

# The term paper of the lecture on $C^*$ -algebraic methods in spectral theory

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**Exercise 1.3.3** For the operator  $A_n$  defined in (1.3.1), give an upper estimate for  $\|A_n\|$  and compute  $A_n^*$ .

By definition of norm, we can give an upper estimate

$$\begin{aligned} \|A_n\| &= \sup_{\|f\|=\|g\|=1} |\langle A_n f, g \rangle| = \sup_{\|f\|=\|g\|=1} \left| \sum_{j=1}^n \langle f, g_j \rangle \langle h_j, g \rangle \right| \\ &\leq \sup_{\|f\|=\|g\|=1} \sum_{j=1}^n |\langle f, g_j \rangle| |\langle h_j, g \rangle| \leq \sum_{j=1}^n \|g_j\| \|h_j\|. \end{aligned}$$

For its adjoint, one has :

$$\langle A_n f, g \rangle = \left\langle \sum_{j=1}^n \langle f, g_j \rangle h_j, g \right\rangle = \sum_{j=1}^n \langle f, g_j \rangle \langle h_j, g \rangle = \left\langle f, \sum_{j=1}^n \overline{\langle h_j, g \rangle} g_j \right\rangle$$

for any  $f, g \in \mathcal{H}$ . Therefore

$$A_n^* g = \sum_{j=1}^n \overline{\langle h_j, g \rangle} g_j = \sum_{j=1}^n \langle g, h_j \rangle g_j$$

for any  $g \in \mathcal{H}$ .

**Exercise 2.4.16** Let  $\Omega$  be a compact Hausdorff space, and for each  $x \in \Omega$  let  $\tau_x$  be the character on  $C(\Omega)$  defined by  $\tau_x(f) = f(x)$  for any  $f \in C(\Omega)$ . Show that the map

$$\Omega \ni x \mapsto \tau_x \in \Omega(C(\Omega))$$

is a homeomorphism.

Since the map  $\varphi : \Omega \ni x \mapsto \tau_x \in \Omega(C(\Omega))$  is a map from Hausdorff space to compact space, if  $\varphi$  is bijective and continuous then this map is a homeomorphism.

Since  $\Omega$  is a compact Hausdorff space, this space is normal. Therefore for any two different points  $x, y \in \Omega$  there exist two open subsets  $U, V$  on  $\Omega$  which satisfy  $x \in U, \bar{U} \subset V$  and  $y \notin V$ . By using Urysohn's lemma, there exists a continuous map  $f : \Omega \rightarrow \mathbb{C}$  such that  $f \equiv 1$  on  $U$  and  $f \equiv 0$  on  $\Omega \setminus V$ . Then  $\tau_x(f) = f(x) = 1$  and  $\tau_y(f) = f(y) = 0$ , so  $\tau_x \neq \tau_y$ . By contraposition, if  $\tau_x = \tau_y$  then  $x = y$ , that is  $\varphi$  is injective.

By using a proof by contradiction, we will prove the map  $\varphi$  to be surjective. Assume that  $\varphi$  is not surjective then there exists  $\tau \in \Omega(C(\Omega))$  which satisfies that for each  $x \in \Omega$  there exists  $f \in C(\Omega)$  such that  $\tau(f) \neq f(x)$ . Because of  $\dim C(\Omega) \geq \dim \mathbb{C}$ ,  $\ker \tau = \{f \in C(\Omega) \mid \tau(f) = 0\}$  is not empty. Hence for each  $x \in \Omega$  there exists  $f_x \in \ker \tau$  such that  $f_x(x) \neq 0$ . Since  $\ker \tau$  is an ideal on the  $C^*$ -algebra  $C(\Omega)$  and  $\tau$  is a  $C^*$ -homomorphism, then

$$\tau(\bar{f}_x) = \tau(f_x)^* = 0^* = 0.$$

Therefore  $\bar{f}_x \in \ker \tau$  and  $|f_x|^2 = \bar{f}_x f_x \in \ker \tau$ .

