

# Introduction to Auslander-Reiten duality for Cohen-Macaulay modules

reference [Auslander 76] Functors and morphism... } [Yoshino] §2~4  
 [——— 86] Isolated singularities and... }  
 [AR 74?] Representation theory of artin algebra III, IV  
 → AR theory for fin. dim. alg was established  
 → AR theory for "order" was established.  
 CM ring

- §1 Preliminaries
- §3 AR duality
- §5 Representation-finite case
- §2 AR translation
- §4 Almost split sequences
- §6 Gorenstein and symmetric orders

Throughout  $(R, m)$ : regular local ring

$\Lambda$ :  $R$ -algebra, module-finite  $R$ -order  
 $d := \dim R$        $\Lambda \in \text{mod } R$

$\Lambda$ : ring.  $\text{Mod } \Lambda$ : cat. of right  $\Lambda$ -mod

$\text{mod } \Lambda$ : fin. gen. right  $\Lambda$ -mod

$\text{proj } \Lambda$ : cat. of fin. gen. proj  $\Lambda$ -mod

$f.l. \Lambda$ : finite length  $\Lambda$ -mod

<u>§1</u>	commutative	$\Lambda$	fin. dim. alg
	regular	non-singular $R$ -order	semi-simple alg
	$\cap$	$\cap$	$\cap$
	Gorenstein	Gorenstein $R$ -order	selfinj alg
	$\cap$	$\cap$	$\cap$
	Cohen-Macaulay	<u><math>R</math>-order</u>	all

Def  $\Lambda$ :  $R$ -order  
 $\xleftrightarrow{\text{def}} \Lambda \in \text{proj } R$

Ex ①  $\Lambda$ : comm complete local CM ring containing a field.

$$\begin{cases} \exists \text{ (nonunique) } R \subset \Lambda \\ R \subset \text{Cen}(\Lambda) \\ R \text{ is complete reg local} \\ \Lambda \in \text{proj } R \end{cases}$$

In particular  $\Lambda$  is an  $R$ -order

② ( $d=0$ )  $R$ : field

$R$ -order = fin. dim.  $R$ -algebra

③ ( $d=1$ )  $R$ : discrete valuation ring

$R$ -order = "classical"  $R$ -order

[Curtis-Reiner] Methods of rep. th.

[Roggenkamp] Almost split seq  $\sim \rightsquigarrow$  ( $d=1$  order)  
 (AR theory)

Def  $X \in \text{mod } \Lambda$  is CM  $\xleftrightarrow{\text{def}}$   $X \in \text{proj } R$

$\text{CM}(\Lambda)$ : cat of CM  $\Lambda$ -modules

properties 1.1 (0)  $\Lambda$  is left and right noetherian  $\Lambda \in \text{CM}(\Lambda)$

(1)  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$ : exact seq of  $\Lambda$ -mod

$\cdot X, Z \in \text{CM}(\Lambda) \Rightarrow Y \in \text{CM}(\Lambda)$

$\cdot Y, Z \text{ ————— } X \text{ —————}$

(2)  $0 \rightarrow X_d \rightarrow X_{d-1} \rightarrow \dots \rightarrow X_1 \rightarrow X_0$ : exact

$\text{CM}(\Lambda) \longleftarrow \text{CM}(\Lambda)$

(3)  $( )^\vee := \text{Hom}_R(-, R): \text{CM}(\Lambda) \xrightarrow{\sim} \text{CM}(\Lambda^{\text{op}})$

right  $\Lambda^{\text{op}}$ -mod = left  $\Lambda$ -mod  $( )^\vee ( )^\vee \simeq \text{id}$

canonical duality  $\omega_\Lambda := \Lambda^\vee$ : canonical mod

Def  $\Lambda: R$ -order  $\Lambda: \text{non-singular} \stackrel{\text{def}}{\iff} \text{gl.dim } \Lambda = d$

Ex  $k[x_1, \dots, x_d] * G$  ( $G \subset GL_d(k)$  finite  $\text{char } k \nmid |G|$ )  
is a non-singular order

Thm 1.2  $\Lambda: R$ -order  $\iff$

- (1)  $\Lambda: \text{non-singular}$       (2)  $\text{gl.dim } \Lambda \leq d$   
(3)  $\text{CM}(\Lambda) = \text{proj } \Lambda$

Sketch Lem 1.3  $X \in \text{mod } \Lambda$        $\text{pd} = \text{proj dim}$

(1)  $r \in m: \text{non-zero divisor} \Rightarrow \text{pd}_\Lambda X + 1 = \text{pd}_\Lambda (X/rX)$

(2)  $\text{pd}_\Lambda X < \infty \Rightarrow \text{pd}_\Lambda X + \text{depth}_R X \leq \text{gl.dim } \Lambda$

Sketch  $0 \rightarrow X \xrightarrow{r} X \rightarrow X/rX \rightarrow 0$  (\*)

