Local Asymptotic Normality in Quantum Statistics

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Outline:

- Quantum state estimation and optimality
- Local Asymptotic Normality in classical statistics
- Local Asymptotic Normality for qubits
- Local Asymptotic Normality for d-dimensional state

Problem: given n identically prepared systems in the state ρ^{θ} with $\theta \in \Theta$, perform a measurement $M^{(n)}$ and construct an estimator $\hat{\theta}_n$ of θ from the result $X^{(n)}$.

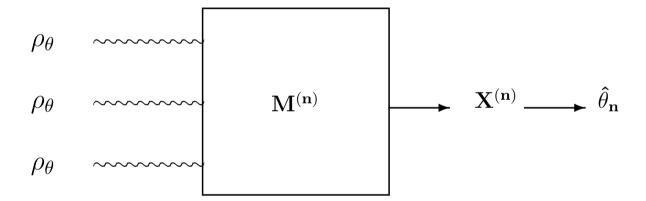
$$\rho^{\theta} \otimes \rho^{\theta} \otimes \cdots \otimes \rho^{\theta} \longmapsto X^{(n)} \longmapsto \hat{\theta}_{n}$$

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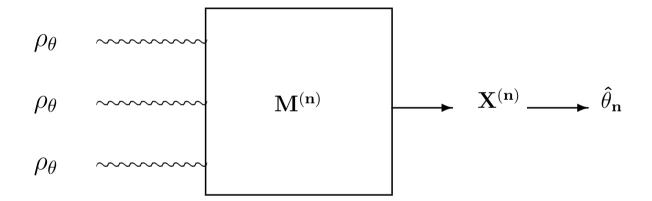
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Risk and Optimality: The quality of the estimation strategy $(M, \hat{\theta}_n)$ is given by the risk

$$R(\theta, \hat{\theta}_n) = \mathbb{E} \|\rho^{\theta} - \rho^{\hat{\theta}_n}\|_1^2 \quad \text{or} \quad R(\theta, \hat{\theta}_n) = 1 - \mathbb{E} F(\rho^{\theta}, \rho^{\hat{\theta}_n})$$

Bayesian vs frequentist optimality

Bayesian: prior $\pi(d\theta)$

Frequentist

$$R_{\pi}(\hat{\theta}_{n}) := \int R(\theta, \hat{\theta}_{n}) \pi(d\theta) \qquad R_{\theta_{0}}(\hat{\theta}_{n}) := \sup_{\theta \in B(\theta_{0}, n^{-1/2})} R(\theta, \hat{\theta}_{n})$$

$$R_{\pi,n} := \inf_{M_{n}} R_{\pi}(\hat{\theta}_{n}) \qquad R_{\theta_{0},n} := \inf_{M_{n}} R_{\theta_{0}}(\hat{\theta}_{n})$$

$$R_{\pi} := \lim_{n \to \infty} nR_{\pi,n} \qquad R_{\theta_{0}} := \lim_{n \to \infty} nR_{\theta_{0},n} = C^{H}(\theta_{0})$$

$$R_{\pi} = \int R_{\theta} \, \pi(d\theta)$$

A rough classification of state estimation problems

Separate measurements

Joint measurements

Parametric

Practically feasible
Optimal for pure states
Optimal for one parameter

$$R_n \approx C_{\rm sep}/n$$

More difficult to implement Optimal for mixed states

$$R_n \approx C_{\rm joint}/n$$

Non-parametric

Q. Homodyne Tomography, Direct detection of Wigner fct...

non-parametric rates for estimation of state as a whole

$$R_n = O((\log n)^k / n, n^{-\alpha}, ...)$$

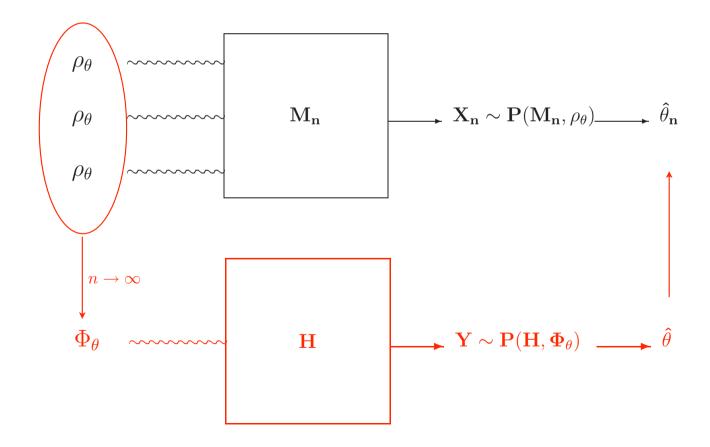
Conjecture/Program:

