

# Integrability for $\text{AdS}_3/\text{CFT}_2$

Alessandro Sfondrini

based on work in collaboration with

$\{R. \text{Borsato}, T. \text{Lloyd}, O. \text{Ohlsson Sax}, B. \text{Stefañski jr.}, A. \text{Torrielli}\}$

review: [arXiv:1406.2971](https://arxiv.org/abs/1406.2971)

1211.5119, 1212.0505, 1303.5995, 1306.2512,  
1403.4543, 1406.0453, 1410.0866, 1411.3676.

**GATIS**



- 1  $\text{AdS}_3/\text{CFT}_2$  holography
- 2 Integrability in AdS/CFT
- 3  $\text{AdS}_3/\text{CFT}_2$  worldsheet integrability
- 4  $\text{AdS}_3/\text{CFT}_2$  spin-chain integrability
- 5 Conclusions and outlook

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# Gravity in $\text{AdS}_3$

- Early instance of holography, with Virasoro symmetry.

[Brown, Henneaux '86]

- Rich **black-hole** physics.

[Bañados, Teitelboim, Zanelli '92]

- Very relevant for string-theory black holes.

[Strominger, Vafa '96]

- Higher-spin theories natural.

[Gaberdiel, Gopakumar '10] [...]

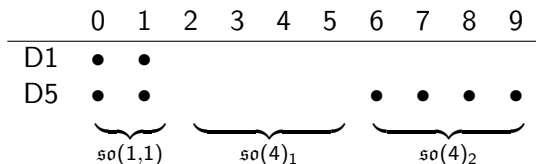
# AdS<sub>3</sub> from the D1-D5 system

Consider the D1-D5 system (16 supersymmetries)

	0	1	2	3	4	5	6	7	8	9
D1	•	•								
D5	•	•					•	•	•	•

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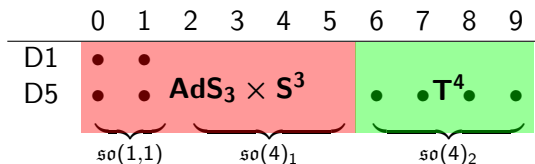


and let us decompose

$$\mathfrak{so}(4)_1 = \mathfrak{su}(2)_L \oplus \mathfrak{su}(2)_R, \quad \mathfrak{so}(4)_2 = \mathfrak{su}(2)_\bullet \oplus \mathfrak{su}(2)_\circ.$$

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Near-horizon geometry is

$$\text{AdS}_3 \times S^3 \times T^4.$$

# Dual gauge theory

We identify  $\#D1 = N_c$  and  $\#D5 = N_f$ .

- D1-D1 strings  $\longleftrightarrow \mathcal{N} = (8, 8) U(N_c)$  vector-multiplet;
- D1-D5 strings  $\longleftrightarrow \mathcal{N} = (4, 4) U(N_c) \times U(N_f)$  hyper-multiplets;
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$\longrightarrow SU(N_c)$  gauge theory with vectors and hypers **in the UV!**

**RG flow** to IR sigma model on  $N_c$ -instanton moduli space in  $SU(N_f)$ .

## Other AdS<sub>3</sub> superstring backgrounds

Another geometry with 16 supercharges is

$$\text{AdS}_3 \times S^3 \times S^3 \times S^1,$$

if the curvature radii satisfy

$$\frac{1}{R_{\text{AdS}}^2} = \frac{1}{R_{S^{(1)}}^2} + \frac{1}{R_{S^{(2)}}^2}.$$

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Both backgrounds can be supported by RR and/or NSNS fluxes.

Pure-NSNS background gives **WZW model** and is manageable.

[Maldacena, Ooguri '01]

Mixed-flux and general backgrounds are more mysterious.

# Fundamental question

Can we understand general  $\text{AdS}_3/\text{CFT}_2$  by **integrability**?

