

Axion Quintessence in String Theory

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Motivation

- Time varying dark energy
- A comprehensive survey of axion quintessence in IIB string theory
- Several corrections from warping and non-perturbative effect
- SUSY breaking
- A contribution to the CMB polarization angle

Contents

- General idea
- Detailed setup
- Rotation angle of CMB angle
- Discussions
- Comments on SUSY breaking & anomaly mediation

General Idea

Setup : General ideas

4D Axions

Shift symmetry : good for suppressing several awkward couplings



broken by **non-perturbative** effect, or **boundaries**



Generating potentials



$$V(\phi) \sim M_{Pl}^4 e^{-S_{\text{inst}}} \cos \frac{\phi}{f_a}$$

by instanton effect

$$V(\phi) \sim \mu^4 \frac{\phi}{f_a}$$

will be explain later

ϕ : canonically normalized axion, f_a : axion decay constant

Non-perturbative potential

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \quad \text{with} \quad V(\phi) \sim M_P^4 e^{-S_{\text{inst}}} \cos \frac{\phi}{f_a}$$

Quintessence condition  Overdamping with Hubble friction [o6 Svrcek]

$$f_a \lesssim \frac{M_P}{S_{\text{inst}}}, \quad H^2 \geq \frac{M_P^4 e^{-S_{\text{inst}}}}{f_a^2} \quad \Longrightarrow \quad M_P^4 e^{-S_{\text{inst}}} \leq \frac{\Lambda^4}{S_{\text{inst}}^2} \quad \left(H^2 = \frac{\Lambda^4}{3M_P^2} \right)$$



needs to include "N" axions

$$S_{\text{inst}} \sim 280, \quad \underline{N \sim 10^5}$$

Huge number of axions is needed.



Consider the potential from **boundaries!**

Boundary potential

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \quad \text{with} \quad V(\phi) \sim \mu^4 \frac{\phi}{f_a} \quad \text{linear potential}$$

$$\text{Requiring slowly varying potential: } M_P^2 \frac{V''}{V} \lesssim 1, \quad M_P^2 \left(\frac{V'}{V} \right)^2 \lesssim 1$$

$$\longrightarrow \phi \gtrsim M_P$$

Pressure density ratio around $\phi \sim \phi_0$

$$w_\phi = \frac{p_\phi}{\rho_\phi} \sim \frac{M_P^2 - 6\phi_0^2}{M_P^2 + 6\phi_0^2} - \frac{24M_P^4}{(M_P^2 + 6\phi_0^2)^2} \frac{z}{1+z} + \dots$$

Recent observational bound [\[10 Komatsu et.al. and others\]](#)

$$w_{\text{DE}}(z) = w_0 + w_1 \frac{z}{1+z}, \quad w_0 = -0.93 \pm 0.13, \quad w_1 = -0.41^{+0.72}_{-0.71}$$

$$\longrightarrow \phi \geq 2.14 M_P$$

Comments on linear potential

Small cosmological constant

In string setup, D-branes (boundaries of strings) can sit on highly warped throat.

→ $\mu^4 \frac{\phi}{f_a} \sim \Lambda^4 \sim 10^{-123} M_P^4$ *due to warping suppression*
(We will see more detail later.)

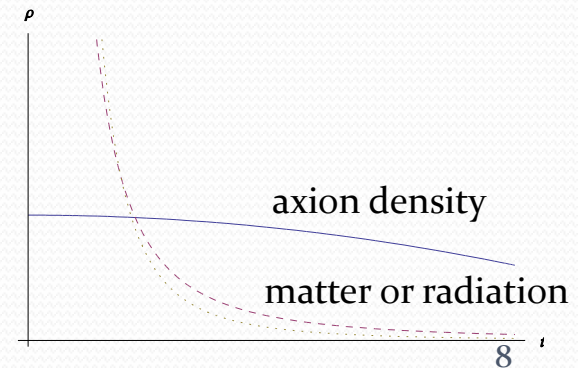
Coincidence problem?