(1): long exact seq assoc with  $\text{Hom}_\Lambda((*), -)$

Use Nakayama's Lemma for  $R$ -modules

(2) Use (1) repeatedly □

pf. of Thm 1.2 (2)  $\Rightarrow$  (1)

$$d = \text{pd}_\Lambda \Lambda + \text{depth}_R \Lambda \leq \text{gl.dim } \Lambda$$

(1)  $\Rightarrow$  (3)

$$X \in \text{CM}(\Lambda) \Rightarrow X \in \text{proj } \Lambda$$

$$(\text{pd}_\Lambda X + \text{depth}_R X)_d \leq d \Rightarrow \text{pd}_\Lambda X = 0$$

(3)  $\Rightarrow$  (2)

$$X \in \text{mod } \Lambda \quad 0 \rightarrow K \rightarrow \underbrace{P_{d-1} \rightarrow \dots \rightarrow P_0}_{\text{proj } \Lambda}$$

$$\begin{array}{ccc} \text{proj } R & & \text{proj } \Lambda \\ \text{CM}(\Lambda) & & \text{proj } R \\ \text{proj } \Lambda & & \end{array} \quad \text{pd}_\Lambda X \leq d. \quad \square$$

•  $X, Y \in \text{Mod } \Lambda, \text{ mod } \Lambda$

•  $\text{Hom}_\Lambda(X, Y) \left. \vphantom{\text{Hom}_\Lambda(X, Y)} \right\} R\text{-mod}$

•  $\text{Ext}_\Lambda^i(X, Y) \left. \vphantom{\text{Ext}_\Lambda^i(X, Y)} \right\} \text{mod } R$

Tor

$\mathfrak{p}$ : prime ideal of  $R$     $\Lambda_{\mathfrak{p}}$ :  $R_{\mathfrak{p}}$ -order

$(\ )_{\mathfrak{p}} := - \otimes_R R_{\mathfrak{p}}: \text{mod } \Lambda \longrightarrow \text{mod } \Lambda_{\mathfrak{p}}$   
 $\text{CM}(\Lambda) \longrightarrow \text{CM}(\Lambda_{\mathfrak{p}})$

Def  $\Lambda$ :  $R$ -order    $\Lambda$ : isolated singularity  $\stackrel{\text{def}}{\iff} \forall \mathfrak{p} \neq \mathfrak{m}, \Lambda_{\mathfrak{p}}$  is a non-singular  $R_{\mathfrak{p}}$ -order  
 iso. sing

Assume  $R$ : complete

Thm A  $\Lambda$ :  $R$ -order iso sing

(1)  $\exists$  equivalence  $\tau: \text{CM}(\Lambda) \xrightarrow{\sim} \overline{\text{CM}(\Lambda)}$  AR translation

§2~4 (2)  $\exists$  functorial iso  $\text{Hom}_\Lambda(X, Y) \xrightarrow{\sim} D \text{Ext}_\Lambda^1(Y, \tau X)$  ( $X, Y \in \text{CM}(\Lambda)$ )  
AR duality.

(3)  $\text{CM}(\Lambda)$  has almost split sequences

Thm B  $\Lambda$ :  $R$ -order  $\mathcal{Q}$

(1)  $\Lambda$ : iso. sing

§4 (2)  $\text{CM}(\Lambda)$  has almost split seq

(3)  $\text{CM}(\Lambda)$  is Hom-finite

§5 Cor C  $\Lambda$ :  $R$ -order representation-finite  $\Rightarrow$  isolated sing

Rem  $\Lambda$ :  $R$ -order

$\text{CM}_0(\Lambda) := \{X \in \text{CM}(\Lambda) \mid \forall \mathfrak{p} \neq \mathfrak{m}, X_{\mathfrak{p}} \in \text{proj } \Lambda_{\mathfrak{p}}\}$

$\text{CM}^0(\Lambda) := \{ \text{-----} X_{\mathfrak{p}} \in \text{add } W_{\Lambda_{\mathfrak{p}}} \}$

$X_{\mathfrak{p}}$  is a summand of  $(W_{\Lambda_{\mathfrak{p}}})^{\oplus \ell}$

Rem  $\Lambda$ : isolated sing  $\Rightarrow \text{CM}_0(\Lambda) = \text{CM}(\Lambda) = \text{CM}^0(\Lambda)$

Thm A' (1)  $\exists$  equivalence  $\tau: \underline{CM}_0(\Lambda) \xrightarrow{\sim} \overline{CM}^0(\Lambda)$

(2)  $\exists$  funct. iso  $\underline{Hom}_\Lambda(X, Y) \simeq D \text{Ext}_\Lambda^1(Y, \tau X)$   
( $X \in \underline{CM}_0(\Lambda), Y \in \underline{CM}(\Lambda)$ )

(3)  $\forall X \in \underline{CM}_0(\Lambda), \exists$  almost split seq

indecom non-proj

$$0 \rightarrow \tau X \rightarrow M \rightarrow X \rightarrow 0 \text{ in } \underline{CM}(\Lambda)$$

$\uparrow \qquad \qquad \qquad \uparrow$   
 $\underline{CM}^0 \qquad \qquad \underline{CM}_0$

§2 AR translation

$\tau = (\mathbb{R}\text{-dual}) \circ (\Lambda\text{-dual}) \quad ()^* = \text{Hom}_\Lambda(-, \Lambda) : \text{mod } \Lambda \rightarrow \text{mod } \Lambda^{\text{op}}$  far from duality!