Let  $\{U_x\}$  be an open covering of  $\Omega$  such that  $U_x$  is a neighborhood of  $x$  on  $\Omega$  and  $|f_x|^2$  is positive function on  $U_x$ . Because of the compactness of  $\Omega$ , there exists a finite subcovering  $\{U_{x_i}\}_{i=1}^n$ . Setting  $f := |f_{x_1}|^2 + \dots + |f_{x_n}|^2$ . Then  $f$  is finite summation of non-negative functions  $|f_{x_1}|^2, \dots, |f_{x_n}|^2$  and for each  $x \in \Omega$  there exists  $U_{x_j}$  and  $|f_{x_j}|^2$  satisfying  $x \in U_{x_j}$  and  $f_{x_j}(x) > 0$ . Therefore  $f$  is non-zero function anywhere. In addition, since  $\tau(f) = \tau(|f_{x_1}|^2) + \dots + \tau(|f_{x_n}|^2) = 0$ ,  $f \in \ker \tau$ .

Since  $f$  is non-zero anywhere,  $1/f \in C(\Omega)$  is well-defined. Hence

$$1 = f \frac{1}{f} \in \ker \tau,$$

thus  $\ker \tau = C(\Omega)$ . However  $\tau$  is not zero, so this is contradiction. Therefore  $\varphi$  is surjective.

Lastly, we will prove  $\varphi$  to be continuous. Let  $U$  be an open set on  $\Omega(C(\Omega))$  and let  $x \in \varphi^{-1}(U)$ . Then  $\tau_x \in U$ . Since  $U$  is open, there exist  $\epsilon > 0$  and a finite subset  $S \subset C(\Omega)$  such that

$$N(\tau_x : \epsilon, S) := \{\tau \in \Omega(C(\Omega)) \mid |\tau(f) - \tau_x(f)| < \epsilon, \forall f \in S\} \subset U.$$

Since  $f$  is continuous at  $x$  for each  $f \in S$ , there exists an open neighborhood  $V_f$  of  $x$  on  $\Omega$  satisfying  $|f(y) - f(x)| < \epsilon$  for any  $y \in V_f$ . Let  $V = \bigcap_{f \in S} V_f$ . Since  $S$  is a finite set,  $V$  is an open set on  $\Omega$  and  $x \in V$ . In addition, for each  $y \in V$ , one has

$$|f(y) - f(x)| < \epsilon$$

for any  $f \in S$ . Equivalently, for each  $y \in V$ , that means

$$|\tau_y(f) - \tau_x(f)| < \epsilon$$

for any  $f \in S$ . Thus  $\tau(V) \subset N(\tau_x : \epsilon, S)$ . Therefore  $x \in V \subset \tau^{-1}(N(\tau_x : \epsilon, S))$  and it follows that  $\varphi$  is continuous.

**Exercise 3.1.12** We state in this exercise a couple of useful formulas which can be deduced from the definition of the modular function. Let  $f \in C_c(G)$  and  $x \in G$ :

$$\begin{aligned}\int_G f(xy) d\mu(y) &= \int_G f(y) d\mu(y), \\ \int_G f(yx) d\mu(y) &= \Delta(x)^{-1} \int_G f(y) d\mu(y), \\ \int_G \Delta(y^{-1})f(y^{-1}) d\mu(y) &= \int_G f(y) d\mu(y).\end{aligned}$$

First, since  $\mu$  is a left Haar measure, it satisfies  $\mu(xV) = \mu(V)$ . Let  $y' = xy$ . Then we have

$$\int_G f(xy) d\mu(y) = \int_G f(xy) d\mu(xy) = \int_G f(y') d\mu(y') = \int_G f(y) d\mu(y).$$

