

Proposition: If  $(D(A), A)$  is self-adjoint, then

1,  $\sigma_p(A) \subset \mathbb{R}$ .

2) if  $\lambda, \mu \in \sigma_p(A)$ ,  $\lambda \neq \mu$  and if  $Af = \lambda f$  and  $Ag = \mu g$  then  $f \perp g$ .

Proof: 2,  $\lambda \langle f, g \rangle = \langle \lambda f, g \rangle = \langle Af, g \rangle = \langle f, Ag \rangle$   
 $= \langle f, \mu g \rangle = \mu \langle f, g \rangle$   
 $\Leftrightarrow \langle f, g \rangle = 0 \Leftrightarrow f \perp g$ .

1, Set  $z = \lambda + i\varepsilon$  for  $\lambda, \varepsilon \in \mathbb{R}$ ,  $\varepsilon \neq 0$ . and let us show that  $z \in \rho(A)$

Consider:  $f \in D(A)$ .

$$\| (A-z)f \|^2 = \| (A-\lambda)f + i\varepsilon f \|^2$$

because  $A$  is self-adjoint  $\rightarrow$

$$= \| (A-\lambda)f \|^2 + \varepsilon^2 \| f \|^2 \geq \varepsilon^2 \| f \|^2$$

$$\Rightarrow \text{Ker}(A-z) = \{0\}$$

Consider:  $g \in \text{Ran}(A-z) \Rightarrow g = (A-z)(A-z)^{-1}g$ .

$$\| g \|^2 = \| (A-z)(A-z)^{-1}g \|^2 \geq \varepsilon^2 \| (A-z)^{-1}g \|^2$$

$$\Rightarrow \| (A-z)^{-1}g \|^2 \leq \frac{1}{\varepsilon^2} \| g \|^2$$

In addition,  $\text{Ran}(A-z)$  is dense.

Indeed:  $\text{Ran}(A-z)^\perp = \text{Ker}(A-z)^* = \text{Ker}(A-\bar{z}) = \{0\}$  because all eigenvalue are real (see below)

$\Rightarrow (A-z)^{-1}: \text{Ran}(A-z) \rightarrow D(A-z)$  is densely defined and bounded

$\Rightarrow (A-z)^{-1}$  extends continuously to a bounded operator  
 $\Rightarrow (A-z)^{-1} \in \mathcal{B}(H)$ .  $\Rightarrow z \in \rho(A)$ .

3,  $\sigma_p(A) \subset \mathbb{R}$  (eigenvalues are real)

Let  $z \in \mathbb{C}$  s.t.  $Af = zf$ , then

$$\begin{aligned} z \|f\|^2 &= z \langle f, f \rangle = \langle f, zf \rangle = \langle f, Af \rangle = \langle Af, f \rangle \\ &= \langle zf, f \rangle = \bar{z} \langle f, f \rangle \\ \Rightarrow z &= \bar{z} \Rightarrow z \in \mathbb{R}. \end{aligned}$$

## 6) Perturbation theory

Let  $(D(A), A)$  be self-adjoint and  $B \in \mathcal{B}(\mathcal{H})$  s.t.  $B = B^*$   
Lemma:  $(D(A), A+B)$  is self-adjoint

Def: An operator  $(D(B), B)$  is  $A$ -bounded if  $D(A) \subset D(B)$   
 and  $\exists \alpha, \beta \in \mathbb{R}^+$  s.t.

$$\|Bf\| \leq \alpha \|Af\| + \beta \|f\| \quad \forall f \in D(A)$$

The infimum of  $\alpha$  is called the  $A$ -bound of  $B$ .