$\text{Hom}_R(-, R) = ()^\vee \quad \Omega^d \text{Tr} \quad \boxed{\text{proj } \Lambda \xrightarrow{\sim} \text{proj } \Lambda^{\text{op}}} \text{ duality}$

• For  $X \in \text{mod } \Lambda$ ,  $\underbrace{P_1 \xrightarrow{f} P_0 \rightarrow X \rightarrow 0}_{\text{proj } \Lambda} : \text{exact} \quad P_0^* \xrightarrow{f^*} P_1^* \rightarrow \text{Tr } X \rightarrow 0 : \text{exact}$   
 Define  $\text{Tr } X \in \text{mod } \Lambda^{\text{op}}$

caution •  $\text{Tr } X$  depends on proj resol.

•  $\text{Tr} : \text{mod } \Lambda \rightarrow \text{mod } \Lambda^{\text{op}}$  is not a functor

Def  $\text{mod } \Lambda$  : stable category

obj : same with  $\text{mod } \Lambda$

morphism :  $\underline{\text{Hom}}_\Lambda(X, Y) := \frac{\text{Hom}_\Lambda(X, Y)}{P(X, Y)} \quad P(X, Y) := \left\{ \begin{array}{c} X \xrightarrow{\alpha} Y \\ \uparrow \alpha \\ \text{proj } \Lambda \end{array} \right\}$

Exercise  $\text{mod } \Lambda$  has a structure of additive category

Notation : For a full subcat  $\mathcal{C}$  of  $\text{mod } \Lambda$ ,

we denote by  $\underline{\mathcal{C}}$  the corresponding full subcat of  $\text{mod } \Lambda$

Thm 2.1 [Auslander-Bridger]

•  $\text{Tr}$  gives a functor  $\text{mod } \Lambda \rightarrow \text{mod } \Lambda^{\text{op}}$  which does not depend on choice of proj. resolutions

•  $\text{Tr}$  gives a duality  $\text{mod } \Lambda \xrightarrow{\sim} \text{mod } \Lambda^{\text{op}} \quad (\text{Tr})^2 \simeq \text{id}$

Sketch ① For  $X \in \text{mod } \Lambda$ ,  $\text{Tr } X \in \text{mod } \Lambda^{\text{op}}$  does not depend on choice of a proj resol.

② 
$$\begin{array}{ccccccc} P_1 & \xrightarrow{f} & P_0 & \rightarrow & X & \rightarrow & 0 \\ \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & & \downarrow \delta \\ P_1^{**} & \xrightarrow{f^{**}} & P_0^{**} & \rightarrow & \text{Tr } \text{Tr } X & \rightarrow & 0 \end{array} \quad \begin{array}{c} \xrightarrow{\alpha^*} \\ \xrightarrow{\beta^*} \\ \xrightarrow{\gamma^*} \\ \xrightarrow{\delta^*} \end{array} \quad \begin{array}{c} P_0^* \xrightarrow{f^*} P_1^* \rightarrow \text{Tr } X \rightarrow 0 \\ \leftarrow \text{proj } \Lambda^{\text{op}} \end{array}$$

Recall  $\Lambda$  :  $\mathbb{R}$ -order  $\Lambda$  : non-singular  $\Leftrightarrow \text{CM}(\Lambda) = \text{proj } \Lambda \Leftrightarrow \underline{\text{CM}}(\Lambda) = 0$

Prop 2.2  $\Lambda$ : iso. sing  $\Rightarrow$   $\underline{CM}(\Lambda)$  is Hom-finite  
 (i.e,  $\forall X, Y \in \underline{CM}(\Lambda)$   $\text{Hom}_{\Lambda}(X, Y) \in \text{f.l.R}$ )

$$\begin{aligned} & \boxed{\begin{aligned} & \text{Ext}_{\Lambda}^i(X, Y) \in \text{f.l.R} \\ & (i > 0, X \in \underline{CM}(\Lambda), Y \in \text{mod } \Lambda) \end{aligned}} \rightarrow \text{これを示す} \\ & \text{Ext}_{\Lambda^{op}}^i(\text{Tr } X, X) \in \text{f.l.R} \\ & (i > 0, X \in \underline{CM}(\Lambda), Z \in \text{mod } \Lambda^{op}) \end{aligned}$$

proof. ①  $M \in \text{mod } R$ .  $M \in \text{f.l.R} \Leftrightarrow X_p = 0$  ( $\forall p \neq m$ )