Second, put  $g_x(y) = [R_x f](y) = f(yx)$  for any  $x \in G$ . Now, by lemma 3.1.7,

$$\int_G f(y) d\mu(y) = \int_G [R_{x^{-1}} g_x](y) d\mu(y) = \Delta(x) \int_G g_x(y) d\mu(y) = \Delta(x) \int_G f(yx) d\mu(y),$$

so

$$\int_G f(yx) d\mu(y) = \Delta(x)^{-1} \int_G f(y) d\mu(y).$$

Third, we define the new measure  $\nu$  as

$$\int_G f(y) d\nu(y) := \int_G f(y^{-1})\Delta(y^{-1}) d\mu(y)$$

for any  $f \in C_c(G)$ . Since we have

$$\begin{aligned}\int_G f(x^{-1}y) d\nu(y) &= \int_G f(x^{-1}y^{-1})\Delta(y^{-1}) d\mu(y) \\ &= \Delta(x) \int_G f((yx)^{-1})\Delta((yx)^{-1}) d\mu(y) \\ &= \int_G f(y^{-1})\Delta(y^{-1}) d\mu(y) = \int_G f(y) d\nu(y)\end{aligned}$$

for any positive function  $f \in C_c(G)$  and  $x \in G$  by the second formula,  $\nu$  is a left Haar measure. By the uniqueness of a Haar measure,  $\nu$  equals to  $\mu$  up to a positive scalar  $c$ . Now, let  $g \in C_c(G)$  be a positive function, and define  $h$  as  $h(y) := g(y) + \Delta(y^{-1})g(y^{-1})$ . For this function  $h$ , we get  $h(y) = \Delta(y^{-1})h(y^{-1})$  and so

$$\int_G h(y) d\mu(y) = \int_G h(y) d\nu(y).$$

This implies  $c = 1$ .

**Exercise 4.3.8** *In the framework of the previous paragraph, show that  $\sigma(\Phi^H) = \sigma(H)$ .*

Suppose  $\Phi^H(\varphi) = \varphi(H) = 0$ . According to Prop.1.7.11, we have

$$0 = \|\varphi(H)\| = \sup_{\lambda \in \sigma(H)} |\varphi(\lambda)| \geq 0.$$

Therefore for any  $\lambda \in \sigma(H)$  we get  $\varphi(\lambda) = 0$ . By contraposition, it follows that if  $\varphi \in C_0(\mathbb{R})$  satisfies  $\varphi(\lambda) \neq 0$  for  $\lambda \in \sigma(H)$  then  $\Phi^H(\varphi) \neq 0$ , namely if  $\lambda \in \sigma(H)$  then  $\lambda \in \sigma(\Phi^H)$ .

Conversely, let  $\lambda \in \mathbb{R} \setminus \sigma(H)$ , and let  $\{E_\rho\}$  be the spectral family corresponding to the self-adjoint operator  $H$ . Since we have

$$\sigma(H) = \text{Supp}\{E_\mu\} = \{\mu \in \mathbb{R} \mid E_{\mu+\epsilon} - E_{\mu-\epsilon} \neq 0, \forall \epsilon > 0\},$$

there exists  $\epsilon > 0$  such that  $E_{\lambda+\epsilon} - E_{\lambda-\epsilon} = 0$ . Thus  $(\lambda - \epsilon, \lambda + \epsilon] \subset \mathbb{R}$  is a zero spectral measure associated with the family  $\{E_\rho\}$ . Since this interval has a none zero Lebesgue measure, we can construct non-negative function  $\varphi \in C_0(\mathbb{R})$  satisfies  $\varphi(\lambda) \neq 0$  and  $\text{Supp}\varphi \subset (\lambda - \epsilon, \lambda + \epsilon]$ . Then  $\varphi$  is almost everywhere zero about the spectral measure. Therefore we get

$$\Phi^H(\varphi) = \varphi(H) = 0.$$

Hence there exists  $\varphi \in C_0(\mathbb{R})$  such that  $\varphi(\lambda) \neq 0$  and  $\varphi(H) = 0$ , so that  $\lambda \notin \sigma(\Phi^H)$ .

