the  $\infty$ -category of **affine derived schemes**.

Turn to the definition of derived stacks.

**Definition.** A morphism  $A \rightarrow B$  in  $\text{sCom}_\infty$  is called **étale** [smooth] if

- the induced  $\pi_0(A) \rightarrow \pi_0(B)$  is an étale [smooth] map of commutative  $k$ -algebras,
- the induced  $\pi_i(A) \otimes_{\pi_0(A)} \pi_0(B) \rightarrow \pi_i(B)$  is an isomorphism for any  $i$ .

Étale morphisms endow  $\text{dAff}_\infty = (\text{sCom}_\infty)^{\text{op}}$  with a **Grothendieck topology** et.  
(I will explain Grothendieck topologies on  $\infty$ -categories in the next page.)

**Definition.** The  **$\infty$ -category of derived stacks** is defined to be

$$\text{dSt}_\infty := \text{Sh}_{\infty, \text{et}}(\text{dAff}_\infty) \subset \text{PSh}_\infty(\text{dAff}_\infty) := \text{Fun}_\infty((\text{dAff}_\infty)^{\text{op}}, \mathcal{S}).$$

$\mathcal{S}$ : the  **$\infty$ -category of spaces**. (See [Lurie, HTT] for the detail.)

- $\text{Kan} \subset \text{sSet}$ : the full subcategory of Kan complexes, which is a **simplicial category**.
- $\text{N}_{\text{sp}}(\ )$ : **simplicial nerve construction**,  
a functor mapping a simplicial category to a simplicial set.
- $\mathcal{S} := \text{N}_{\text{sp}}(\text{Kan})$ .
- The homotopy category of the  $\infty$ -category  $\mathcal{S}$  is equivalent to  
 $\mathcal{H} := \text{Ho sSet}$ , the homotopy category of spaces (Quillen equivalence).

Grothendieck topology on an  $\infty$ -category [Lurie, HTT, §6.2.2], [Toën-Vezzosi 1].

**Definition. 1.** A **sieve on an  $\infty$ -category  $\mathcal{C}$**  is a full sub- $\infty$ -category  $\mathcal{C}^{(0)} \subset \mathcal{C}$  s.t.  $X \in \mathcal{C}^{(0)}$  holds for any  $Y \in \mathcal{C}^{(0)}$  and any morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$ .

2. A **sieve on  $X \in \mathcal{C}$**  is a sieve on the over- $\infty$ -category  $\mathcal{C}_{/X}$ .

- For a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$  of  $\infty$ -categories and a sieve  $\mathcal{D}^{(0)} \subset \mathcal{D}$ , the homotopy fiber product gives a sieve  $F^{-1}\mathcal{D}^{(0)} := \mathcal{D}^{(0)} \times_{\mathcal{D}} \mathcal{C} \subset \mathcal{C}$  on  $\mathcal{C}$ .
- For a morphism  $f : X \rightarrow Y$  in  $\mathcal{C}$  and a sieve  $\mathcal{C}_{/Y}^{(0)}$  on  $Y$ , we have a sieve  $f^*\mathcal{C}_{/Y}^{(0)} := (f_*)^{-1}\mathcal{C}_{/Y}^{(0)}$  on  $X$ .  
( $f_* : \mathcal{C}_{/X} \rightarrow \mathcal{C}_{/Y}$ : the natural functor of over- $\infty$ -categories.)

**Definition.** A **Grothendieck topology  $\tau$  on an  $\infty$ -category  $\mathcal{C}$**  is a choice of a collection  $\text{Cov}(X)$  of sieves on each  $X \in \mathcal{C}$  (**covering sieves on  $X$** ) s.t.

- For any  $X \in \mathcal{C}$ ,  $\mathcal{C}_{/X} \in \text{Cov}(X)$ .
- For any  $f : X \rightarrow Y$  in  $\mathcal{C}$  and any  $\mathcal{C}_{/Y}^{(0)} \in \text{Cov}(Y)$ ,  $f^*\mathcal{C}_{/Y}^{(0)} \in \text{Cov}(X)$ .
- For  $Y \in \mathcal{C}$  and  $\mathcal{C}_{/Y}^{(0)} \in \text{Cov}(Y)$ , if  $\mathcal{C}_{/Y}^{(1)}$  is a sieve on  $Y$  s.t.  $f^*\mathcal{C}_{/Y}^{(1)} \in \text{Cov}(X)$  holds for any  $(f : X \rightarrow Y) \in \mathcal{C}_{/Y}^{(0)}$ , then  $\mathcal{C}_{/Y}^{(1)} \in \text{Cov}(Y)$ .

If  $\mathcal{C}$  is a nerve of a category  $\mathcal{C}$ , then a Grothendieck topology on  $\mathcal{C}$  is equiv. to that on  $\mathcal{C}$ .

