# Restricted Lorentz Group and Lorentz Invariance in Physics

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Special Mathematics Lecture: Groups and their representations (Fall 2022)

#### **Preliminary Proofs**

Before studying the restricted Lorentz Group, let us prove that any element  $\Lambda$  of the Lorentz group verifies  $\text{Det}(\Lambda) = \pm 1$ , and also  $|\Lambda_0^0| \geq 1$ , with  $\Lambda_0^0$  is defined as the first entry of the matrix  $\Lambda$ . Afterwards, the proof of the Lorentz group can be divided into four disjoint groups will be provided. Any element  $\Lambda \in \mathcal{L}$  satisfies the following relation  $\Lambda^T g \Lambda = g$  with g = diag(1, -1, -1, -1) and  $g^2 = \mathbb{1} \iff g^{-1} = g$ . Thus, by using the relation  $\Lambda^T g \Lambda = g$ , one has

$$\operatorname{Det}(\Lambda^T g \Lambda) = \operatorname{Det}(\Lambda^T) \operatorname{Det}(g) \operatorname{Det}(\Lambda) = \operatorname{Det}(g).$$

But we know that  $Det(\Lambda^T) = Det(\Lambda)$ . Therefore,

$$(\operatorname{Det}(\Lambda))^2 = 1 \implies \operatorname{Det}(\Lambda) = \pm 1.$$

Let us rewrite the relation  $\Lambda^T g \Lambda = g$  into tensor-index notation, namely

$$g_{\mu\nu}\Lambda^{\mu}{}_{\alpha}\Lambda^{\nu}{}_{\beta} = g_{\alpha\beta}.$$

Consider the  $(\alpha, \beta) = (0, 0)$  component

$$g_{\mu\nu}\Lambda_{0}^{\mu}\Lambda_{0}^{\nu} = g_{00} = 1 \iff \Lambda_{\nu0}\Lambda_{0}^{\nu} = \Lambda_{0}\cdot\Lambda_{0} = (\Lambda_{0}^{0})^{2} - (\Lambda_{0}^{i})^{2} = 1 \iff (\Lambda_{0}^{0})^{2} = 1 + (\Lambda_{0}^{i})^{2} \ge 1$$

where the summation over repeated indices is understood (Einstein summation convention) and we have used  $g_{\mu\nu}\Lambda^{\mu}_{\ \alpha} = \Lambda_{\nu\alpha}$  and the Minkowski inner product in tensor-index notation, namely  $A \cdot B :=$  $A_{\nu}B^{\nu} = A^{\mu}g_{\mu\nu}B^{\nu} = A^{0}B^{0} - A^{i}B^{i}$ . Hence, we have  $|\Lambda^{0}_{0}| \geq 1$ . Moreover, we know that  $\Lambda$  has an inverse  $\Lambda^{-1}$  since det $(\Lambda) \neq 0$  and in fact, the inverse also preserves the bilinear map, namely

$$g = (\Lambda^T)^{-1} \Lambda^T g \Lambda \Lambda^{-1} = (\Lambda^T)^{-1} g \Lambda^{-1} = (\Lambda^{-1})^T g \Lambda^{-1}$$

Therefore,  $\Lambda^{-1} \in \mathcal{L}$  and if we take the inverse of the relation  $\Lambda^T g \Lambda = g$ , one has

$$\Lambda^{-1}g(\Lambda^{-1})^T = g \iff \Lambda g \Lambda^T = g$$

where the property  $g^{-1} = g$  has been used in the expression. Consequently, if one expresses the inverse relation in tensor-index notation and one takes the (0,0) component, one has

$$\Lambda_{0\nu}\Lambda_{\nu}^{0} = \Lambda^{0} \cdot \Lambda^{0} = (\Lambda_{0}^{0})^{2} - (\Lambda_{i}^{0})^{2} = 1 \iff (\Lambda_{0}^{0})^{2} = 1 + (\Lambda_{i}^{0})^{2} \ge 1.$$