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# Integrability 101

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Hamiltonian system with  $N$  d.o.f. and

$$\{H_j, H_k\} = 0, \quad j, k = 1, \dots, N$$

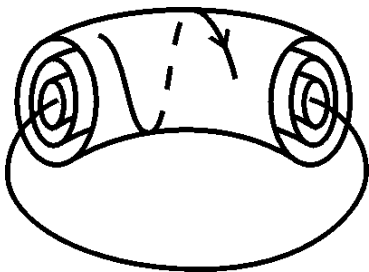


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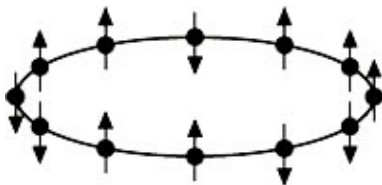
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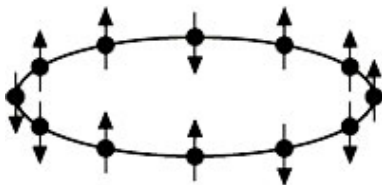
# Integrability in quantum mechanics

$N$ -dimensional quantum system: **spin chain**.



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$[H_j, H_k] = 0, \quad j, k = 1, \dots, N \quad \longrightarrow \quad \text{simultaneous diagonalisation}$

In practice: **Bethe Ansatz** to efficiently compute eigenvalues.

# Integrability in field theory

## In field theory

- $\infty$ -many degrees of freedom (hard to exhibit conserved charges!)
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Conserved charges follow: e.g. (Sine-Gordon) [Zamolodchikov, Zamolodchikov '79]

$$H_{2k}(p) = p^{2k} \sqrt{p^2 + m^2}, \quad H_{2k+1}(p) = p^{2k+1}.$$

Can we “quantise” this integrable structure?

# Factorised scattering in two dimensions

**Assume** all conserved charges.

If we consider asymptotic states,  $|p_1, \dots, p_n\rangle_{\text{in}} \rightarrow |q_1, \dots, q_{n'}\rangle_{\text{out}}$

$$\sum_{j=1}^n H_k(p_j) = \sum_{j=1}^{n'} H_k(q_j) \quad \forall k.$$

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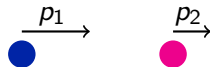
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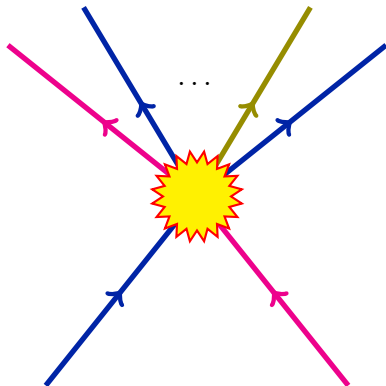
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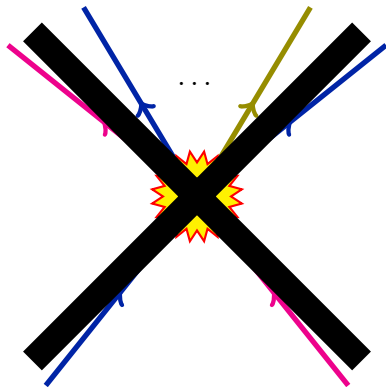
Cartoon generalises to N-particle event  $\longrightarrow$  **factorisation!**

[Zamolodchikov, Zamolodchikov '79]

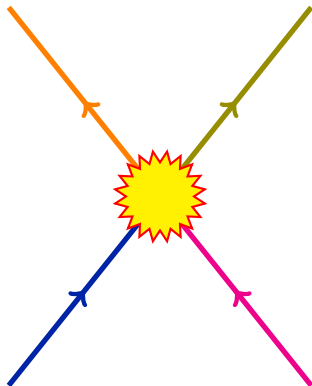
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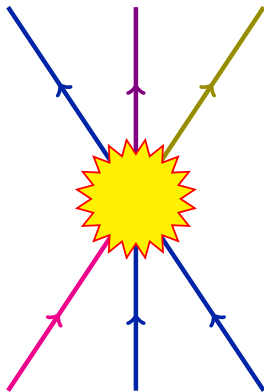