**Exercise 5.1.5** *Check carefully the statements contained in the previous example.*

Let  $\tilde{\mathcal{H}} = L^2(G; \mathcal{H})$ . First, we will check that  $(\tilde{\mathcal{H}}, \tilde{\pi})$  is a representation of  $\mathcal{S}$ .  $\tilde{\mathcal{H}}$  is a Hilbert space. Let  $\alpha, \beta \in \mathbb{C}$  and let  $\varphi, \psi \in \mathcal{S}$ . Then for any  $h \in \tilde{\mathcal{H}}$  and for any  $x \in G$ ,

$$\begin{aligned} [\tilde{\pi}(\alpha\varphi + \beta\psi)h](x) &= \pi(\theta_x(\alpha\varphi + \beta\psi))h(x) \\ &= \alpha\pi(\theta_x(\varphi))h(x) + \beta\pi(\theta_x(\psi))h(x) = [(\alpha\tilde{\pi}(\varphi) + \beta\tilde{\pi}(\psi))h](x), \\ [\tilde{\pi}(\varphi\psi)h](x) &= \pi(\theta_x(\varphi\psi))(x) = \pi(\theta_x\varphi)\pi(\theta_x\psi)h(x) = [\tilde{\pi}(\varphi)\tilde{\pi}(\psi)h](x), \end{aligned}$$

and

$$[\tilde{\pi}(\varphi^*)h](x) = \pi(\theta_x(\varphi^*))h(x) = \pi(\theta_x\varphi)^*h(x) = [\tilde{\pi}(\varphi)^*h](x),$$

since  $\pi$  and  $\theta_x$  are  $*$ -homomorphism. Therefore  $\tilde{\pi}$  is also  $*$ -homomorphism and  $(\tilde{\mathcal{H}}, \tilde{\pi})$  is a representation of  $\mathcal{S}$ .

Second, we will check that  $\tilde{U}$  satisfies the condition (5.1.3). For any  $h \in \tilde{\mathcal{H}}$  and for any  $x, y, z \in G$ , one has

$$\begin{aligned} [\tilde{U}_y \tilde{U}_z h](x) &= \left[ \tilde{U}_y [\pi(\omega(\cdot, z))h(\cdot + z)] \right] (x) = \pi(\omega(x, y))\pi(\omega(x + y, z))h(x + y + z) \\ &= \pi(\theta_x[\omega(y, z)]\omega(x, y + z))h(x + y + z) = [\tilde{\pi}(\omega(x, y))\tilde{U}_{y+z}h](x). \end{aligned}$$

Therefore  $\tilde{U}$  satisfies the condition.

Last, we check that  $\tilde{\pi}$  and  $\tilde{U}$  satisfy the compatibility condition.

For any  $y \in G$  and  $h, g \in \tilde{\mathcal{H}}$ , one has

$$\begin{aligned} \langle \tilde{U}_y^* h, g \rangle_{\tilde{\mathcal{H}}} &= \langle h, \tilde{U}_y g \rangle_{\tilde{\mathcal{H}}} = \int_G \langle h(x), [\tilde{U}_y g](x) \rangle_{\mathcal{H}} dx \\ &= \int_G \langle h(x), \pi(\omega(x, y))g(x + y) \rangle_{\mathcal{H}} dx \\ &= \int_G \langle h(z - y), \pi(\omega(z - y, y))g(z) \rangle_{\mathcal{H}} dz \\ &= \int_G \langle \pi(\omega(z - y, y)^*)h(z - y), g(z) \rangle_{\mathcal{H}} dz = \langle \pi(\omega(\cdot - y, y)^*)h(\cdot - y), g \rangle_{\tilde{\mathcal{H}}}. \end{aligned}$$