$\rho_m \sim \rho_{\text{DE}}$ at present

$$\Gamma \equiv \frac{V''V}{(V')^2} > 1, \quad \left| \frac{\Gamma'}{\Gamma(V'/V)} \right| \ll 1 \quad \text{but} \quad \left| \frac{\Gamma'}{\Gamma(V'/V)} \right| = 2(1 + \Gamma) \dots$$

Linear potential is sensitive to initial conditions.

→ Fine-tuning of end of universe may help.



Detailed Setup

IIB string setup

[o6 Svrcek-Witten]

$$C_2 = \alpha' a^a w_a, \quad \int_{\Sigma_a^{(2)}} w_b = \delta_{ab} \quad \longrightarrow \quad a \sim a + (2\pi)^2$$

Kinetic term

$$\begin{aligned} S_{\text{IIB}} &= \frac{1}{(2\pi)^7 g_s^2 \alpha'^4} \int \left[R \wedge *1 - \frac{g_s^2}{2} F_3 \wedge *F_3 + \dots \right] \\ &= \int d^4x \sqrt{-g_4} \left[\frac{M_P^2}{2} R^{(4)} - \frac{f_a^2}{2} (\partial a)^2 + \dots \right] \end{aligned}$$

$$\text{with } M_P^2 \sim L^6 M_s^2, \quad f_a^2 \sim \frac{M_P^2}{L^4} \quad \text{where } V_{CY} = L^6 \alpha'^3$$

 slow-roll condition becomes $a \gtrsim L^2/g_s$

Constraint from red giant (low-energy physics)

$$f_a > 10^9 \text{ GeV} \quad \longrightarrow \quad M_s \gtrsim 10^4 \text{ GeV}, \quad L \lesssim 10^5$$

Potentials from brane

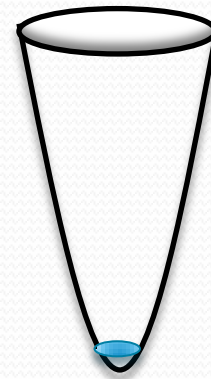
[o8 Silverstein-McAllister-Westphal]

NS5-brane at the bottom of a warped throat

$$S_{\text{NS5}} = - \frac{1}{(2\pi)^5 g_s^2 \alpha'^3} \int d^6 x \sqrt{-\det(P[g + g_s C])}$$



$$ds^2 = e^{2A} dx_4^2 + e^{-2A} dy_6^2$$



$$V_0 = \frac{e^{4A_m}}{(2\pi)^5 g_s^2 \alpha'^2} \sqrt{\ell^4 + g_s^2 a^2} \sim \frac{e^{4A_m} M_s^4}{g_s} a \quad \left(a \ll \frac{\ell^2}{g_s} \ll \frac{L^2}{g_s} \right)$$

For instance, $L \sim 10$, $g_s \sim 1$, $a \sim L^2$

$$e^{A_m} \sim 10^{-28}$$

can be stably-positioned

at the tip of the smoothed geometry (like warped deformed conifold)

e.g. [o1 Giddings-Kachru-Polchinski]

$$e^{A_m} \sim \exp\left(-\frac{2\pi N}{3g_s M^2}\right)$$

Back reaction and corrections

Back reaction of NS5-brane

Five form and warp factor are closely related.

$$F_5 = dC_4 + C_2 \wedge H_3 \quad (\text{or compactified NS5} \rightarrow \text{effective D3})$$

$$\rightarrow \delta e^{-4A} \sim \frac{g_s \alpha'^2 a}{\pi r^4}$$

Volume change due to warping

e.g. Kahler correction [08 Douglas-Frey-Underwood-Torroba]

$$\frac{K}{M_P^2} = -3 \ln \left[T + \bar{T} + \frac{2\tilde{V}_w}{\tilde{V}_{CY}} \right] \quad \left(\tilde{V}_w = \int d^6 y \sqrt{\tilde{g}_6} e^{-4A}, \tilde{V}_{CY} = \int d^6 y \sqrt{\tilde{g}_6} \right)$$

$$\delta \left(\frac{\tilde{V}_w}{\tilde{V}_{CY}} \right) \sim \frac{g_s \alpha'^2}{\pi r_{\text{cutoff}}^4} a \quad T \sim L^4$$