②  $(\text{Ext}_{\Lambda}^i(X, Y))_p \simeq \text{Ext}_{\Lambda_p}^i((X_p, Y_p)) = 0$   
 (\*) の pf.  $\text{CM}(\Lambda_p) = \text{proj } \Lambda_p$  □

$$\begin{aligned} & (\text{Tr}_{\Lambda} X)_p = \text{Tr}_{\Lambda_p}(X_p) \\ & \text{Ext}_{\Lambda}^i(-, Y): \text{mod } \Lambda \rightarrow \text{mod } R \\ & \quad \quad \quad \searrow \text{mod } \Lambda \end{aligned}$$

Def  $X \in \text{mod } \Lambda$   $0 \rightarrow \Omega X \rightarrow P \rightarrow X \rightarrow 0$   
 $\quad \quad \quad \uparrow$   
 $\quad \quad \quad \text{proj } \Lambda$

$\Omega$  gives a functor  $\text{mod } \Lambda \rightarrow \text{mod } \Lambda$  does not depend on choice of proj resol

Thm 2.3  $\Lambda$ : iso sing  $\Omega^d \text{Tr}: \underline{CM}(\Lambda) \xrightarrow{\simeq} \underline{CM}(\Lambda^{op})$  duality

$$\begin{aligned} \mathcal{X}_d & := \{X \in \text{mod } \Lambda \mid \text{Ext}_{\Lambda}^{1 \leq i \leq d}(X, \Lambda) = 0\} & \mathcal{F}_d & := \{X \in \text{mod } \Lambda \mid \text{Tr } X \in \mathcal{X}_d^{op}\} \\ \mathcal{X}_d^{op} & \quad \quad \quad \Lambda^{op} & \mathcal{F}_d^{op} & \quad \quad \quad \Lambda^{op} \quad \quad \quad \mathcal{X}_d \end{aligned}$$

d-torsion free

Thm 2.4  $\Lambda$ : isosing  $\Rightarrow \underline{CM}(\Lambda) = \mathcal{F}_d$

Lem 2.5 (1)  $\text{Tr}: \mathcal{F}_d \xrightarrow{\simeq} \mathcal{X}_d^{op}$  (immediate from  $\text{Tr}: \text{mod } \Lambda \xrightarrow{\simeq} \text{mod } \Lambda^{op}$ )  
 (2)  $\Omega^d: \mathcal{X}_d \xrightarrow{\simeq} \mathcal{F}_d$

proof of (2)

Show  $\text{Tr} \Omega^d: \mathcal{X}_d \xrightarrow{\simeq} \mathcal{X}_d^{op}$  Then (2) follows from (1) and this.

$$\begin{aligned} \forall X \in \mathcal{X}_d \quad P_{d+1} \rightarrow P_d \rightarrow \dots \rightarrow P_0 \rightarrow \begin{matrix} X \\ \vdots \\ X \end{matrix} \rightarrow 0 & \xrightarrow{\text{proj. res}} P_0^* \rightarrow \dots \rightarrow P_{d+1}^* \rightarrow \text{Tr} \Omega^d X \rightarrow 0 \\ \uparrow \quad \uparrow & \quad \quad \quad \uparrow \\ P_{d+1}^{**} \rightarrow P_d^{**} \rightarrow \dots \rightarrow P_0^{**} \rightarrow (\text{Tr} \Omega^d)^2 X \rightarrow 0 & \xleftarrow{(\mathcal{X}_d^*)} \text{exact by } X \in \mathcal{X}_d \end{aligned}$$

□

pf of 2.3  $CM(\Lambda) \stackrel{\cong}{=} F_d \xrightarrow[\text{Tr}]{\sim} \mathcal{X}_d^{op} \xrightarrow[\Omega^d]{\sim} F_d^{op} \stackrel{\cong}{=} CM(\Lambda^{op})$  □

Prop 2.6 (AB sequence)  $\forall X \in \text{mod } \Lambda$

$\exists$  exact seq  $0 \rightarrow \text{Ext}_{\Lambda^{op}}^1(\text{Tr } X, \Lambda) \rightarrow X \xrightarrow{ev} X^{**} \rightarrow \text{Ext}_{\Lambda^{op}}^2(\text{Tr } X, \Lambda) \rightarrow 0$

Exercise

pf of 2.4  $P_1 \rightarrow P_0 \rightarrow X \rightarrow 0 \quad Q_{d-1} \rightarrow \dots \rightarrow Q_1 \rightarrow Q_0 \rightarrow P_0^* \rightarrow P_1^* \rightarrow \text{Tr } X \rightarrow 0$   
 $X \in \text{mod } \Lambda \quad \text{proj } \Lambda \quad \text{proj } \Lambda^{op}$

$E^i := \text{Ext}^i(\text{Tr } X, \Lambda)$   $0 \rightarrow X \rightarrow Q_0^* \rightarrow Q_1^* \rightarrow \dots \rightarrow Q_{d-2}^* \rightarrow Q_{d-1}^*$  (\*)  
homology  $E^1$   $E^2$   $E^3$   $E^d$

①  $X \in F_d \Rightarrow X \in CM(\Lambda)$   
 $\downarrow$  (\*) exact  $\uparrow$

②  $X \in CM(\Lambda) \Rightarrow E^1 \sim E^d = 0$  & w.s.