L.A.N. = convergence to model of displaced quasifree states of infinite dimensional CCR alg.

Asymptotically things become easier...

Idea of using (local) asymptotic normality in optimal estimation:

- as $n \to \infty$ the n particle model gets 'close' to a Gaussian shift model Φ_{θ}
- the latter has fixed, known variance and unknown mean (related to) θ ,
- the mean can be estimated optimally by simple measurements (heterodyne)
- \bullet the measurement can be 'pulled back' to the n systems



Motivation / earlier work

• Classical L.A.N. theory of Le Cam

asymptotic equivalence of statistical models optimal estimation rates

• Central Limit behaviour for quantum systems

Coherent spin states Gaussian description of atoms-light interaction (Mabuchi, Polzik experiments)

• Work by Hayashi and Matsumoto on asymptotics of state estimation

M. Hayashi,

Quantum estimation and the quantum central limit theorem (in Japanese),

Bull. Math. Soc. Japan 55 (2003)

English translation: quant-ph/0608198

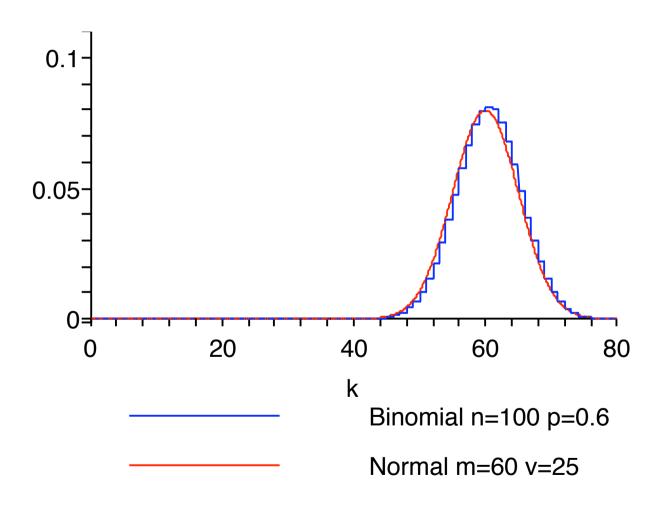
M. Hayashi, K. Matsumoto,

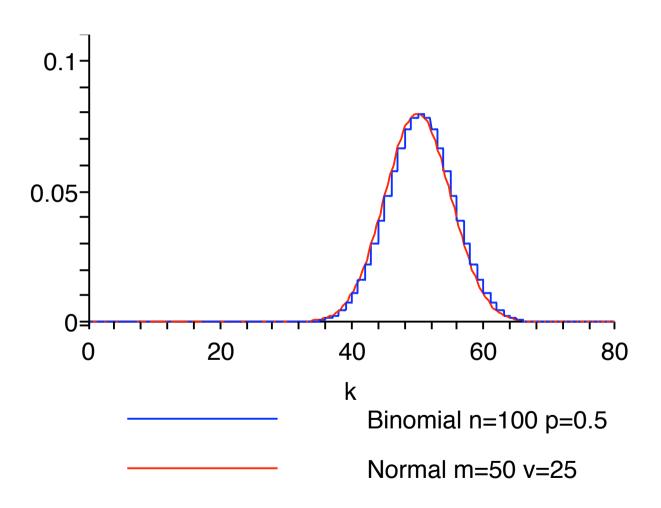
Asymptotic performance of optimal state estimation in quantum two level system arXiv:quant-ph/0411073