Moduli stabilization and axion

$$V_{\text{mod}} = e^{\frac{K}{M_P^2}} \left(K^{IJ} D_I W D_{\bar{J}} \bar{W} - \frac{3}{M_P^2} |W|^2 \right) + V_{\text{loc}}$$



SUSY min: $D_I W = 0$

$$\sim m_{3/2}^2 M_P^2 \quad m_{3/2} \sim e^{\frac{K}{2M_P^2}} \frac{\langle W \rangle}{M_P^2}$$

Together with warping correction in Kahler

$$\delta V \sim V_{\text{mod}} \frac{a}{L^4} \quad \text{taking } g_s \sim 1, r_{\text{cutoff}} \sim \sqrt{\alpha'}, a \sim L^2$$

e.g. $V_{\text{mod}} \sim \left(\frac{F}{M_P} \right)^2 M_P^2 = F^2 \sim (10 \text{ TeV})^4$

$$\delta V \sim \frac{F^2}{L^2} \lesssim \Lambda^4 \quad \longrightarrow \quad L \gtrsim 10^{31} \quad \text{too large...}$$


Even **Low energy SUSY breaking**
 $M_{\text{compact}} \sim \frac{1}{L\sqrt{\alpha'}} \sim 10^{-96} \text{ eV}$

(neglecting moduli problem for a moment...)

Leading cancellation

\mathbb{Z}_2 position symmetric setup: $r \rightarrow -r$

$\overline{\text{NS5}}$  $-1 \text{ NS5} + \text{NS5} - \overline{\text{NS5}}$

 $-a \text{ D3} + a \text{ D3} - \overline{\text{D3}}$

r dependence: *leading*

sub-leading

Leading suppression

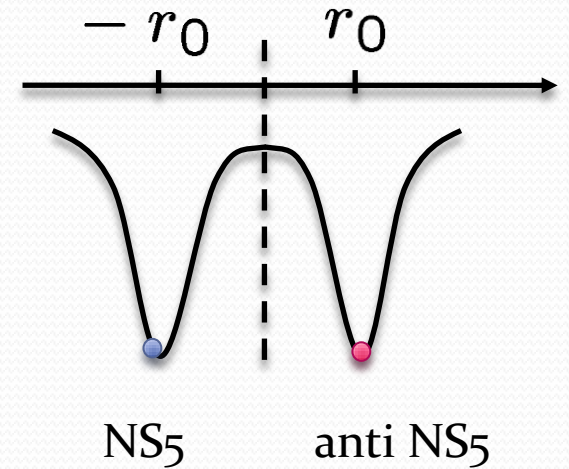
$$V_w = \int d^6y \sqrt{\tilde{g}_6} e^{-4A}$$

$$\sim \left(\frac{N}{2} + a\alpha'^2\right) \int dr \frac{|r+r_0|^5}{|r+r_0|^4} + \left(\frac{N}{2} - a\alpha'^2\right) \int dr \frac{|r-r_0|^5}{|r-r_0|^4}$$

$$\sim N(L^2 + \dots)$$

No axion contributions to leading order $e^{-4A} \sim \mathcal{O}\left(\frac{1}{r^4}\right)$

As like tadpole cancellation in CY compactification



leading


$$\left(\frac{N}{2} + a\alpha'^2\right) \text{D3} \quad \left(\frac{N}{2} - a\alpha'^2\right) \text{D3}$$

+

sub-leading

$a \text{ D3} - \overline{\text{D3}}$
pairs

Brane-anti brane in warped throat



$$\left\{ \begin{array}{l} \text{3 Brane-anti 3 brane force} \sim \mathcal{O}\left(\frac{1}{r^4}\right) \\ \text{D3-brane warped throat: } e^{-4A} \sim \mathcal{O}\left(\frac{1}{r^4}\right) \end{array} \right.$$