Thus we get  $[\tilde{U}_y^* h](x) = \pi(\omega(x - y, y)^*)h(x - y)$ . Then we have

$$\begin{aligned} [\tilde{U}_y \tilde{\pi}(\varphi) \tilde{U}_y^* h](x) &= \pi(\omega(x, y))[\tilde{\pi}(\varphi) \tilde{U}_y^* h](x + y) \\ &= \pi(\omega(x, y))\pi(\theta_{x+y}(\varphi))[\tilde{U}_y^* h](x + y) \\ &= \pi(\omega(x, y))\pi(\theta_{x+y}(\varphi))\pi(\omega(x + y - y, y)^*)h(x + y - y) \\ &= \pi(\omega(x, y)\theta_{x+y}(\varphi)\omega(x, y)^*)h(x) \\ &= \pi(\theta_{x+y}(\varphi))h(x) = \pi(\theta_x(\theta_y(\varphi)))h(x) = [\tilde{\pi}(\theta_y(\varphi))h](x). \end{aligned}$$

**Exercise 6.1.1** Check that if  $f(x, \xi) = f(\xi)$  ( $f$  is independent of  $x$ ), then  $\mathfrak{Dp}(f) = f(D)$ , while if  $f(x, \xi) = f(x)$  ( $f$  is independent of  $\xi$ ), then  $\mathfrak{Dp}(f) = f(X)$ .

Let  $f$  be independent of  $x$ . Then we get for any  $u \in \mathcal{S}(\mathbb{R}^d)$  and  $x \in \mathbb{R}^d$ ,

$$\begin{aligned}
[\mathfrak{D}\mathfrak{p}(f)u](x) &= \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \int_{\hat{\mathbb{R}}^d} e^{i(x-y)\cdot\eta} f\left(\frac{x+y}{2}, \eta\right) u(y) dy d\eta \\
&= \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \int_{\hat{\mathbb{R}}^d} e^{i(x-y)\cdot\eta} f(\eta) u(y) dy d\eta \\
&= \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \int_{\hat{\mathbb{R}}^d} e^{iz\cdot\eta} f(\eta) u(x-z) dz d\eta \\
&= \frac{1}{(2\pi)^{d/2}} \int_{\mathbb{R}^d} \frac{1}{(2\pi)^{d/2}} \int_{\hat{\mathbb{R}}^d} e^{iz\cdot\eta} f(\eta) d\eta u(x-z) dz \\
&= \frac{1}{(2\pi)^{d/2}} \int_{\mathbb{R}^d} \check{f}(z) u(x-z) dz \\
&= [\check{f} * u](x) = [f(D)u](x).
\end{aligned}$$

On the other hand, let  $f$  be independent of  $\xi$ . Then we get for any  $u \in \mathcal{S}(\mathbb{R}^d)$  and  $x \in \mathbb{R}^d$ ,

$$\begin{aligned}
[\mathfrak{D}\mathfrak{p}(f)u](x) &= \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \int_{\hat{\mathbb{R}}^d} e^{i(x-y)\cdot\eta} f\left(\frac{x+y}{2}, \eta\right) u(y) dy d\eta \\
&= \frac{1}{(2\pi)^d} \int_{\mathbb{R}^d} \int_{\hat{\mathbb{R}}^d} e^{i(x-y)\cdot\eta} f\left(\frac{x+y}{2}\right) u(y) dy d\eta \\
&= \int_{\mathbb{R}^d} \delta(y-x) f\left(\frac{x+y}{2}\right) u(y) dy \\
&= f(x)u(x) = [f(X)u](x).
\end{aligned}$$