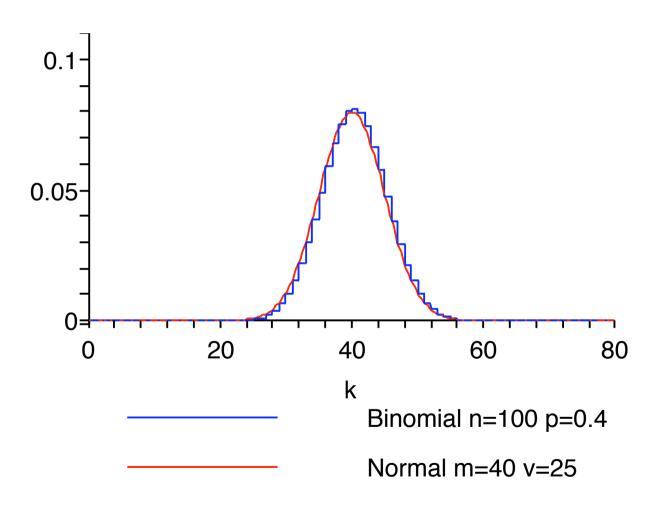
Repeated coin toss: X_1, \ldots, X_n i.i.d. with $\mathbb{P}[X_i = 1] = \theta$, $\mathbb{P}[X_i = 0] = 1 - \theta$

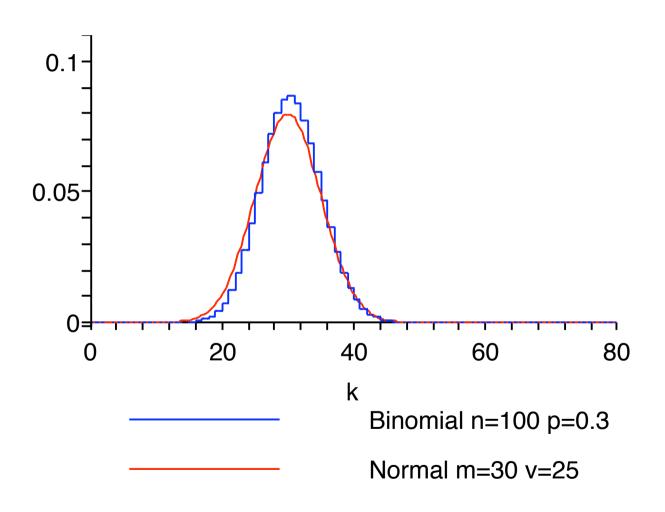
Sufficient statistic: $\hat{\theta}_n := \frac{1}{n} \sum_{i=1}^n X_i$ unbiased estimator since $\mathbb{E}(X) = \theta$

Central Limit Theorem: $\sqrt{n}(\hat{\theta}_n - \theta) \xrightarrow{\mathcal{D}} N(0, \theta(1 - \theta))$









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Local parameter: let $\theta = \theta_0 + u/\sqrt{n}$ for a fixed known θ_0 , then

$$\hat{u}_n := \sqrt{n}(\hat{\theta}_n - \theta_0) \approx N(u, \theta_0(1 - \theta)_0)$$

Gaussian shift model

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Gaussian shift model

Why can we restrict to a local neighbourhood?

You can construct a θ_0 from the data and the true θ will be in a

' $1/\sqrt{n}$ -neighbourhood' with high probability

Local Asymptotic Normality: general case

Let (Y_1, \ldots, Y_n) be i.i.d. with $\mathbb{P}^{\theta_0 + u/\sqrt{n}}$ a 'smooth' family with $u \in \mathbb{R}^k$. Then

$$\left\{ \left(\mathbb{P}^{\theta_0 + u/\sqrt{n}} \right)^n : u \in \mathbb{R}^k \right\} \rightsquigarrow \left\{ N(u, I_{\theta_0}^{-1}) : u \in \mathbb{R}^k \right\}$$

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Strong convergence: there exist randomizations (Markov kernels) T_n, S_n such that

$$\lim_{n \to \infty} \sup_{\|u\| < a} \left\| T_n \left(\mathbb{P}^{\theta_0 + u/\sqrt{n}} \right)^n - N(u, I_{\theta_0}^{-1}) \right\|_{\text{tv}} = 0$$

and

$$\lim_{n \to \infty} \sup_{\|u\| \le a} \left\| \left(\mathbb{P}^{\theta_0 + u/\sqrt{n}} \right)^n - S_n N(u, I_{\theta_0}^{-1}) \right\|_{\text{tv}} = 0$$