Brane-anti brane backreaction in warped throat [o8 DeWolfe-Kachru-Murllgan]

$$\delta e^{-4A} \sim \frac{a\alpha'^2}{r^8} r_*^4, \text{ non-constant dilaton, and non-ISD}$$

$$\text{IR scale of the throat: } \frac{r_*}{\sqrt{\alpha'}} \sim e^{A_m} \sim \frac{2\pi N}{3g_s M^2}$$

Contribution from dangerous IR

$$\delta V_w \sim \int_{r_*} dr r^5 \frac{a\alpha'^2}{r^8} r_*^4 \sim a\alpha'^2 r_*^2 \sim a e^{2A_m} \alpha'^3$$

$$\frac{\delta V_w}{V_{CY}} \sim a e^{2A_m} \quad \text{with } r_{\text{cutoff}} \sim \sqrt{\alpha'}$$

Brane-anti brane in warped throat

Same result from exact IR

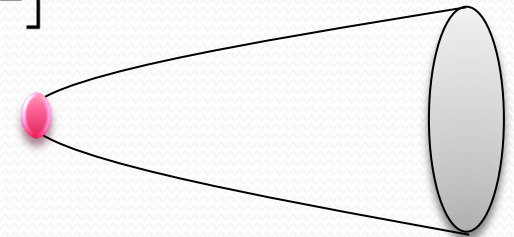
[09 McGuirk-Sumitomo-Shiu, Bena-Grana-Halmagyi]

IR D₃-anti D₃ solution at the tip of deformed conifold

$$ds_{10}^2 = e^{2A} dx_4^2 + e^{-2A} d\tilde{s}_6^2$$

$$d\tilde{s}_6 \sim r_*^2 2^{2/3} 3^{1/3} \left[\frac{1}{2} d\tau^2 + d\Omega_3^2 + \tau^2 d\Omega_2^2 \right] \quad r^3 = r_*^3 \cosh \tau$$

$$\delta e^{-4A} \sim r_*^{-4} \frac{a\alpha'^2}{\tau}$$



Volume change

$$\delta V_w \sim \int_{\tau_{IR}}^{\tau_{UV}} d\tau \sqrt{\tilde{g}_6} \delta e^{-4A} \sim r_*^2 a\alpha'^2 (\tau_{UV}^2 - \tau_{IR}^2) \sim e^{2A_m} a\alpha'^3$$

where cutoff scales $\tau_{UV}^2 - \tau_{IR}^2 \sim \mathcal{O}(1)$

→ same as before

Brane-anti brane correction in Kahler

Combining brane-anti brane and volume change due to warping

$$V_1 \sim V_{\text{mod}} \frac{a}{L^4} e^{2A_m} \sim \frac{M_{SB}^4}{L^2} e^{2A_m} \quad (\text{even true for superpotential corrections})$$

The corrected potential dominates.

$$V_1 \sim \Lambda^4 \quad \rightarrow \quad e^{A_m} \sim \frac{\Lambda^2}{M_{SB}} L \sim 10^{-32} L \quad \text{where} \quad a \sim L^2$$

Compare with DBI potential

$$\rightarrow \quad V_0 \sim M_s^4 e^{4A_m} L^2 \sim \frac{\Lambda^4}{L^6} \left(\frac{\Lambda^4 M_P^4}{M_{SB}^8} \right) \lesssim \Lambda^4 \quad \text{If } M_{SB} \gtrsim 1 \text{ TeV}$$

Quintessence should be realized with the potential from brane-anti brane.

Note: Brane-anti brane effect in DBI

$$\delta V \sim M_s^4 \delta e^{4A_m} a \sim M_s^4 e^{8A_m} \frac{a^2 \alpha'^2}{r_{\text{distance}}^4}$$

Non-perturbative corrections

avoided if $L \gtrsim \mathcal{O}(10)$

Other corrections

Instanton corrections

Holomorphically allowed superpotential

$$\delta W = A e^{-cT} + B e^{-cT - c(a - \tau b)/(2\pi)^2}$$

Euclidean D₃

Euclidean D₁ correction to Euclidean D₃



can destabilize the moduli...