Consider  $\Lambda, \Lambda' \in \mathcal{L}$ . The matrix multiplication yields

$$(\Lambda\Lambda')^{0}_{0} = \Lambda^{0}_{0}\Lambda'^{0}_{0} + \Lambda^{0}_{k}\Lambda'^{k}_{0} = \left(1 + (\Lambda^{0}_{i})^{2}\right)^{1/2} \left(1 + (\Lambda'^{j}_{0})^{2}\right)^{1/2} + \Lambda^{0}_{k}\Lambda'^{k}_{0}.$$

Using the Cauchy-Schwarz inequality, one infers that

$$\left|\sum_{k=1}^{3} \Lambda_{k}^{0} \Lambda_{0}^{\prime k}\right| \leq \left(\sum_{i=1}^{3} \left(\Lambda_{i}^{0}\right)^{2}\right)^{1/2} \left(\sum_{j=1}^{3} \left(\Lambda_{0}^{\prime j}\right)^{2}\right)^{1/2}.$$

Let us now fix x, y such that  $(\Lambda_i^0)^2 = \sinh^2(x)$  and  $(\Lambda_0'^j)^2 = \sinh^2(y)$  where the summation over repeated indices is understood. If  $\Lambda_0^0$  and  $\Lambda_0'^0$  have the same sign, then one has

$$\begin{split} (\Lambda\Lambda')_{0}^{0} &\geq \left(1 + \sum_{i=1}^{3} \left(\Lambda_{i}^{0}\right)^{2}\right)^{1/2} \left(1 + \sum_{j=1}^{3} \left(\Lambda_{0}^{'j}\right)^{2}\right)^{1/2} - \left(\sum_{i=1}^{3} \left(\Lambda_{i}^{0}\right)^{2}\right)^{1/2} \left(\sum_{j=1}^{3} \left(\Lambda_{0}^{'j}\right)^{2}\right)^{1/2} \\ &= \cosh(x) \cosh(y) - \sinh(x) \sinh(y) \\ &= \cosh(x-y) \\ &\geq 1. \end{split}$$

If  $\Lambda^0_0$  and  $\Lambda'^0_0$  have the opposite sign, then by using the same trick as before, one has

$$\begin{aligned} (\Lambda\Lambda')_{0}^{0} &\leq -\left(1+\sum_{i=1}^{3}\left(\Lambda_{i}^{0}\right)^{2}\right)^{1/2} \left(1+\sum_{j=1}^{3}\left(\Lambda_{0}^{'j}\right)^{2}\right)^{1/2} + \left(\sum_{i=1}^{3}\left(\Lambda_{i}^{0}\right)^{2}\right)^{1/2} \left(\sum_{j=1}^{3}\left(\Lambda_{0}^{'j}\right)^{2}\right)^{1/2} \\ &= -\cosh(x)\cosh(y) + \sinh(x)\sinh(y) \\ &= -\cosh(x-y) \\ &\leq -1. \end{aligned}$$

From the results above, one infers that  $|(\Lambda\Lambda')_0^0| \ge 1$  for any  $\Lambda\Lambda' \in \mathcal{L}$  and the components determined by  $\Lambda_0^0$  are disjoint. Then, let us define the restricted Lorentz group as follows

#### Definition 1

The restricted Lorentz group  $\mathcal{L}_{+}^{\uparrow}$  is defined as the Lorentz group  $\mathcal{L}$  that is proper and orthochronous, namely one has ([2])

$$\mathcal{L}_{+}^{\uparrow} := \{ \Lambda \in \mathcal{L} \mid \text{Det}(\Lambda) = 1 \text{ and } \Lambda_{0}^{0} \ge 1 \}.$$

Furthermore, the restricted Lorentz group can be denoted by  $SO^+(1,3)$  where (1,3) is the signature of the quadrature form and the "+" denotes the orthochronous property of  $\mathcal{L}_+^{\uparrow}$ .