**Exercise 7.2.1** *Check the above relation.*

Let  $\mathbf{x} = (x, \xi)$ ,  $\mathbf{y} = (y, \eta) \in \Xi$ . Then for any  $u \in \mathcal{H}$  and  $z \in \mathbb{R}^n$ , we get

$$\begin{aligned}
[W^A(\mathbf{x})W^A(\mathbf{y})u](z) &= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}[V_\xi U^A(x)W^A(\mathbf{y})u](z) \\
&= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}e^{-iz\cdot\xi}[U^A(x)W^A(\mathbf{y})u](z) \\
&= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}e^{-iz\cdot\xi}\lambda^A(z; x)[W^A(\mathbf{y})u](x+z) \\
&= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}e^{-iz\cdot\xi}\lambda^A(z; x)e^{-\frac{i}{2}\mathbf{y}\cdot\eta}[V_\eta U^A(\mathbf{y})u](x+z) \\
&= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}e^{-iz\cdot\xi}\lambda^A(z; x)e^{-\frac{i}{2}\mathbf{y}\cdot\eta}e^{i(x+z)\cdot\eta}[U^A(\mathbf{y})u](x+z) \\
&= e^{-\frac{i}{2}\mathbf{x}\cdot\xi}e^{-iz\cdot\xi}\lambda^A(z; x)e^{-\frac{i}{2}\mathbf{y}\cdot\eta}e^{-i(x+z)\cdot\eta}\lambda^A(x+z; \mathbf{y})u(x+y+z) \\
&= \exp\left(-\frac{i}{2}\mathbf{x}\cdot\xi - \frac{i}{2}\mathbf{y}\cdot\eta - ix\cdot\eta - iz\cdot\xi - iz\cdot\eta\right) \\
&\quad \lambda^A(z; x)\theta_x(\lambda^A(z; \mathbf{y}))u(x+y+z).
\end{aligned}$$

Since we have  $\delta^1(\lambda^A(z))(x, y)\omega^B(z; x, y)$ , we get

$$\lambda^A(z; x)\theta_x(\lambda^A(z; \mathbf{y})) = \omega^B(z; x, y)\lambda^A(z; x+y).$$

Besides, we have

$$\exp\left(-\frac{i}{2}\mathbf{x}\cdot\xi - \frac{i}{2}\mathbf{y}\cdot\eta - ix\cdot\eta - iz\cdot\xi - iz\cdot\eta\right) = \exp\left(\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y}) - \frac{i}{2}(x+y)\cdot(\xi+\eta) - iz\cdot(\xi+\eta)\right)$$

Hence we get

$$\begin{aligned}
[W^A(\mathbf{x})W^A(\mathbf{y})u](z) &= \exp\left(-\frac{i}{2}\mathbf{x}\cdot\xi - \frac{i}{2}\mathbf{y}\cdot\eta - ix\cdot\eta - iz\cdot\xi - iz\cdot\eta\right) \\
&\quad \lambda^A(z; x)\theta_x(\lambda^A(z; \mathbf{y}))u(x+y+z) \\
&= e^{\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y})}e^{-\frac{i}{2}(x+y)\cdot(\xi+\eta)}e^{-iz\cdot(\xi+\eta)} \\
&\quad \omega^B(z; x, y)\lambda^A(z; x+y)u(x+y+z) \\
&= e^{\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y})}\omega^B(z; x, y)e^{-\frac{i}{2}(x+y)\cdot(\xi+\eta)}e^{-iz\cdot(\xi+\eta)}[U^A(x+y)u](z) \\
&= e^{\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y})}\omega^B(z; x, y)e^{-\frac{i}{2}(x+y)\cdot(\xi+\eta)}[V_{\xi+\eta}U^A(x+y)u](z) \\
&= e^{\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y})}\omega^B(z; x, y)[W^A(\mathbf{x}+\mathbf{y})u](z) \\
&= e^{\frac{i}{2}\sigma(\mathbf{x}, \mathbf{y})}[\pi(\omega^B(x, y))W^A(\mathbf{x}+\mathbf{y})u](z)
\end{aligned}$$

since  $\pi$  is defined by  $\pi(a) = a(X)$ .