➡ We should use the superpotential from D₇ gaugino condensation.

[o8 Silverstein-McAllister-Westphal]

Kahler potential

$$\frac{K}{M_P^2} = -3 \ln \left[T + \bar{T} + C \operatorname{Re} e^{-2\pi v_+ - (a - \tau b)/(2\pi)^2} + \dots \right]$$

➡ $\delta V \sim V_{\text{mod}} C \frac{a}{L^4} e^{-2\pi L^2} \lesssim \Lambda^4$ ➡ $L \gtrsim \mathcal{O}(10)$
($v_+ \sim L^2$)

Comments on B2 axion

$B_2 = \alpha' b^a w_a$ can also be axions.

N=1 Calabi-Yau orientifolds with O₃/O₇-planes

[04 Grimm-Louis]

→
$$\frac{K}{M_P^2} \sim -3 \ln \left[T + \bar{T} + \frac{3}{2g_s} c^{ij} b_i b_j \right]$$

→ In general, we have large potential mass term as like η problem.

$$\delta V \sim V_{\text{mod}} b^2$$

Since there are no C₂ axion dependence in Kahler except for warping corrections, we can use it for string cosmology.

As like, *axion monodromy inflation*. [08 Silverstein-McAllister-Westphal]

[09 Flauger-McAllister-Pajer-Westphal-Xu]

Comments on C4 axion

$C_4 = c_4 \omega_4$  Kahler multiplet $T = \sigma + ic_4$

Axion coupling  On D7-branes: $T_7 \int C_4 \wedge F \wedge F$

 Embedded with preserving SUSY

 Applicable to e.g. SQCD

Single modulus case (as like KKLT)

$$K = -3M_P^2 \ln(T + \bar{T})$$

$$V(T + \bar{T}) = e^{K/M_P^2} \left(K^{ij} D_i W D_{\bar{j}} \bar{W} - \frac{3}{M_P^2} |W|^2 \right)$$

 *no potential generated*

Comments on C4 axion

Generating the potential?  more complicated setup with multiple moduli

$$K = -\frac{3M_P^2}{2} \ln [(T_1 + \bar{T}_1)(T_2 + \bar{T}_2)] + K_X(X, X^\dagger) \quad \text{[10 Dine-Festuccia-Kehayias-Wu]}$$

$$W = W_0 - Ae^{-(T_1+T_2)/b} + fX \quad X : \text{SUSY breaking field}$$

$$\left\{ \begin{array}{l} \text{Heavy moduli multiplet: } \rho = T_1 + T_2 \quad \text{orange arrow} \quad \text{integrated out} \\ \text{Light multiplet: } \psi = T_1 - T_2 \quad \text{teal arrow} \quad \text{includes axion!} \end{array} \right.$$

Scales of axion: $m_\psi \sim e^{-\rho} M_P$ suppressed!

However, the potential is nonperturbatively generated...