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Importance:

- Shows that for large n the statistical model is 'locally easy': Gaussian shift model
- Asymptotically, we only need to solve the statistical problem for the Gaussian shift model

L. A. N. for finite dimensional quantum systems

Let $(\rho_{\theta_0+u/\sqrt{n}})^{\otimes n}$ be the joint state of n i.i.d. systems with $\rho_\theta \in M(\mathbb{C}^d)$ 'smooth'. Then

$$\left\{ \left(\rho_{\theta_0 + u/\sqrt{n}} \right)^{\otimes n} : u \in \mathbb{R}^{d^2 - 1} \right\} \leadsto \left\{ \Phi(u, H_{\theta_0}^{-1}) : u \in \mathbb{R}^{d^2 - 1} \right\}$$

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Importance:

- Shows that for large n the statistical model is 'locally easy': Gaussian shift model
- Provides a two step adaptive measurement strategy which is asymptotically optimal

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- Local Asymptotic Normality for qubits

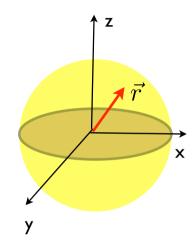
L.A. N. for qubit states (d=2)

An arbitrary qubit (spin) state:

$$\rho_{\vec{r}} := \frac{1}{2} \begin{pmatrix} 1 + r_z & r_x - ir_y \\ r_x + ir_y & 1 - r_z \end{pmatrix} = \frac{1}{2} \left(\mathbf{1} + r_x \sigma_x + r_y \sigma_y + r_z \sigma_z \right), \quad ||\vec{r}|| \le 1$$

Non-commuting spin components: $\sigma_x \sigma_y - \sigma_y \sigma_x = 2i\sigma_z$

Probability distributions:
$$\begin{cases} \mathbb{P}([\sigma_a = +1]) = (1 + r_a)/2 \\ \mathbb{P}([\sigma_a = -1]) = (1 - r_a)/2 \end{cases}$$



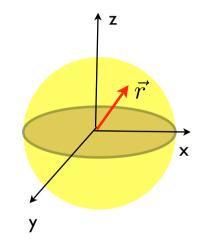
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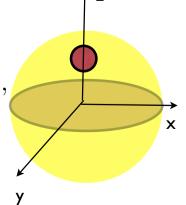
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A local neighborhood of $\rho_0 := \begin{pmatrix} \mu & 0 \\ 0 & 1-\mu \end{pmatrix}$ is parametrised by $\mathbf{u} = (u_x, u_y, u_z) \in \mathbb{R}^3$

$$\rho_{\mathbf{u}/\sqrt{n}} := U\left(\frac{\mathbf{u}}{\sqrt{n}}\right) \begin{pmatrix} \mu + \frac{u_z}{\sqrt{n}} & 0\\ 0 & 1 - \mu - \frac{u_z}{\sqrt{n}} \end{pmatrix} U\left(\frac{\mathbf{u}}{\sqrt{n}}\right)^*,$$

where $U(\mathbf{u}) \in SU(2)$ is the unitary $U(\mathbf{u}) := \exp(i(u_x \sigma_x + u_y \sigma_y))$



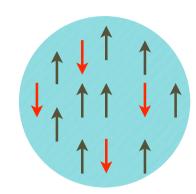
LAN for qubits: the big ball picture

'Quantum coin toss': $\rho_0 = \mu |\uparrow\rangle \langle\uparrow| + (1-\mu) |\downarrow\rangle \langle\downarrow| \implies$

$$\mathbb{P}([\sigma_x = \pm 1]) = \mathbb{P}([\sigma_y = \pm 1]) = 1/2, \quad \mathbb{P}([\sigma_z = 1]) = \mu$$

n identically prepared systems: $\rho_0 \otimes \cdots \otimes \rho_0$

Central Limit Theorem... Collective spin $L_{x,y,z} := \sum_{i=1}^n \sigma_{x,y,z}^{(i)}$