# Consistency conditions: momenta transmitted

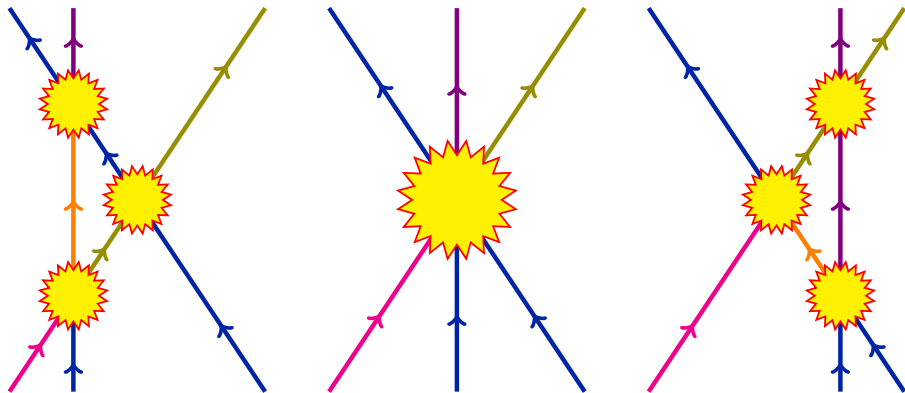




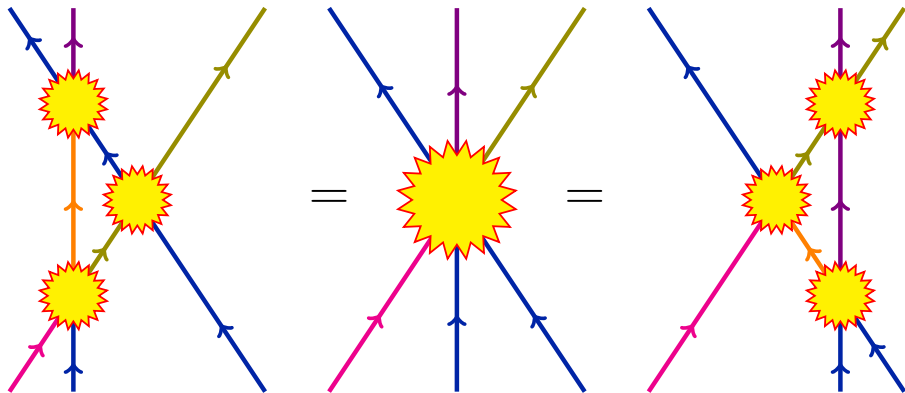
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First off, always restrict to the **planar** ('t Hooft) limit.

→ free strings, planar gauge theory, **arbitrary**  $\lambda$ .

Focus on the **spectral problem**:

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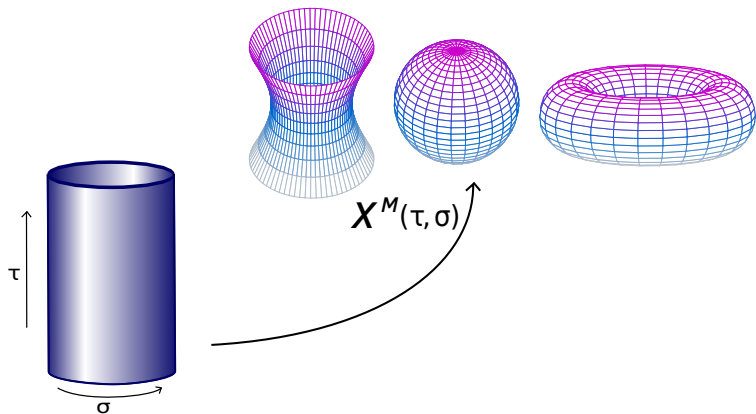
On the CFT side, consider

$$\mathbf{D} \mathcal{O} = \Delta \mathcal{O}, \quad \mathcal{O} = \sum \text{tr} [ZZZXZZZXZZXZZX].$$