 requires 10^4 axions (cycles) for quintessence

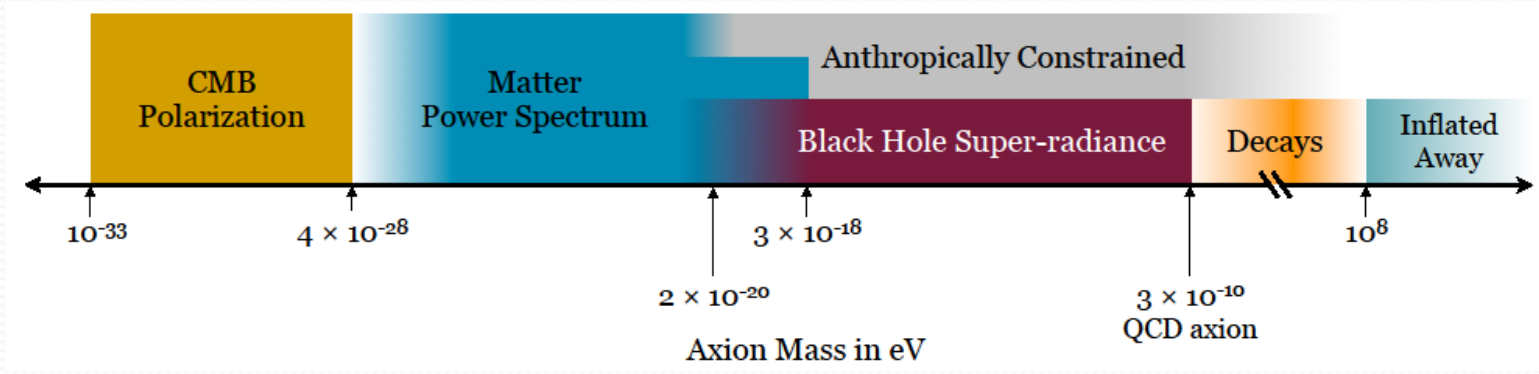
Instead, applicable to strong CP problem with fine-tuning SUSY breaking scale f

Rotation Angle of CMB Polarization

Rotation of the CMB polarization

String Axiverse map

[09 Arvanitaki--Dimopoulos--Dubovsky--Kaloper--March-Russell]



Naively estimated effective mass scale

$$m_\phi \lesssim \sqrt{\frac{\mu^4}{f_a \phi}} \sim \frac{\Lambda^2}{M_P} \sim 10^{-33} \text{ eV} \quad \Rightarrow \quad \text{CMB Polarization}$$

Topological coupling

$C_2 \rightarrow$ D5-brane wrapping 2-cycle

$$S_{D5} = -T_{D5}(2\pi\alpha')^2 \int d^6x \sqrt{-\tilde{g}_6} e^{-\phi} \frac{1}{4} F_{\mu\nu}^2 + T_{D5}(2\pi\alpha')^2 \int \frac{1}{2} C_2 \wedge F \wedge F$$

Estimation of angle

$$\frac{\mathcal{L}}{\sqrt{-g_4}} = \frac{1}{2}(\partial\phi)^2 + \frac{\mu^4}{f_a}\phi - \frac{1}{4g^2}\phi + \frac{\phi}{8g^2L^2f_a}\epsilon^{\mu\nu\rho\sigma}F_{\mu\nu}F_{\rho\sigma}$$

→ Free wave: $\vec{D} = \vec{E} + \frac{\phi}{L^2f_a}\vec{B}$, $\vec{H} = \vec{B} - \frac{\phi}{L^2f_a}\vec{E}$

Rotation angle (difference)

$$\Delta\alpha = \frac{1}{L^2f_a} \int_{\text{recombination}}^{\text{present}} dt \dot{\phi}$$

↓ $\dot{\phi} \sim -\sqrt{\frac{10^{-123}}{3}} \frac{M_P}{\phi}$

$$\Delta\alpha \lesssim \frac{M_P^2}{\phi_0} \times 10^{-62} \times 10^{33} \ll 10^{-1}$$

Current bound [10 Komatsu et.al.]

$$-6.6 \times 10^{-2} \leq \Delta\alpha \leq 7.0 \times 10^{-3} \quad \text{satisfied if } \phi_0 \sim 100M_P$$

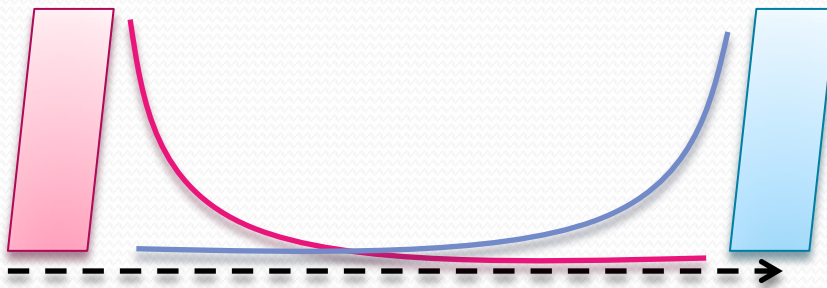
without violating any other bounds

Discussions

Other comments

Light particles

Large warping required in our model \longrightarrow many light particles



As like in RS II, wave-functions are well-localized on the brane.
KK exchange is highly suppressed.