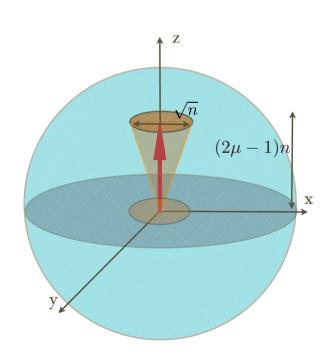


$$\frac{1}{\sqrt{n}}L_x \xrightarrow{\mathcal{D}} N(0,1),$$

$$\frac{1}{\sqrt{n}}L_y \xrightarrow{\mathcal{D}} N(0,1),$$

...with a quantum twist

$$\left[\frac{1}{\sqrt{n}}L_x, \frac{1}{\sqrt{n}}L_y\right] = 2i\frac{1}{n}L_z \approx 2i(2\mu - 1)\mathbf{1}$$



Gaussian states

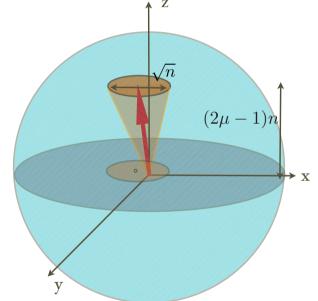
Quantum particle (harmonic oscillator)

$$\frac{\frac{1}{\sqrt{2n(2\mu-1)}}L_x \longrightarrow Q}{\frac{1}{\sqrt{2n(2\mu-1)}}L_y \longrightarrow P} \right\} \implies [Q,P] = i\mathbf{1} \qquad \text{Heisenberg commutation relation}$$

$$\uparrow^z$$

Thermal equilibrium state: $\langle Q^2 \rangle = \langle P^2 \rangle = \frac{1}{2(2\mu-1)}$

$$\phi^{\mathbf{0}} := (1 - p) \sum_{k=0}^{\infty} p^k |k\rangle \langle k|, \qquad p = \frac{1 - \mu}{\mu} < 1$$



Quantum Gaussian shift: spin rotations become displacements

$$\phi^{\mathbf{u}} := D(\mathbf{u}) \phi^{\mathbf{0}} D(\mathbf{u})^*, \qquad D(\mathbf{u}) := \exp\left(i\sqrt{2(2\mu - 1)}(u_x Q + u_y P)\right)$$

Classical Gaussian shift: diagonal parameter behaves like in coin toss

$$N^{\mathbf{u}} := N(u_z, \mu(1-\mu))$$

Local Asymptotic Normality for qubit states

Classical Gaussian shift gives info about the eigenvalues of ρ

$$N^{\mathbf{u}} := N(u_z, \mu(1-\mu))$$

Quantum Gaussian shift gives info about the eigenvectors of ρ

$$\phi^{\mathbf{u}} := D(\mathbf{u}) \phi^{\mathbf{0}} D(\mathbf{u})^*, \qquad \begin{cases} Q \sim N\left(-\sqrt{2(2\mu - 1)}u_y, \ 1/2(2\mu - 1)\right) \\ P \sim N\left(\sqrt{2(2\mu - 1)}u_x, \ 1/2(2\mu - 1)\right) \end{cases}$$

Theorem: Let $\rho_{\mathbf{u}}^{(n)} := (\rho_{\mathbf{u}/\sqrt{n}})^{\otimes n}$. Then there exist q. channels (randomizations) T_n, S_n such that for any $\eta < 1/4$

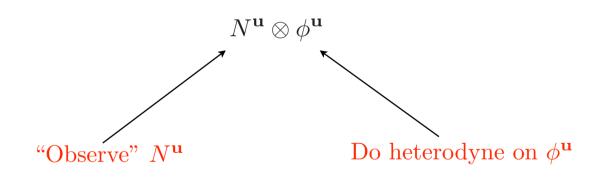
$$\lim_{n \to \infty} \sup_{\|u\| < n^{\eta}} \left\| T_n \left(\rho_{\mathbf{u}}^{(n)} \right) - N^{\mathbf{u}} \otimes \phi^{\mathbf{u}} \right\|_1 = 0,$$