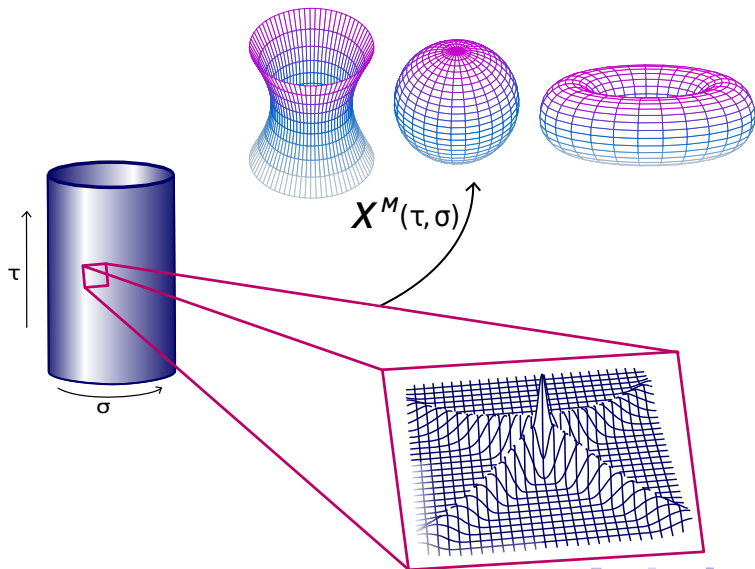
→ spin-chain picture!

[Minahan, Zarembo '02]

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This talk: focus on  $\text{AdS}_3 \times \text{S}^3 \times \text{T}^4$ , pure-RR.

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# AdS<sub>3</sub>/CFT<sub>2</sub> integrability overview

String NLSM is classically integrable for pure-RR and mixed fluxes.

[Babichenko, Stefański, Zarembo '10] [Sundin, Wulff '12] [Cagnazzo, Zarembo '12]

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Several *new features* and issues with respect to AdS<sub>5</sub>/CFT<sub>4</sub>.

**Massless modes** in light-cone gauge!

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**Massless modes** in light-cone gauge!

## Strategy:

- Explicitly work out the symmetries.
- Do not impose integrability: derive it!
- Check against perturbative calculations.

[Sundin, Wulff '12 & '14] [Beccaria, Levkovich-Maslyuk, Macorini, Tseytlin '12]

[Abbott '13] [Engelund, McKeown, Roiban '13] [Bianchi, Hoare '14]

[Roiban, Sundin, Tseytlin, Wulff '14] [Babichenko, Dekel, Ohlsson Sax '14]

# The string non-linear sigma model

Start from NLSM action,

$$S = -\frac{1}{2} \int_{-r}^{+r} d^2\sigma \gamma^{\alpha\beta} \partial_\alpha X^m \partial_\beta X^n G_{mn}(X) + \text{fermions}.$$

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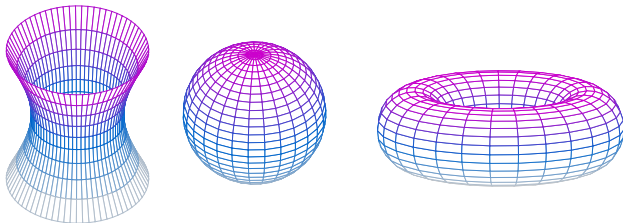
Fix **light-cone gauge**:

- Worldsheet and target-space Hamiltonian are related.
- Physical fields are manifest.
- Conformal symmetry broken on the worldsheet,  $r$  fixed  
→ we can **decompactify** the worldsheet,  $r \rightarrow \infty$ .
- Physical states satisfy level-matching:

$$\mathbf{P} |\text{physical}\rangle = 0, \quad \mathbf{P} |p_1, \dots, p_n\rangle = (p_1 + \dots + p_n) |p_1, \dots, p_n\rangle.$$



# $\text{AdS}_3 \times S^3 \times T^4$ isometries

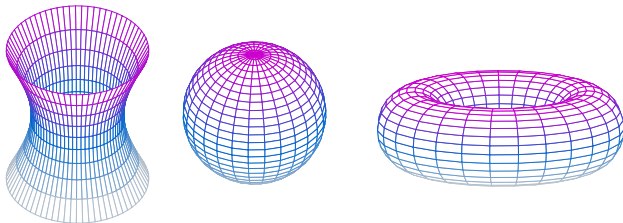


Superisometry algebra

$$\mathfrak{psu}(1, 1|2)_L \oplus \mathfrak{psu}(1, 1|2)_R \oplus \mathfrak{u}(1)^4$$

→ 16 supercharges

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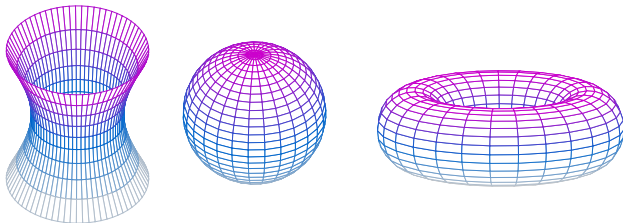


Superisometry algebra **in the decompactification limit**

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# Light-cone gauge symmetry algebra

Light-cone gauge breaks isometries to  $\mathfrak{psu}(1|1)_{\text{c.e.}}^4 \oplus \mathfrak{so}(4)_2$ .

**On-shell** we have

$$\mathbf{P}|\text{state}\rangle = 0$$

and the algebra

$$\begin{aligned}\{\mathbf{Q}_{L\dot{a}}, \overline{\mathbf{Q}}_{L\dot{b}}\} &= \frac{1}{2}\delta_{\dot{a}\dot{b}}(\mathbf{H} + \mathbf{M}), & \{\mathbf{Q}_{L\dot{a}}, \mathbf{Q}_{R\dot{b}}\} &= 0, \\ \{\mathbf{Q}_{R\dot{a}}, \overline{\mathbf{Q}}_{R\dot{b}}\} &= \frac{1}{2}\delta_{\dot{a}\dot{b}}(\mathbf{H} - \mathbf{M}), & \{\overline{\mathbf{Q}}_{L\dot{a}}, \overline{\mathbf{Q}}_{R\dot{b}}\} &= 0,\end{aligned}$$

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We find a non-trivial central extension given by

$$\mathbf{C} \quad \text{and} \quad \overline{\mathbf{C}}.$$

[Beisert '05] [Arutyunov, Plefka, Frolov, Zamaklar '06]

# Central extension

We find the central charges to be

$$\mathbf{C} = i \frac{\hbar(\lambda)}{2} (e^{i\mathbf{P}} - 1),$$

- Highly non-linear form
- Vanish on-shell
- Induce non-trivial co-product (**Hopf algebra**):

$$\mathbf{C}|p_1, p_2\rangle = (\# \mathbf{C} \otimes \mathbf{1} + \# \mathbf{1} \otimes \mathbf{C}) |p_1, p_2\rangle$$

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# Representations

Perturbatively, we find 8+8 fundamental excitations:

4+4 **massive** particles

4+4 **massless** particles

transverse directions of  $\text{AdS}_3 \times S^3$

flat  $T^4$  directions

We construct their representations **explicitly**.