Constant term

There may be so many constants terms after the compactification.

$$V_{\text{total}} = \mu^4 a + C$$


It is easy to absorb into linear term, if C is not significantly large.


Moduli problems

Reheating for BBN: $T_r \gtrsim 10 \text{ MeV}$

Boltzmann eq. suggests

$$T_r \sim \sqrt{M_P \Gamma_\phi}, \quad \Gamma_\phi \sim \frac{m_{3/2}^3}{M_P}$$

 $m_{3/2} \gtrsim 100 \text{ TeV}$

 $M_{SB} \sim \sqrt{m_{3/2} M_P} \sim 10^{11} \text{ GeV}$

In our model, if $M_{SB} \gtrsim 1 \text{ TeV}$

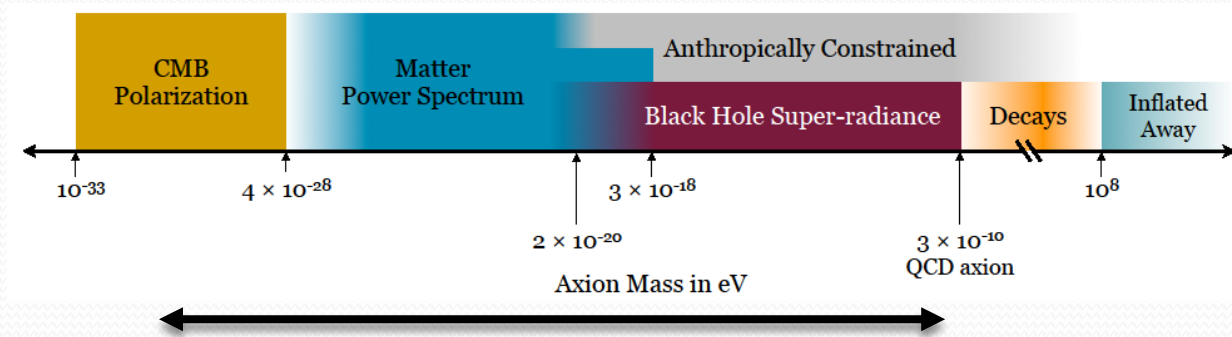
 the brane-anti brane potential from moduli stabilization dominates

But, SUSY breaking models with lower energy?

 requires additional setups, including thermal inflation, extra symmetries, helicity suppression and etc.

QCD axion and U(1) axion

Hierarchy between QCD axion and U(1) axion



U(1) axion: related to C₂ and two-cycles

QCD axion: presumably originated from C₄ and four-cycles

How to explain the scale hierarchy $m_{\text{QCD}}/m_{U(1)} \sim 10^{-23}$?

- Where D7-brane lives?
- GUT model with some other mechanism?

Comments on SUSY Breaking & Anomaly Mediation

SUSY breaking and mediation

Suppression of direct coupling

[07 Kachru-McAllister-Sundrum]