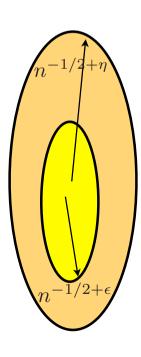
and

$$\lim_{n \to \infty} \sup_{\|u\| < n^{\eta}} \left\| \rho_{\mathbf{u}}^{(n)} - S_n \left(N^{\mathbf{u}} \otimes \phi^{\mathbf{u}} \right) \right\|_1 = 0.$$

Localisation + Local Asymptotic Normality = optimal estimation

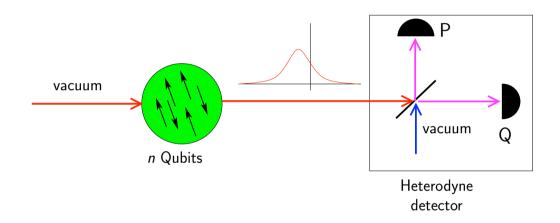
- localise the state within the small local neighborhood of ρ_0 while waisting $\tilde{n} \ll n$ qubits
- use local asymptotic normality on the bigger ball to design the second stage measurement





Implementation with continuous time measurements

Couple the qubits with a Bosonic field, let the state leak into the field and do heterodyne (quantum part) followed by a L_z measurement (classical part)



Unitary evolution on $(\mathbb{C}^2)^{\otimes n} \otimes \mathcal{F}(L^2(\mathbb{R}))$ given by the QSDE:

$$dU_n(t) = (a_n dA_t^* - a_n^* dA_t - \frac{1}{2} a_n^* a_n dt) U_n(t), \qquad a_n := \frac{1}{\sqrt{n(2\mu - 1)}} \sum_{i=1}^n \sigma_+^{(i)}$$

Idea of the proof: typical SU(2) representations

"
$$ho_{\mathbf{u}/\sqrt{n}}^{\otimes n} \leadsto N^{\mathbf{u}} \otimes \phi^{\mathbf{u}}$$
 "

Two commuting group actions: SU(2) rotations and permutations

$$(\mathbb{C}^2)^{\otimes n} = \bigoplus_{j=0,1/2}^{n/2} \mathcal{H}_j \otimes \mathcal{K}_j$$

$$\rho^{\otimes n} = \bigoplus_{j=0,1/2}^{n/2} p_n(j) \rho_{n,j} \otimes \frac{1}{n_j}$$

Classical part: measuring j ('which block') does not disturb the state

Distribution $p_n^{\mathbf{u}}(j)$ converges to $N^{\mathbf{u}}$ as in coin toss $(L \cong L_z \sim \text{Bin}(\mu + u_z/\sqrt{n}, n))$

$$\tau_n(j) := (j - (\mu - 1/2)n) / \sqrt{n} \leadsto N^{\mathbf{u}} = N(u_z, \mu(1 - \mu))$$

Idea of the proof: typical SU(2) representations

Quantum part: conditional on j we remain with a typical block state $\rho_{n,j}^{\mathbf{u}}$

Structure of π_i :

Spin operators $L_{\pm} = L_x \pm iL_y$ act as ladder operators on basis vectors

$$\mathcal{H}_j = \operatorname{Lin}\{|m,j\rangle : m = -j,\ldots,j\}$$

Creation and annihilation operators a^* , a act similarly on the number basis $\{|k\rangle:k\geq 0\}$

Embed irrep \mathcal{H}_j into the harmonic oscillator $\ell^2(\mathbb{N})$ by isometry

$$V_j: |m,j\rangle \mapsto |j-m\rangle$$

By Quantum Central Limit Theorem, collective observables J_{\pm} become Gaussian and

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Local asymptotic normality for d-dimensional states

Local neighbourhood around $\rho_0 := \text{Diag}(\mu_1, \dots, \mu_d)$ with $\mu_1 > \mu_2 > \dots > \mu_d > 0$

$$\rho_{\theta} = \begin{bmatrix} \mu_{1} + u_{1} & \zeta_{1,2}^{*} & \dots & \zeta_{1,d}^{*} \\ \zeta_{1,2} & \mu_{2} + u_{2} & \ddots & \vdots \\ \vdots & \ddots & \ddots & \zeta_{d-1,d}^{*} \\ \zeta_{1,d} & \dots & \zeta_{d-1,d} & \mu_{d} - \sum_{i=1}^{d-1} u_{i} \end{bmatrix}, \quad \theta = (\overrightarrow{u}, \overrightarrow{\zeta}) \in \mathbb{R}^{d-1} \times \mathbb{C}^{d(d-1)/2}$$