### Proper and orthochronous Lorentz transformations

For  $\Lambda \in \mathcal{L}_{+}^{\uparrow}$ , the restricted Lorentz transformation (proper and orthochronous) is denoted by ([4])

$$x^{\prime \mu} = \Lambda^{\mu}_{\ \nu} x^{\nu}.$$

Moreover, one needs to keep the 4-vector inner product invariant. Suppose  $A^{\mu}$  and  $B^{\mu}$  are transformed by the same matrix  $\Lambda$ . Namely,

$$A^{\prime\mu} = \Lambda^{\mu}_{\ \alpha} A^{lpha}, \ B^{\prime\nu} = \Lambda^{
u}_{\ \beta} B^{eta}.$$

Then, let us consider the 4-vector inner product

$$A' \cdot B' = A'_{\nu} B'^{\nu} = g_{\mu\nu} A'^{\mu} B'^{\nu} = (g_{\mu\nu} \Lambda^{\mu}_{\ \alpha} \Lambda^{\nu}_{\ \beta}) A^{\alpha} B^{\beta}.$$
$$A \cdot B = A_{\beta} B^{\beta} = g_{\alpha\beta} A^{\alpha} B^{\beta}.$$

Therefore, the condition such that the 4-vector inner product invariant, namely the equality  $A' \cdot B' = A \cdot B$  holds, is given by

$$g_{\mu\nu}\Lambda^{\mu}{}_{\alpha}\Lambda^{\nu}{}_{\beta} = g_{\alpha\beta}$$

Observe that the relationship above is equivalent to the relation which has been written in the first section, namely  $\Lambda^T g \Lambda = g$  (The Lorentz group preserves the bilinear map).

### **Proper Rotations**

A restricted Lorentz transformation  $\Lambda \in \mathcal{L}^{\uparrow}_{+}$  is said to be proper rotation if it leaves the time unchanged, namely  $\Lambda^{0}_{0} = 1$ . Then, the pure rotation has the following form ([1])

$$\Lambda = \begin{pmatrix} 1 & 0 \\ 0 & \mathcal{R} \end{pmatrix}$$

with  $\mathcal{R}$  denotes the three-dimensional rotation part of  $\Lambda$  with  $\mathcal{R} \in SO(3)$ . For a rotation about some vector  $\vec{n}$  in 3-space, the rotation leaves  $\vec{n}$  unchanged and acts in the plane orthogonal to  $\vec{n}$ . For example, consider the rotation about the third axis  $\vec{n} = \vec{e}_3$  and if we express  $\mathcal{R}$  altogether with  $\Lambda_{00} = 1$ , then the pure rotation has the following form

$$\Lambda(\vec{e}_{3},\theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

One can interpret the rotation as rotating the coordinate system or rotating the space in a fixed coordinate system depending on the sign of  $\theta$ . The former is called a passive transformation and the

latter is called an active transformation. Let us check if  $\Lambda$  preserves the bilinear map

$$\begin{split} \Lambda^T g \Lambda &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & \sin \theta & 0 \\ 0 & -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & \sin \theta & -\cos \theta & 0 \\ 0 & \sin \theta & -\cos \theta & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \\ &= g. \end{split}$$

Hence,  $\Lambda$  preserves the bilinear map. Moreover, one also has det $(\Lambda) = 1$  and  $\Lambda_0^0 = 1$  such that proper rotation transformation is restricted Lorentz transformation.

# Pure Lorentz Boosts

A restricted Lorentz transformation  $\Lambda \in \mathcal{L}^{\uparrow}_{+}$  is said to be a pure boost in the direction of a certain 3space vector  $\vec{n}$  if it leaves unchanged any vectors in 3-space in the plane orthogonal to  $\vec{n}$ . Then, there exists another parameter  $\eta$  which determines the magnitude of the boost. By choosing the 3-space vector as  $\pm \vec{n}$ , then we have  $\eta \geq 0$ . For example, the pure Lorentz boost along the first coordinate axis can be represented by the following matrix

$$\Lambda(\vec{e}_1, \eta) = \begin{pmatrix} \cosh \eta & \sinh \eta & 0 & 0\\ \sinh \eta & \cosh \eta & 0 & 0\\ 0 & 0 & 1 & 0\\ 0 & 0 & 0 & 1 \end{pmatrix}$$