$$c \int d^2\theta d^2\bar{\theta} \hat{\mathcal{O}} Q^\dagger Q$$

$\hat{\mathcal{O}}$: hidden sector **non-chiral** operator

Q : visible chiral superfield

For instance,

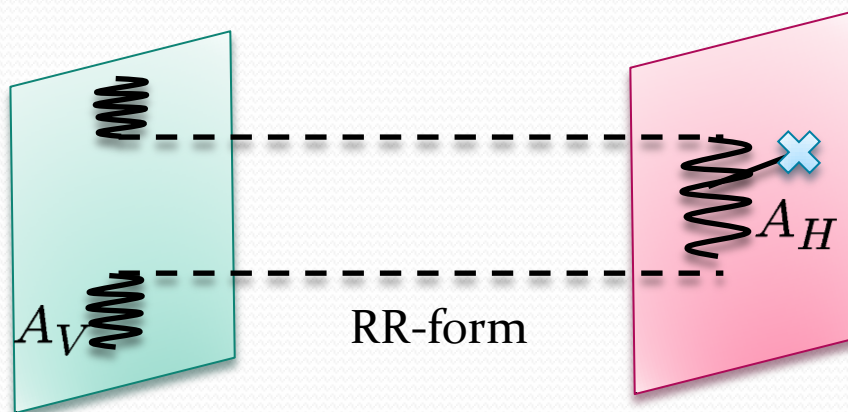
SU(2) x SU(2) invariant non-chiral supermultiplet in Klebanov-Witten (Klebanov-Strassler) theory

 $\mathcal{O}_8 = W_\alpha^2 W_{\dot{\alpha}}^2$ highest component has dimension $\Delta = 8$

 $c \propto \frac{\Lambda_{\text{IR}}^4}{\Lambda_{\text{UV}}^4} = e^{4A_m}$  Easily suppressed!

Higher form mediation

[07 Verlinde-Wang-Wijnholt-Yavin]



Our axion brane

SUSY breaking branes

$$C_4 = C_a w^a + \dots$$

$$\mathcal{L}_{CS} = C_4 \wedge \mathcal{F}_{V,H}$$

$$\Rightarrow \mathcal{L}_{\mathcal{C}} = \mathcal{C} \wedge d(\mathcal{A}_V + \mathcal{A}_H) + \frac{1}{2m_A^2} |d\mathcal{C}|^2$$

dualizing \mathcal{C}

$$\Rightarrow \mathcal{L}_{\phi} = \frac{1}{2} m_A^2 |d\phi + \mathcal{A}_V + \mathcal{A}_H|^2 \Rightarrow$$

massless combination

$$U(1) \quad \mathcal{A}_V - \mathcal{A}_H$$

Hypercharged anomaly mediation

[07 Dermisek-Verlinde-Wang]

Gauge kinetic term

$$\frac{1}{4} \int d^2\theta f_h(\psi_m) W^\alpha W_\alpha + c.c.$$



SUSY breaking source: $F^m = e^{K/2M_P^2} K^{mn} D_n W$

Gaugino mass: $\tilde{M}_1 = F^m \partial_m \ln[\text{Re } f_h]$



Anomaly mediation

Scalar mass: $\delta m_i^2 = -\frac{3}{10\pi^2} g_1^2 Y_i^2 M_1^2 \ln \left[\frac{m_A}{M_*} \right]$

However...

$$\frac{a}{(2\pi)^3 g_s} \frac{1}{4} F_{\mu\nu}^2 \rightarrow a \sim -\frac{b_0}{16\pi^2} \ln \left[\frac{m_A^2}{M_*^2} \right]$$


negligible!

Bulk anomaly mediation

Anomaly mediation is like scale changing with SUSY breaking

$$\frac{1}{4} \int d^2\theta \left(\frac{1}{g^2} - \frac{b_0}{16\pi^2} \ln \left[\frac{m_A^2}{M_*^2} \right] \right) W_\alpha W^\alpha + c.c.$$

with $m_A \rightarrow m_A e^{\theta^2 F/M_P}$

 **Dilaton change** $\frac{1}{g_s} \rightarrow \frac{1}{g_s} - \frac{b_0}{4} \ln \left[\frac{m_A e^{\theta^2 F/M_P}}{M_*} \right]$

Supersymmetrize constant DBI term with spurion field

$$\int d^4x \sqrt{-g_4} \int d^2\theta d^2\bar{\theta} e^{4A_m} \frac{a}{(2\pi)^5 g_s \alpha'^2} \theta^2 \bar{\theta}^2 \quad [05 Choi-Falkowski-Niles-Olechowski]$$

GKP: $e^{A_m} \sim e^{-\frac{2\pi i M}{3g_s N}}$

doesn't cause serious contributions