Remark: If  $B \in \mathcal{B}(\mathcal{H})$ , then  $\alpha = 0$  but it is also possible to have  $A$ -bound equal to 0 with  $B \notin \mathcal{B}(\mathcal{H})$

Thm: (Kato - Rellick)

Let  $(D(A), A)$  be self-adjoint and  $(D(B), B)$  be  $A$ -bounded with  $A$ -bound  $< 1$  and symmetric. Then

1,  $(D(A), A+B)$  is self-adjoint

2,  $B$  is also  $(A+B)$ -bounded

3, If  $A$  is semi-bounded, then  $A+B$  is semi-bounded

Def: A self-adjoint operator  $A$  is positive ( $A \geq 0$ ) if  
 $\langle f, Af \rangle \geq 0 \quad \forall f \in D(A)$

- 1)  $A$  is lower semi-bounded if  $\exists C \in \mathbb{R}$  s.t.  $A + C \geq 0$ .  
 2)  $A$  is upper semi-bounded if  $A - C \leq 0$  for some  $C \geq 0$ .

These definitions are equivalent to:

- $\sigma(A) \subset [0, \infty)$
- $\sigma(A) \subset [c, \infty)$  for some  $c \in \mathbb{R}$ .
- $\sigma(A) \subset (-\infty, c]$  for some  $c \in \mathbb{R}$ .

2<sup>nd</sup> resolvent equation: for  $z \in \rho(A) \cap \rho(A+B)$

$$\text{then } (A-z)^{-1} - (A+B-z)^{-1} = (A-z)^{-1} B (A+B-z)^{-1} \\ = (A+B-z)^{-1} B (A-z)^{-1}.$$

formal Proof :

$$\begin{aligned} & (A-z)^{-1} B (A+B-z)^{-1} \\ &= (A-z)^{-1} (z - A + B + A - z) (A+B-z)^{-1} \\ &= (A-z)^{-1} (A+B-z) (A+B-z)^{-1} - (A-z)^{-1} (A-z) (A+B-z)^{-1} \\ &= (A-z)^{-1} - (A+B-z)^{-1} \end{aligned}$$

Examples,

1,  $L^2(\mathbb{R})$ , the operator  $(D(X), X)$

$$\text{with } D(X) = \left\{ f \in L^2(\mathbb{R}) \mid \int_{\mathbb{R}} x^2 |f(x)|^2 dx < \infty \right\}$$

$$[Xf](x) = x f(x)$$

$$\text{Ker}(X) = \{0\}$$

$$\Rightarrow (X-z)^{-1} : \text{Ran}(X-z) \rightarrow D(X-z)$$

For any  $z \in \mathbb{C}$  with  $\text{Im}(z) \neq 0$

$$x \mapsto (x-z)^{-1} = (x-\lambda - i\varepsilon)^{-1} = \frac{x-\lambda + i\varepsilon}{(x-\lambda)^2 + \varepsilon^2}$$

is a bounded function  $\Rightarrow$  the operator  $(X-z)^{-1}$  is bounded

2) Let  $H = L^2(\mathbb{R}^d)$

$\varphi : \mathbb{R}^d \rightarrow \mathbb{C}$ , Lebesgue measurable.

We set  $T\varphi = \varphi(x)$  for the operator  $[T\varphi f](x) = \varphi(x)f(x)$ ,  
called a multiplication operator

$$\text{and } D(\varphi(x)) = \left\{ f \in \mathcal{H} \mid \int_{\mathbb{R}^d} |\varphi(x)f(x)|^2 dx < \infty \right\}.$$

Lemma:  $\varphi(x) \in B(\mathcal{H}) \Leftrightarrow \varphi \in L^\infty(\mathbb{R}^d)$

Remark: Assume  $\varphi \in L^\infty(\mathbb{R}^d)$

- $\varphi(x)$  is self-adjoint  $\Leftrightarrow \varphi$  is a real function
- $\varphi(x)$  is projection  $\Leftrightarrow \varphi(x) \in \{0, 1\}$
- $\varphi(x)$  is a unitary operator  $\Leftrightarrow |\varphi(x)| = 1$
- $\varphi(x)$  is a partial isometry  $\Leftrightarrow |\varphi(x)| \in \{0, 1\}$
- $\varphi(x)$  is isometry  $\Leftrightarrow \varphi$  is unitary

Lemma: Let  $\varphi: \mathbb{R}^d \rightarrow \mathbb{R}$ , Lebesgue measurable, then  
 $(D(\varphi(x)), \varphi(x))$  is self-adjoint.