Show:  $n \leq d \quad E^1 \sim E^{n+1} = 0 \Rightarrow E^n = 0$

Assumed  $d \geq 2$

$0 \rightarrow X \rightarrow Q_0^* \rightarrow \dots \rightarrow Q_{n-3}^* \rightarrow (\Omega^n \text{Tr } X)^* \rightarrow E^n \rightarrow 0$  : exact  
 $CM(\Lambda)$  depth  $d$       depth  $\geq 2$       depth  $\geq 1$   
 $E^n \in \text{f.l.R.} \Rightarrow E^n = 0$  □

$\Omega^d \text{Tr}: CM(\Lambda) \xrightarrow{\sim} CM(\Lambda^{op})$   
 $(\ )^v: CM(\Lambda) \xrightarrow{\sim} CM(\Lambda^{op})$   
 $W_\Lambda \longleftrightarrow \Lambda$

Def  $\overline{CM}(\Lambda)$  costable category  
 obj: same with  $CM(\Lambda)$

morph:  $\overline{Hom}_\Lambda(X, Y) := \frac{Hom_\Lambda(X, Y)}{I(X, Y)} \quad I(X, Y) := \left\{ X \xrightarrow{\alpha} Y \right\}$   
 $\downarrow$   $W_\Lambda$



Def-Thm  $\tau := (\underline{\text{CM}}(\Lambda) \xrightarrow[\sim]{\Omega^{\text{dTr}}} \underline{\text{CM}}(\Lambda^{\text{op}}) \xrightarrow[\sim]{(J)^{\vee}} \overline{\text{CM}}(\Lambda))_{\text{equiv.}}$   
AR translation

In the rest. we define  $\text{Tr } X \} \bmod \Lambda$  by minimal proj resolutions  
 $\Omega X \}$

Cor 2.8  $R$ : complete  $\Lambda$ : iso sing

$\tau$  gives a bijection  $\{ \text{indec non-proj CM } \Lambda\text{-mod} \}$   
 $\xleftrightarrow{\cong} \{ \text{indec } \textcircled{\text{non-inj}} \text{ CM } \Lambda\text{-mod} \} \rightarrow \text{summand of } W_{\Lambda}^{\oplus}$

§3 AR duality  $\text{Ext}_R^i(k, R) = \begin{cases} 0 & (i < d) \\ k & (i = d) \end{cases}$   
 $R/m$

$D := \text{Ext}_R^d(-, R)$  f.l.R  $\xrightarrow{\sim}$  f.l.R Matlis duality

Thm 3.1  $\Lambda$ : iso sing

$\exists$  functorial iso  $\text{Hom}_\Lambda(X, Y) \simeq D \text{Ext}_\Lambda^1(Y, \tau X)$   
 $(\forall X, Y \in \text{CM}(\Lambda))$  AR-duality

①  
②

preliminaries ①  $\text{Hom}_R(\text{Tor}_i^\Lambda(Y, Z), I) \simeq \text{Ext}_{\Lambda^{\text{op}}}^i(Z, \text{Hom}_R(Y, I))$  functorial iso  
 $Y \in \text{Mod } \Lambda, Z \in \text{Mod } \Lambda^{\text{op}}, I \in \text{Inj } R, i \geq 0$  exercise

②  $0 \rightarrow R \rightarrow I^0 \rightarrow \dots \rightarrow I^d \rightarrow 0$ : min. inj. resol. (\*\*)

$\text{Hom}_R(\text{f.l.R}, I^i) = \begin{cases} 0 & i \neq d \\ D & i = d \end{cases}$  exercise  
 Use (\*)

③  $\text{Tor}_1^\Lambda(Y, \text{Tr } X) \simeq \text{Hom}_\Lambda(X, Y)$   
 $X, Y \in \text{mod } \Lambda$

Sketch.  $Y \otimes_\Lambda X^* \xrightarrow{a_{X,Y}} \text{Hom}_\Lambda(X, Y) \rightarrow \underline{\text{Hom}}_\Lambda(X, Y) \rightarrow 0$ : exact.  
 $y \otimes f \mapsto (x \mapsto y f(x))$

$X$  proj  $\Rightarrow a_{X,Y}$  iso.