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massive

$$\begin{array}{c} m = -1 \\ (2|2) \end{array}$$

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massless

$$\begin{array}{c} m = 0 \\ (2|2) \end{array} \longleftrightarrow \begin{array}{c} m = 0 \\ (2|2) \end{array}$$

$\mathbf{J}_0^a$

# Dispersion relation

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In the case  $m = 0$ , we have

$$E_p = 2h \left| \sin \frac{p}{2} \right|.$$

→ non-analyticity:  $m = 0$  special point, **protected** by (super)symmetry.

# The integrable S matrix



# The integrable S matrix



Massless scattering is usually problematic!

[Zamolodchikov, Zamolodchikov '92] [Zamolodchikov, Fendley, Saleur '93]

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The linear conditions leave some undetermined **“dressing” factors**.

# Recap worldsheet integrability

- We used symmetries to determine **kinematics** and world-sheet **S matrix** at all loops. This is compatible with unitarity, Yang-Baxter equation, and crossing symmetry and perturbative checks.
- Five **dressing factors** are undetermined by symmetry. There is already a proposal for “massive” ones.

[Borsato, Ohlsson Sax, AS, Stefański, Torrielli '13]

- The **mixed-flux** case can be studied similarly: same symmetries, but deformed representations. **AdS<sub>3</sub> × S<sup>3</sup> × S<sup>3</sup> × S<sup>1</sup>** also understood.

[Lloyd, Ohlsson Sax, AS, Stefański '14]

[Borsato, Ohlsson Sax, AS, Stefański, to appear]

# Plan

- 1 AdS<sub>3</sub>/CFT<sub>2</sub> holography
- 2 Integrability in AdS/CFT
- 3 AdS<sub>3</sub>/CFT<sub>2</sub> worldsheet integrability
- 4 AdS<sub>3</sub>/CFT<sub>2</sub> spin-chain integrability
- 5 Conclusions and outlook

## Integrability on the gauge theory/CFT side?

In  $\text{AdS}_5/\text{CFT}_4$  and  $\text{AdS}_4/\text{CFT}_3$ , “straightforward” spin-chain picture.

$$\mathbf{D} \mathcal{O} = \Delta \mathcal{O}, \quad \mathcal{O} = \sum \text{tr} [ZZZ \mathbf{X} ZZZZ \mathbf{X} \mathbf{X} ZZZ].$$

→ conceptual and practical tool for understanding integrability.

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→ conceptual and practical tool for understanding integrability.

## In $\text{AdS}_3/\text{CFT}_2$ :

- UV theory non-conformal → RG flow.
- Sigma-model on instanton moduli space is complicated.
- Simpler case: symmetric-product orbifold. [Pakman, Rastelli, Razamat '10]
  - ▶ No natural “single trace” notion (but “single cycle”)
  - ▶ Very large mixing at tree level
  - ▶ Even worse at one-loop

# Back to the D1-D5 system

In the UV, two branches:

- Coulomb branch: D1 separated from D5;
- **Higgs branch**: D1 on top of D5  $\longrightarrow$   $\text{AdS}_3/\text{CFT}_2$ . [Maldacena '98]

We want to describe the **origin of the Higgs branch**.

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We want to describe the **origin of the Higgs branch**.

In the near-horizon limit

- D1-D1 strings  $\longleftrightarrow \mathcal{N} = (8, 8)$   $U(N_c)$  vector-multiplet:  
 $\mathcal{N} = (4, 4)$  vector and  $\mathcal{N} = (4, 4)$  adjoint hyper
- D1-D5 strings  $\longleftrightarrow \mathcal{N} = (4, 4)$  fundamental hyper



# UV action

The action is schematically

$$\text{vector} \quad \mathcal{L}_V(\Phi) = \frac{1}{g^2} \text{tr} [F_{\mu\nu} F^{\mu\nu} + |\nabla\Phi|^2 + |D|^2],$$

$$\text{adjoint hyper} \quad \mathcal{L}_T(T, \Phi) = \text{tr} [|\nabla T|^2 + [T, \Phi]^2 + T[D, T]],$$