Back to the definition of derived stacks:

$$\mathbf{dSt}_\infty := \mathbf{Sh}_{\infty, \text{et}}(\mathbf{dAff}_\infty) \subset \mathbf{PSh}_\infty(\mathbf{dAff}_\infty) := \mathbf{Fun}_\infty((\mathbf{dAff}_\infty)^{\text{op}}, \mathcal{S}),$$

where  $\mathbf{Sh}_{\infty, \text{et}}(\mathbf{dAff}_\infty)$  denotes the  $\infty$ -category of sheaves with respect to the Grothendieck topology *et*.

A derived stack corresponds to a stack in the ordinary algebraic geometry. In the next subsection, I introduce [geometric derived stacks](#) in the sense of Toën-Vezzosi, which corresponds to an algebraic/Artin stack.

**Remark.** I use the terminology “geometric derived stacks” following [Toën-Vezzosi, Homotopical Algebraic Geometry II, Mem. AMS, 2008]. It is equivalent to “derived Artin stacks” in [Toën, Derived algebraic geometry, EMS Surv. Math. Sci., 2014].



## 3.2 Geometric derived stacks

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For  $n \in \mathbb{Z}_{\geq -1}$ , one defines an  $n$ -geometric derived stack inductively on  $n$ .

At the same time one also defines an  $n$ -atlas, a  $n$ -representable morphism and a  $n$ -smooth morphism of derived stacks.

- Let  $n = -1$ .
  1. A  $(-1)$ -geometric derived stack is defined to be an affine derived scheme.
  2. A morphism  $f : \mathcal{X} \rightarrow \mathcal{Y}$  of derived stacks is called  $(-1)$ -representable if for any affine derived scheme  $U$  and any morphism  $U \rightarrow \mathcal{Y}$  of derived stacks, the pullback  $\mathcal{X} \times_{\mathcal{Y}} U$  is an affine derived scheme.
  3. A morphism  $f : \mathcal{X} \rightarrow \mathcal{Y}$  of derived stacks is called  $(-1)$ -smooth if it is  $(-1)$ -representable, and if for any affine derived scheme  $U$  and any morphism  $U \rightarrow \mathcal{Y}$  of derived stacks, the induced morphism  $\mathcal{X} \times_{\mathcal{Y}} U \rightarrow U$  is a smooth morphism of affine derived schemes.
  4. A  $(-1)$ -atlas of a stack  $\mathcal{X}$  is defined to be the one-member family  $\{\mathcal{X}\}$ .

Recall: A morphism  $A \rightarrow B$  in  $\text{sCom}_{\infty}$  is called  $\text{étale}$  [smooth] if

- the induced  $\pi_0(A) \rightarrow \pi_0(B)$  is an  $\text{étale}$  [smooth] map of commutative  $k$ -algebras,
- the induced  $\pi_i(A) \otimes_{\pi_0(A)} \pi_0(B) \rightarrow \pi_i(B)$  is an isomorphism for any  $i$ .

- Let  $n \in \mathbb{N}$ .
  1. Let  $\mathcal{X}$  be a derived stack. An  $n$ -atlas of  $\mathcal{X}$  is a small family  $\{U_i \rightarrow \mathcal{X}\}_{i \in I}$  of morphisms of derived stacks satisfying the following three conditions.
    - Each  $U_i$  is an affine derived scheme.
    - Each morphism  $U_i \rightarrow \mathcal{X}$  is  $(n - 1)$ -smooth.
    - The morphism  $\coprod_{i \in I} U_i \rightarrow \mathcal{X}$  is an epimorphism.
  2. A derived stack  $\mathcal{X}$  is called  $n$ -geometric if the following two conditions are satisfied.
    - The diagonal morphism  $\mathcal{X} \rightarrow \mathcal{X} \times \mathcal{X}$  is  $(n - 1)$ -representable.
    - There exists an  $n$ -atlas of  $\mathcal{X}$ .
  3. A morphism  $f : \mathcal{X} \rightarrow \mathcal{Y}$  of derived stacks is called  $n$ -representable if for any affine derived scheme  $U$  and for any morphism  $U \rightarrow \mathcal{Y}$  of derived stacks, the derived stack  $\mathcal{X} \times_{\mathcal{Y}} U$  is  $n$ -geometric.
  4. A morphism  $f : \mathcal{X} \rightarrow \mathcal{Y}$  of derived stacks is called  $n$ -smooth if for any affine derived scheme  $U$  and any morphism  $U \rightarrow \mathcal{Y}$  of derived stacks, there exists an  $n$ -atlas  $\{U_i\}_{i \in I}$  of  $\mathcal{X} \times_{\mathcal{Y}} U$  such that for each  $i \in I$  the composition  $U_i \rightarrow \mathcal{X} \times_{\mathcal{Y}} U \rightarrow U$  is a smooth morphism of affine derived schemes.