$P_1 \rightarrow P_0 \rightarrow X \rightarrow 0$

$0 \rightarrow X^* \rightarrow P_0^* \rightarrow P_1^* \rightarrow \text{Tr } X \rightarrow 0$

$\text{Hom}_\Lambda(-, Y)$  iso  $\swarrow$  homology  $\text{Tor}_1^\Lambda(Y, \text{Tr } X) \searrow Y \otimes_\Lambda$

$Y \otimes_\Lambda X^* \rightarrow Y \otimes_\Lambda P_0^* \rightarrow Y \otimes_\Lambda P_1^*$

$a_{X,Y} \downarrow \quad a_{P_0,Y} \downarrow \quad a_{P_1,Y} \downarrow$

$0 \rightarrow \text{Hom}_\Lambda(X, Y) \rightarrow (P_0, Y) \rightarrow (P_1, Y) \rightarrow 0$  exact

$\rightarrow \text{Hom}_\Lambda(X, Y) \rightarrow 0$  exact

pf. of 3.1  $\text{Ext}_{\Lambda}^1(Y, \Omega X) \simeq \text{Ext}_{\text{pop}}^1(\Omega X, Y^{\vee}) \simeq \text{Ext}_{\Lambda^{\text{op}}}^{d+1}(\text{Tr } X, \textcircled{Y^{\vee}})$   
 $\uparrow$   
 $(\Omega^d \text{Tr } X)^{\vee}$  inj resol of  $X$

$(\ )^{\vee} : \text{CM}(\Lambda) \xrightarrow{\simeq} \text{CM}(\Lambda^{\text{op}})$  exact duality.

$\text{Hom}_R(Y, (**)) \ 0 \rightarrow Y^{\vee} \rightarrow \boxed{R(Y, I^0) \rightarrow \dots \rightarrow R(Y, I^{d-1})} \rightarrow R(Y, I^d) \rightarrow 0$  exact by

Show:  $\boxed{\text{inj } \Lambda^{\text{op}}\text{-mod}}$

$\left( \begin{array}{l} \text{Ext}_{\Lambda^{\text{op}}}^1(-, R(Y, I^i)) \stackrel{\textcircled{1}}{\simeq} \text{Hom}_R(\underbrace{\text{Tor}_i^{\Lambda}(Y, -)}_{\text{f.l.R}}, I^i) \stackrel{\textcircled{2}}{=} 0 \quad i \neq d \\ \Lambda \text{ isosing } \text{Tor}_i^{\Lambda}(Y, -)_{\mathfrak{p}} = \text{Tor}_i^{\Lambda_{\mathfrak{p}}}(Y_{\mathfrak{p}}, (-)_{\mathfrak{p}}) = 0 \\ (Y \in \text{CM}(\Lambda)) \quad (\mathfrak{p} \neq \mathfrak{m}) \quad \uparrow \text{proj } \Lambda_{\mathfrak{p}} \end{array} \right)$

$\text{Ext}_{\Lambda^{\text{op}}}^{d+1}(\text{Tr } X, Y^{\vee}) \stackrel{\uparrow}{\simeq} \text{Ext}_{\Lambda^{\text{op}}}^1(\text{Tr } X, R(Y, I^d)) \stackrel{\textcircled{1}}{\simeq} \text{Hom}_R(\text{Tor}_i^{\Lambda}(Y, \text{Tr } X), I^d)$   
 (Use inj resolution above)  $\uparrow$   $\uparrow$

$D(\text{Tor}_i^{\Lambda}(Y, \text{Tr } X))$

$\uparrow$   $\textcircled{3}$

$D(\text{Hom}_{\Lambda}(X, Y))$

$\uparrow$  f.l.R

§4 Almost split seq  $0 \leftarrow \xrightarrow{\quad} \text{split exact seq}$

Recall ①  $\text{Ext}_\Lambda^1(X, Y) \xrightarrow{\cong} \{ \text{exact seq } 0 \rightarrow Y \xrightarrow{b} M \xrightarrow{a} X \rightarrow 0 \} / \cong$

$$\exists R\text{-bilinear map } \text{Ext}_\Lambda^1(X, Y) \times \text{Hom}_\Lambda(W, X) \rightarrow \text{Ext}_\Lambda^1(W, Y)$$

$$(\alpha, f) \longmapsto \alpha f$$

$$\alpha: 0 \rightarrow Y \xrightarrow{b} M \xrightarrow{a} X \rightarrow 0$$

$$\parallel \quad \uparrow \text{Pull back} \quad \uparrow f$$

$$\alpha f: 0 \rightarrow Y \xrightarrow{b'} M' \xrightarrow{a'} X \rightarrow 0$$

②  $X, Y \in \text{CM}(\Lambda)$ : indec

$$0 \rightarrow Y \xrightarrow{b} M \xrightarrow{a} X \rightarrow 0 \text{ : alm. spl. seq}$$

def  $\iff \begin{cases} \cdot \text{ non-split} \\ \cdot \forall f: W \rightarrow X \text{ (not split epi) factors through } a \end{cases}$

$\uparrow$   
 $\text{CM}(\Lambda)$

Lem 4.1  $X, Y \in \text{CM}(\Lambda)$  indec  $0 \neq \alpha \in \text{Ext}_\Lambda^1(X, Y) \iff$

(1)  $\alpha$  is an almost split seq.

