

In compact Lie groups, like finite groups, all representations are equivalent to some unitary groups; but if the Lie group is not compact, not true. So we consider not only unitary valued but more general representations with values in  $GL(V)$

example:  $(\mathbb{R}, +) \ni x \mapsto e^x \in M_1(\mathbb{R})$

In III, 2 last time,

$$Y_j := \lim_{\epsilon \rightarrow 0} \frac{\varphi_{\epsilon}^{-1}(\epsilon E_j) - \mathbb{1}}{\epsilon} \in M_n(\mathbb{C})$$

Let  $\mathbb{K}$  be either  $\mathbb{C}$  or  $\mathbb{R}$ .

Def. A LIE ALGEBRA  $\mathfrak{L}$  over  $\mathbb{K}$  is a (finite dim.) vector space over  $\mathbb{K}$  with a composition  $[\cdot, \cdot]: \mathfrak{L} \times \mathfrak{L} \rightarrow \mathfrak{L}$  s.t.  $\forall X, Y, Z \in \mathfrak{L}, \alpha, \beta \in \mathbb{K}$ :

- 1)  $[\alpha X + \beta Y, Z] = \alpha [X, Z] + \beta [Y, Z]$
- 2)  $[X, Y] = -[Y, X]$
- 3)  $[X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0$  (Jacobian identity)

Remark: if  $X, Y, Z \in M_n(\mathbb{C})$  and  $[X, Y] := XY - YX$  (COMMUTATOR)

then the 3 conditions are satisfied.

So we only have to check that

$$X, Y \in \mathfrak{L} \Rightarrow [X, Y] \in \mathfrak{L}$$

Def. Given a basis  $\{Y_1, \dots, Y_n\}$  of  $\mathfrak{L}$ , the coefficients

$\{c_{ij}^k\}_{i,j,k=1}^n$  defined by  $[Y_i, Y_j] = \sum_{k=1}^n c_{ij}^k Y_k$  are called

STRUCTURE CONSTANTS or STRUCTURE COEFFICIENTS of  $\mathfrak{L}$ .

$\Rightarrow c_{ij}^k = -c_{ji}^k$  and relation from Jacobian identity.

Lemma: Let  $G$  be a linear Lie group and  $\mathfrak{L}(G)$  be its tangent space.

Then  $\mathfrak{L}(G)$  is a Lie algebra of the same dimension. (Proof as exercise)

Sketch of the proof: One just has to show  $[Y_i, Y_j] \in \mathfrak{L}(G)$ .

Consider smooth curves

$$(-1, 1) \ni t \mapsto A(t) \in G \text{ with } A(0) = \mathbb{1} \text{ and } A'(0) = Y_i$$

$$(-1, 1) \ni t \mapsto B(t) \in G \text{ with } B(0) = \mathbb{1} \text{ and } B'(0) = Y_j$$

$$\text{Consider } (-1, 1) \ni t \mapsto A(\sqrt{t}) B(\sqrt{t}) A(\sqrt{t})^{-1} B(\sqrt{t})^{-1} \quad (*)$$

$$\text{Then } A(\sqrt{t}) = \mathbb{1} + \sqrt{t} Y_i + \dots, B(\sqrt{t}) = \mathbb{1} + \sqrt{t} Y_j + \dots, A(\sqrt{t})^{-1} = \mathbb{1} - \sqrt{t} Y_i + \dots, B(\sqrt{t})^{-1} = \mathbb{1} - \sqrt{t} Y_j + \dots$$

$$\text{Then } (*) = \mathbb{1} + t [Y_i, Y_j] + \dots \Rightarrow (*)'(0) = [Y_i, Y_j] \quad \square$$

Important properties of  $G$  or  $\mathfrak{L}(G)$ :

Some proofs are very nice but too long.

Let  $G$  be a Lie group and  $\mathfrak{L}(G)$  be its Lie algebra.

$$1) \forall X \in \mathfrak{L}(G), t \in \mathbb{R}: \exp(tX) := \sum_{j=0}^{\infty} \frac{1}{j!} (tX)^j \in G$$

$$2) \exp(sX) \exp(tX) \stackrel{\text{multiplication in } G}{=} \exp((s+t)X); \exp(tX)^{-1} \text{ in } G = \exp(-tX)$$

$$3) t \mapsto \exp(tX) \text{ is the only one-parameter subgroup of } G \text{ satisfying } \frac{d}{dt} \exp(tX) \Big|_{t=0} = X.$$

Prop. (Same framework)

$$a) \exists \text{ an open set } \mathcal{U} \subset G \text{ containing } \mathbb{1} \text{ s.t.}$$

$$1) \forall A \in \mathcal{U} \exists X \in \mathfrak{L}(G) : A = \exp(X)$$

$$2) \forall A \in \mathcal{U} \exists B \in \mathcal{U} : A = B^2 := BB$$

$$b) \forall A \in G_0 \exists X_1, \dots, X_n \in \mathfrak{L}(G) : A = \exp(X_1) \exp(X_2) \dots \exp(X_n) \text{ (not always unique)}$$

$$c) \text{ If } G \text{ is compact, we can choose } N=1 (\Leftrightarrow A = \exp(X_1))$$

$$\Delta \exp(X_1) \dots \exp(X_n) \neq \exp(X_1 + \dots + X_n) \text{ in general}$$

$$\text{In fact } \exp(X) \exp(Y) = \exp(f(X, Y))$$

with  $f(X, Y)$  called the CAMPBELL-BAKER-HAUSDORFF FORMULA. ( $\in \mathfrak{L}(G)$ )

Exercise: find  $f(X, Y)$ . (a series)

What about the relation between representations of  $G$  and ones of  $\mathfrak{L}(G)$ ?