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Flowing to the IR

[Witten '96]

- $g \rightarrow \infty$  and  $\mathcal{L}_V$  suppressed.
- Conformal invariance requires non-standard dimensions:

$$[A = 1, \quad [\Phi] = 1, \quad [D] = 2, \quad \dots]$$

## Effective IR action

Consider the IR path-integral

$$\int [d\Phi][dT][dH] e^{-S_H(H,\Phi)-S_T(T,\Phi)} = \int [d\Phi][dT] e^{-N_f S_{\text{eff}}(\Phi)-S_T(T,\Phi)},$$

where  $N_f S_{\text{eff}}$  comes from integrating out the fundamental hypers:

$$N_f S_{\text{eff}} = \left( \text{---} \bullet \text{---} \text{---} \text{---} + \text{---} \bullet \text{---} \text{---} \text{---} + \dots \right) N_f \text{ times}$$

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The remaining fields fit in representation-theory spin chain:

- Vector multiplet  $\longleftrightarrow$  massive sector.

[Borsato, Ohlsson Sax, AS, Stefański, Torrielli '13]

- Adjoint hyper  $\longleftrightarrow$  (speculated) massless sector.

[Ohlsson Sax, Stefański, Torrielli '13]

# Large-N action and perturbation theory

Natural large-N and perturbative expansion

$$N_f \gg 1, \quad N_c \gg 1, \quad \lambda = \frac{N_c}{N_f} \ll 1.$$

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$$N_f \gg 1, \quad N_c \gg 1, \quad \lambda = \frac{N_c}{N_f} \ll 1.$$

Example: we can compute the  $\Phi$ -bubble



by using the propagator fixed by conformal invariance,

$$\langle \Phi_0 \Phi_x \rangle = \frac{1}{|x|^2},$$

and



[Brézin 1960s] [Coleman 1960s] [...]

# The dilatation operator

[Minahan, Zarembo '02]

One-loop dilatation operator  $\mathbf{D} \approx \mathbf{H}$  can be found from **mixing matrix**.

Only neighbouring fields in  $\mathcal{O}$  contribute, i.e.

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→ **integrable spin chain!**

[Ohlsson Sax, AS, Stefański, '14]

## Recap spin-chain integrability

We have considered the  $\text{CFT}_2$  at the origin of the Higgs branch.

- Nice “large- $N$ ” expansion.
- Field content fits algebraic spin-chain construction.
- One-loop integrability in a sub-sector.

# Plan

- 1  $\text{AdS}_3/\text{CFT}_2$  holography
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## Back to our question

Can we understand general  $\text{AdS}_3/\text{CFT}_2$  by integrability?

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It seems so.

# Natural questions

- Fix the remaining dressing factors.

[Borsato, Ohlsson Sax, AS, Stefański, Torrielli, in progress]

- Study the energy spectrum qualitatively and compare it with semiclassical integrability information.

[Lloyd, Stefański '13] [Abbott, Aniceto, in progress]

- Spin-chain integrability beyond  $\mathfrak{so}(4)$ ? Massless modes?

[Ohlsson Sax, AS, Stefański, in progress]

- Understand the CFT side of  $\text{AdS}_3 \times S^3 \times S^3 \times S^1$ ?

[Tong '14]

# The bigger picture

- Investigate  $\mathcal{N} = (4, 4)$  symmetry and relations to integrability.  
Relation with symmetric-product orbifold?

[Pakman, Rastelli, Razamat '10]

- Investigate the relation between integrability and CFT approach using mixed-flux and extrapolate towards WZW point.

[Zamolodchikov, Fendley, Saleur '90s ??] [Bazhanov, Lukyanov, Zamolodchikov '90s ??]

- Gain new insight in higher-spin theories on  $\text{AdS}_3$ .

[Gaberdiel, Gopakumar '14] [...]

- Study black-hole backgrounds by integrability. [David, Sadhukhan '11]