(2)  $\forall f: W \rightarrow X$  (not split epi),  $\alpha f = 0$  in  $\text{Ext}_\Lambda^1(W, Y)$

$\uparrow$   
 $\text{CM}(\Lambda)$

Thm A(3)  $\Lambda$  iso sing  $X \in \text{CM}(\Lambda)$  indec non-proj

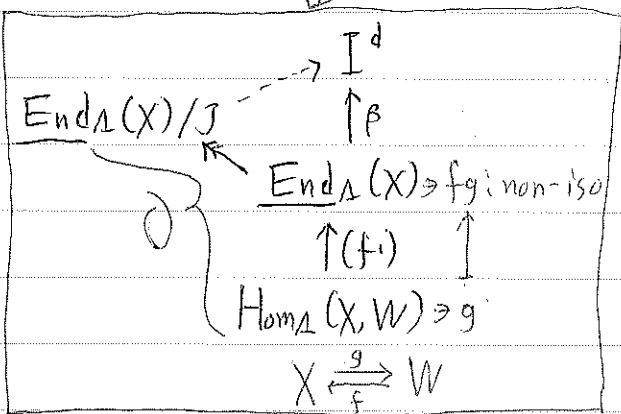
$\implies \exists$  alm. spl seq:  $0 \rightarrow \tau X \rightarrow M \rightarrow X \rightarrow 0$ .

$\text{End}_\Lambda(X)$ : local	$\text{End}_\Lambda(X)$	$D(\text{End}_\Lambda(X))$	$\xrightarrow{\text{AR dual}} \text{Ext}_\Lambda^1(X, \tau X)$
$\cup$	$\downarrow$	$\cup$	$\cup$
$J$ : max ideal	$\text{End}_\Lambda(X)/J$	$D(\text{End}_\Lambda(X)/J) \ni \beta$	$\longleftarrow \alpha$
$\cup$		$\#$ $0$	$\#$ $0$

$$P(X, X) = \left\{ \begin{array}{c} X \rightarrow X \\ \downarrow \uparrow \\ \text{proj} \end{array} \right\}$$

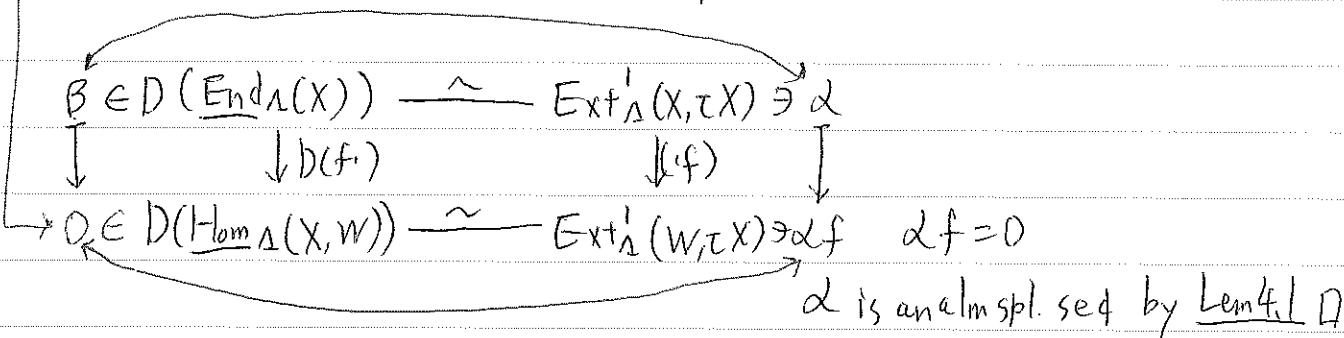
We shall prove  $\alpha$  is an alm. spl. seq.

$\forall f: W \rightarrow X$   
 $\uparrow$   
 $\text{CM}(\Lambda)$  not split epi



$fg \in \text{End}_\Lambda(X)$   
 $\uparrow \quad f \cdot \uparrow$   
 $g \in \text{Hom}_\Lambda(X, W)$

By functoriality of AR duality.



Thm B  $\cdot \cdot \cdot$  (1)  $\Lambda$ : iso sing.

(3)  $\text{CM}(\Lambda)$  is Hom-finite.

(2)  $\text{CM}(\Lambda)$  has almspl. seq

Lem 4.2  $\Lambda$ : not iso sing  $\exists \rho \neq m \exists X \in \text{CM}(\Lambda) \quad X \notin \text{proj } \Lambda_\rho$

Rem  $(\ )_\rho: \text{CM}(\Lambda) \rightarrow \text{CM}(\Lambda_\rho)$  dense up to summands  $\mid (\ )_{\rho} \text{ mod } \Lambda \rightarrow \text{mod } \Lambda_\rho$   
 $\Lambda$  R-order  $\ni \gamma$   $\forall X$  is a summand of  $\gamma_\rho$  dense

proof of 4.2  $\exists \rho \neq m \quad \Lambda_\rho$  singular ht  $\rho = d-1$  gl. dim  $\Lambda_\rho \geq d-1$ .