Def: a REPRESENTATION of a Lie algebra  $\mathfrak{L}$  is

a pair  $(\mathfrak{h}, V)$  with  $V$  a vector space and  $\mathfrak{h}: \mathfrak{L} \rightarrow L(V)$  a homomorphism

$$\text{It means } \begin{cases} \mathfrak{h}(X + \alpha Y) = \mathfrak{h}(X) + \alpha \mathfrak{h}(Y) \\ \mathfrak{h}([X, Y]) = \mathfrak{h}(X)\mathfrak{h}(Y) - \mathfrak{h}(Y)\mathfrak{h}(X) \\ \mathfrak{h}(0) = 0 \end{cases} \quad \left( \begin{array}{l} \text{linear map} \\ \downarrow \\ \mathfrak{L}(V) \end{array} \right) \begin{cases} \mathfrak{1}f = f \forall f \in V \\ 0f = 0 \forall f \in V \end{cases}$$

Lemma: Let  $(U, V)$  be a representation of a Lie group  $G$

in a finite dimensional vector space  $V$ .

$$\text{Then } \Gamma: \mathfrak{L}(G) \rightarrow L(V), \quad \Gamma(X) := \frac{d}{ds} U(\exp(sX)) \Big|_{s=0}$$

defines a representation of  $\mathfrak{L}(G)$ .

$$\text{In addition } \underbrace{\exp(S\Gamma(X))}_{\in GL(V)} = \underbrace{U(\exp(sX))}_{\in GL(V)} \quad (*)$$

Proof as exercise

⚠ A kind of converse is not true:

a representation of a Lie algebra does not define a representation of a unique Lie group by (\*).

If two Lie groups are isomorphic close to the identity, then the corresponding Lie algebras are isomorphic.

Application self-adjoint operator (extension of Hermitian matrix into  $\infty$ -dim Hilbert spaces)

If  $\{e^{-itH}\}_{t \in \mathbb{R}}$  with  $e^{-itH} \in \mathcal{B}(\mathcal{H})$  describes the evolution of a quantum system

And if  $\exists$  a Lie group  $G$  and unitary rep.  $(U, \mathcal{H})$  s.t.

$$U(a)e^{-itH} = e^{-itH}U(a) \quad \forall a \in G$$

Then any  $X \in \mathfrak{L}(G)$  defines a constant of motion.

More precisely  $\Gamma(X) : \mathcal{H} \rightarrow \mathcal{H}$  which satisfies (not necessarily  $\Gamma(X) \in \mathcal{B}(\mathcal{H})$ )

$$e^{-itH}\Gamma(X) = \Gamma(X)e^{-itH} \Leftrightarrow e^{-itH}\Gamma(X)e^{itH} = \Gamma(X)$$

### III.3 $SO(3)$ , $O(3)$ and $SU(2)$

Recall that  $\exists \phi : SU(2) \rightarrow SO(3)$  surjective and with kernel  $\{\mathbb{1}, -\mathbb{1}\}$

Prop. (proofs as exercises)

$\rightarrow$  maybe difficult to show

1)  $O(3)$ ,  $SO(3)$  and  $SU(2)$  are compact Lie groups.

2)  $O(3)$  is not connected.

3)  $SO(3)$  is connected but not simply connected.

4)  $SU(2)$  is simply connected.

the neighborhood (see the note for metric & topological spaces in the Tue seminar last term)

5)  $SO(3)$  and  $SU(2)$  isomorphic near the identity ( $\mathfrak{L}(SO(3)) \cong \mathfrak{L}(SU(2))$ )

6) The Pauli matrices  $\sigma_1, \sigma_2, \sigma_3$  generate  $SU(2)$  in the following sense:

$$\left\{ \underbrace{-\frac{1}{2}\sigma_1}_{Y_1}, \underbrace{-\frac{1}{2}\sigma_2}_{Y_2}, \underbrace{-\frac{1}{2}\sigma_3}_{Y_3} \right\} \text{ generate } SU(2) \text{ with } [Y_i, Y_j] = \sum_k \epsilon_{ijk} Y_k \quad (*)$$

$$\text{with } \epsilon_{ijk} = \begin{cases} \epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1 \\ \epsilon_{132} = \epsilon_{213} = \epsilon_{321} = -1 \\ \epsilon_{ijk} = 0 \text{ otherwise} \end{cases}$$

7) The following 3 matrices define the Lie algebra of  $SO(3)$

$$Y_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, Y_2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, Y_3 = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

with the same relations of (\*)