In first order,

$$\rho_{\theta/\sqrt{n}} := U\left(\frac{\vec{\zeta}}{\sqrt{n}}\right) \begin{bmatrix} \mu_1 + u_1/\sqrt{n} & 0 & \dots & 0 \\ 0 & \mu_2 + u_2/\sqrt{n} & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \dots & 0 & \mu_d - \sum_{i=1}^{d-1} u_i/\sqrt{n} \end{bmatrix} U^*\left(\frac{\vec{\zeta}}{\sqrt{n}}\right),$$

where

$$U(\vec{\zeta}) := \exp\left[\sum_{1 \le j < k \le d} \frac{\zeta_{j,k}^* E_{k,j} - \zeta_{j,k} E_{j,k}}{\mu_j - \mu_k}\right] \in SU(d)$$

L.A. N. Theorem

• Diagonal parameters give rise to a classical (d-1)-dimensional Gaussian

$$N^{\vec{u}} := N(\vec{u}, V_{\mu})$$

• Off-diagonal parameters decouple from the diagonal ones and from each other

$$\Phi^{\vec{\xi}} := \bigotimes_{j < k} \phi^{\xi_{j,k}}$$

where $\phi^{\xi_{j,k}}$ is a displaced thermal equilibrium state with $\beta = \ln \mu_j / \mu_k$

• Total classical-quantum limit model: $\Phi^{\theta} := N^{\vec{u}} \otimes \Phi^{\vec{\xi}}$

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Theorem. Let $\rho_{\theta}^{(n)} := \rho_{\theta/\sqrt{n}}^{\otimes n}$. Then there exist q. channels T_n, S_n such that

$$\lim_{n \to \infty} \sup_{\theta \in \Theta_{n,\beta,\gamma}} \|\Phi^{\theta} - T_n(\rho^{\theta,n})\|_1 = 0,$$

$$\lim_{n \to \infty} \sup_{\theta \in \Theta_{n,\beta,\gamma}} \|S_n(\Phi^{\theta}) - \rho^{\theta,n}\|_1 = 0,$$

where
$$\Theta_{n,\beta,\gamma} := \{ \theta = (\vec{\xi}, \vec{u}) : ||\vec{\xi}|| \le n^{\beta}, ||\vec{u}|| \le n^{\gamma} \}, \qquad \beta < 1/9, \gamma < 1/4.$$

Local asymptotic normality for d-dimensional states

Two commuting group actions: SU(d) rotations and permutations

$$(\mathbb{C}^d)^{\otimes n} = \bigoplus_{\lambda} \mathcal{H}_{\lambda} \otimes \mathcal{K}_{\lambda}$$

$$\rho^{\otimes n} = \bigoplus_{\lambda} p_n(\lambda) \rho_{n,\lambda} \otimes \frac{1}{n_{\lambda}}$$

SU(d) irreps λ are labelled by Young diagrams with d rows and n boxes

 $\lambda_1 \approx n\mu_1$ $\lambda_d \approx n\mu_d$

Classical part: measure 'which Young diagram λ '

Distribution $p_{n,\theta}(\lambda)$ converges to multivariate Gaussian shift

$$\{(\lambda_i - n\mu_i)/\sqrt{n} : i = 1, \dots d - 1\} \rightsquigarrow N(\vec{u}, I_{\mu}^{-1})$$

same as the multinomial model Mult $\left(\mu_1 + \frac{u_1}{\sqrt{n}}, \dots \mu_d - \sum_i \frac{u_i}{\sqrt{n}}; n\right)$!