One can observe that  $det(\Lambda) = 1$  and  $\Lambda_{00} = \cosh \eta \ge 1$  which agrees with our definition of proper and orthochronous Lorentz transformation. Then, let us check if the 4-vector inner product is invariant,

namely we check the following condition  $\Lambda^T g \Lambda = g$ 

$$\begin{split} \Lambda^T g \Lambda &= \begin{pmatrix} \cosh \eta & \sinh \eta & 0 & 0 \\ \sinh \eta & \cosh \eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} \cosh \eta & \sinh \eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} \cosh \eta & -\sinh \eta & 0 & 0 \\ \sinh \eta & -\cosh \eta & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} \cosh \eta & \sinh \eta & 0 & 0 \\ \sinh \eta & \cosh \eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \\ &= g \end{split}$$

Hence, the pure boost transformation is proper and orthochronous Lorentz transformation  $(\Lambda \in \mathcal{L}_{+}^{\uparrow})$ .

## Lorentz Invariance

Let us consider a scalar field  $\phi$  under the Lorentz transformation  $x \to \Lambda x$ , namely

$$\phi(x) \to \phi'(x) = \phi(\Lambda^{-1}x)$$

The inverse  $\Lambda^{-1}$  appears in the argument because we consider an active transformation in which the field is truly shifted. The definition of a Lorentz invariant theory is that if  $\phi$  solves the equations of motion then  $\phi(\Lambda^{-1} \cdot)$  also solves the equations of motion. Meaning that the laws of physics **are the same** for different observers even though the frame of reference is rotated through some angle or traveling at a constant speed relative to the observer at rest. We can ensure that this property holds by requiring that the action is Lorentz invariant ([3]). Let us consider a famous example in relativistic quantum mechanics,

#### The Klein-Gordon Equation

Consider the Lagrangian for a real scalar field  $\phi(\vec{x}, t)$  ([3]),

$$\mathcal{L}(\phi) = \frac{1}{2}g^{\mu\nu}\partial_{\mu}\phi\partial_{\nu}\phi - \frac{1}{2}m^{2}\phi^{2}$$

This real scalar field has been transformed under Lorentz transformation,  $\phi(x) \to \phi'(x) = \phi(\Lambda^{-1}x)$ . The derivative of the scalar field transforms as a vector, namely

$$(\partial_{\mu}\phi)(x) \to (\Lambda^{-1})^{\nu}_{\ \mu}(\partial_{\nu}\phi)(y)$$

with  $y = \Lambda^{-1}x$ . Here, the potential terms transform in the following way  $\phi^2(x) \to \phi^2(y)$  meaning that the potential terms are invariant under the transformation. Consider the derivative terms of the Lagrangian

$$\mathcal{L}_{deriv}(x) = \partial_{\mu}\phi(x)\partial_{\nu}\phi(x)g^{\mu\nu} \to (\Lambda^{-1})^{\alpha}_{\ \mu}(\partial_{\alpha}\phi)(y)(\Lambda^{-1})^{\beta}_{\ \nu}(\partial_{\beta}\phi)(y)g^{\mu\nu}$$
$$= (\partial_{\alpha}\phi)(y)(\partial_{\beta}\phi)(y)g^{\alpha\beta}$$
$$= \mathcal{L}_{deriv}(y)$$

Therefore, the action is given by

$$S = \int d^4x \mathcal{L}(x) \to \int d^4x \mathcal{L}(y) = \int d^4y \mathcal{L}(y) = S$$

From this result, one infers that the action is invariant under **proper** Lorentz transformations (since we have  $det(\Lambda) = 1$ , then we don't need to take into account the Jacobian factor).

# References

- [1] Arthur Jaffe. Lorentz Transformations, Rotations, and Boosts. 2015.
- [2] Serge Richard. Special Mathematics Lecture: Groups and their representations. 2022.
- [3] David Tong. Lectures on Quantum Field Theory. 2006.
- [4] Hitoshi Yamamoto. Quantum Field Theory for Non-Specialists (Lecture Notes).