To an algebraic stack  $\mathcal{X}$  in the ordinary sense, one can attach a derived stack  $j(\mathcal{X})$  functorially.

**Fact** (Toën-Vezzosi (2008)). For an algebraic stack  $\mathcal{X}$ , the derived stack  $j(\mathcal{X})$  is 1-geometric.

**Remark.** To schemes and algebraic spaces  $X$ , we can also attach derived stacks  $j(X)$ . For affine schemes  $X$ , the derived stack  $j(X)$  is  $(-1)$ -geometric. For schemes and algebraic spaces  $X$ , the derived stacks  $j(X)$  are 1-geometric.

## 4 Moduli spaces of complexes

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In this section we review the theory of moduli stacks of modules over dg-categories via derived stacks [Toen-Vaquié].

### 4.1 Moduli functor of perfect objects

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- $A \in \text{sCom}$ : a commutative simplicial  $k$ -algebra.
- $N(A)$  the normalized chain complex with the structure of a comm.  $k$ -dg-algebra.
- Regarding  $N(A)$  as a dg-category, we have the dg-category of  $N(A)$ -dg-modules:

$$M(A) := M(N(A))$$

- The full sub-dg-category of cofibrant and perfect objects in  $M(A)$ :

$$P(A) := P(N(A)) \subset M(A).$$

**Definition.** For a dg-category  $D$  over  $k$  and  $A \in \text{sCom}$ , we set

$$\mathcal{M}_D(A) := \text{Map}_{\text{dgCat}}(D^{\text{op}}, P(A)),$$

where  $\text{Map}_{\text{dgCat}}$  denotes the mapping space in the model category  $\text{dgCat}$  of dg-categories, which is regarded as a simplicial set.

$$\mathcal{M}_D(A) := \text{Map}_{\text{dgCat}}(D^{\text{op}}, P(A)),$$

Here the model structure is the one introduced by [Tabuada, 2005]:

A dg-functor  $f : D \rightarrow D'$  is

- a weak equivalence if  $f$  is a quasi-isomorphism, and
- a fibration if
  - (i) for any  $M, N \in D$ , the morphism  $f_{MN} : \text{Hom}_D(M, N) \rightarrow \text{Hom}_{D'}(f(M), f(N))$  is an epimorphism of  $k$ -dg-modules, and
  - (ii) for any  $M \in D$  and any isomorphism  $v : N \rightarrow f(M)$  in  $H^0(D')$ , there is an isomorphism  $u : M \rightarrow M'$  in  $H^0(D)$  such that  $H^0(f_{M,N})(u) = v$ .

For a morphism  $A \rightarrow B$  in  $\text{sCom}$ , we obtain a morphism  $\mathcal{M}_D(A) \rightarrow \mathcal{M}_D(B)$  in  $\text{sSet}$  by composition with  $N(B) \otimes_{N(A)} - : P(A) \rightarrow P(B)$ . Thus we obtain a functor

$$\mathcal{M}_D : \text{sCom} \longrightarrow \text{sSet}, \quad \mathcal{M}_D(A) := \text{Map}_{\text{dgCat}}(D^{\text{op}}, P(A)).$$

This construction gives rise to a functor of  $\infty$ -categories

$$\mathcal{M}_D \in \text{PSh}_\infty(\text{dAff}_\infty) = \text{Fun}_\infty((\text{dAff}_\infty)^{\text{op}}, \mathcal{S}).$$

**Fact** ([Toën-Vaquié, Lemma 3.1]). The presheaf  $\mathcal{M}_D \in \text{PSh}_\infty(\text{dAff}_\infty)$  is a derived stack over  $k$ . We call it the **moduli stack of perfect  $D^{\text{op}}$ -dg-modules**

**Remark.** • The 0-th homotopy  $\pi_0(\mathcal{M}_D(k))$  is bijective to the set of isomorphism classes of perfect  $D$ -dg-modules in  $\text{Ho}(\text{M}(D))$ .

• For each  $x \in \text{Ho}(\text{M}(D))$ , we have

$$\pi_1(\mathcal{M}_D, x) \simeq \text{Aut}_{\text{Ho}(\text{M}(D))}(x, x), \quad \pi_i(\mathcal{M}_D, x) \simeq \text{Ext}_{\text{Ho}(\text{M}(D))}^{-i}(x, x) \quad (i \in \mathbb{Z}_{\geq 2}),$$

where  $\text{Ho}(\text{M}(D))$  is regarded as a triangulated category.

To be continued.