Quantum part: conditional on λ we remain with a typical block state $\rho_{\lambda,n}^{\theta}$

Incursion into SU(d) irreps

Structure of \mathcal{H}_{λ} :

• Write tensors into λ -tableaux

$$e_{\mathbf{a}} := e_{a(1)} \otimes \cdots \otimes e_{a(n)} \longmapsto t_{\mathbf{a}}, \quad \text{e.g. } e_2 \otimes e_1 \otimes e_1 \mapsto \frac{2}{1}$$

• Young symmetriser Y_{λ} is minimal projection in Alg(S(n))

$$Y_{\lambda} = Q_{\lambda} \cdot P_{\lambda} := \sum_{\tau \in \mathcal{C}(\lambda)} \operatorname{sgn}(\tau) \tau \cdot \sum_{\sigma \in \mathcal{R}(\lambda)} \sigma$$

• Non-orthogonal basis of \mathcal{H}_{λ} indexed by semi-standard Young tableaux, e.g. $\frac{1}{2}$

$$f_{\mathbf{a}} := Y_{\lambda} e_{\mathbf{a}}$$

• 'Number basis': $t_{\mathbf{a}} \longleftrightarrow \mathbf{m} = \{m_{i,j} = \sharp j' \text{s in row i} : i < j\}$

$$|\mathbf{m}, \lambda\rangle := f_{\mathbf{a}}/\|f_{\mathbf{a}}\|$$

Lemma: Not far from 'vacuum' $\mathbf{m} = 0$, basis is almost ON If $|\mathbf{l}, |\mathbf{m}| = O(n^{\eta})$, for some $0 < \eta < 2/9$ then

$$|\langle \mathbf{m}, \lambda | \mathbf{l}, \lambda \rangle| = O(n^{-c(\eta)}), \quad c(\eta) > 0$$

Incursion into SU(d) irreps

Structure of π_{λ} :

• 'Ladder operators' $L_{i,j} = \pi_{\lambda}(E_{i,j}), \ L_{i,j}^* = \pi_{\lambda}(E_{j,i})$ for $1 \le i < j \le d$ don't act as ladder...

$$L_{2,3}^*: \begin{bmatrix} 1 & 1 & 2 \\ 2 & 2 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 1 & 3 \\ 2 & 2 \end{bmatrix} + 2 \begin{bmatrix} 1 & 1 & 2 \\ 2 & 3 \end{bmatrix}$$

• However they do so on 'typical vectors' $|\mathbf{m}| = O(n^{\eta}) \ll n$

After normalisation first term drops and we get an $a_{i,j}^*$ creation operator

$$L_{2,3}^*/\sqrt{n}: |\{m_{1,2}, m_{1,3}, m_{2,3}\}, \lambda\rangle \stackrel{\cong}{\longmapsto} \sqrt{m_{2,3}+1} |\{m_{1,2}, m_{1,3}, m_{2,3}+1\}, \lambda\rangle$$
!!

• Asymptotically, $L_{i,j}/\sqrt{n}$ acts only on row i and they all commute with each other...

We have convergence to a tensor product of harmonic oscillators $(a_{i,j}, a_{i,j}^*)$ in the vacuum

Further work:

Local asymptotic normality for i.i.d. infinite dimensional quantum states

- general parametric families of states of light
- optimal estimation rate for states of light

Local asymptotic normality for quantum Markov chains (processes)

- optimal rates for interaction parameters in realistic dynamical models (next talk)
- Central Limit Theorem for quantum Markov chains

Testing with 'non-discrete' hypotheses

• eg $\rho = \rho_0$ vs $\rho \neq \rho_0$

Weak and strong convergence of quantum statistical experiments

$$Q_n = \{ \rho_n^{\theta} : \theta \in \Theta \} \rightsquigarrow Q = \{ \rho^{\theta} : \theta \in \Theta \}$$

Quantum statistical decision theory

- quantum experiment $Q = \{ \rho^{\theta} \in M(\mathbb{C}^d) : \theta \in \Theta \}$
- non-commuting "loss functions" $0 \leq W_{\theta} \in M(\mathbb{C}^k)$
- "decision" $C: \rho^{\theta} \mapsto C(\rho^{\theta}) \in M(\mathbb{C}^k)$ with risk $R(C, \theta) = \text{Tr}(C(\rho^{\theta})W_{\theta})$
- applications in quantum memory, quantum cloning

References

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