$\exists S$ : simple  $\Lambda_\rho$ -module  $\text{pd}_{\Lambda_\rho}(S) \geq d$ .

$\exists X \in \text{mod } \Lambda \quad X_\rho \simeq S$  without loss of generality,

we may assume depth  $X \geq 1$ .

(Replace  $X$  by  $X/X'$  maximal fin. length sub)

$Y := \Omega^{d-1}(X) \in \text{CM}(\Lambda)$

$Y_{\rho i} = \Omega_{\Lambda_\rho}^{d-1}(X_\rho) \simeq \Omega_{\Lambda_\rho}^{d-1}(S) \notin \text{proj } \Lambda_\rho \quad \square$

proof of 3.1 (3)  $\Rightarrow$  (1) Assume  $\Lambda$  is not iso sing

Take  $\beta \neq m$  and  $X \in CM(\Lambda)$  in Lem 4.2

$$\underline{End_{\Lambda}(X)}_{\beta} \simeq \underline{End_{\Lambda_{\beta}}(X_{\beta})} \neq 0 \quad \underline{End_{\Lambda}(X)} \notin f.l.R \quad \downarrow$$

$\uparrow$   
non-proj

(2)  $\Rightarrow$  (1) In Lem 4.1

(1)  $\Leftrightarrow$  (2)  $\Leftrightarrow$  (3)  $\forall \beta_{\neq 0} \in Ext_{\Lambda}^1(X, Z) \exists g: Hom_{\Lambda}(Z, Y) \quad \alpha = g\beta$   
 Assume  $\Lambda$  is not iso sing  $\uparrow$   
 $CM(\Lambda)$

Take  $\beta \neq m$  and  $X \in CM(\Lambda)$  in Lem 4.2 ( $X_{\beta} \notin proj \Lambda_{\beta}$ )

Assume  $\alpha \in Ext_{\Lambda}^1(X, Y)$  is an alm. spl. seq.

$0 \rightarrow \Omega X \rightarrow P \rightarrow X \rightarrow 0$  Let  $\beta \in Ext_{\Lambda}^1(X, \Omega X)$  be proj. resd.  $Ext_{\Lambda_{\beta}}^1(X_{\beta}, \Omega_{\Lambda_{\beta}}(X_{\beta})) \neq 0$   
 $\beta \neq 0$  in  $Ext_{\Lambda}^1(X, \Omega X)_{\beta}$

Fix  $r \in m \setminus \beta \quad r^n \beta \neq 0 \quad (\forall n \geq 0)$

By 4.1 (1)  $\Rightarrow$  (3)  $\exists g_n \in Hom_{\Lambda}(\Omega X, Y)$

$$\alpha = g_n(r^n \beta) = r^n (g_n \beta) \in r^n Ext_{\Lambda}^1(X, Y)$$

$$\alpha \in \bigcap_{n \geq 0} m^n Ext_{\Lambda}^1(X, Y) = 0$$

$$\alpha = 0 \quad \times \quad \square \quad \underline{Thm B \text{ おかゆ}}$$

§5 Representation-finite case.

$\Lambda$ : R-order R-complete.

Def  $\Lambda$ : representation-finite  $\iff$  only finitely many isomorphism classes of indecomp CM  $\Lambda$ -modules.

Cor C  $\Lambda$ : rep-finite  $\implies$  iso sing

Prop 5.1  $\Lambda$ : rep-fin  $\implies$  CM( $\Lambda$ ) has almost split sequences

(Thm B + Prop 5.1  $\implies$  Cor C)

proof Enough to show:  $\forall X \in \text{CM}(\Lambda): \text{indec} \exists a: Y \rightarrow X$   $\left\{ \begin{array}{l} \cdot a \text{ is not a split epi.} \\ \cdot \forall f: W \rightarrow X \text{ (not split epi)} \\ \cdot f \text{ factors through } a \end{array} \right.$

$$M := \bigoplus_{Y \in \text{CM}(\Lambda) \text{ indec}} Y \quad \text{mod } R$$

$$\text{rad}(M, X) := \{ f \in \text{Hom}_\Lambda(M, X) \mid f \text{ is not a split epi} \}$$

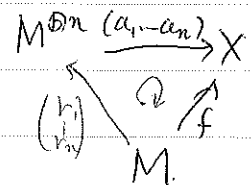
$X$ : indec Krull-Schmidt  $\implies$   $\text{rad}(M, X)$  is a sub R-module of  $\text{Hom}_\Lambda(M, X)$   
 (②  $\text{End}_\Lambda(X)$ : local)

Take generators  $a_1, a_2, \dots, a_n$  of the R-mod  $\text{rad}(M, X)$

$$a := (a_1, a_2, \dots, a_n): M^{\oplus n} \rightarrow X$$

$\cdot a$  is not a split epi (② Krull-Schmidt)

$\cdot \forall f: M \rightarrow X$  not split epi  $\exists r_1, \dots, r_n \in R. f = r_1 a_1 + \dots + r_n a_n$   
 $f \in \text{rad}(M, X)$

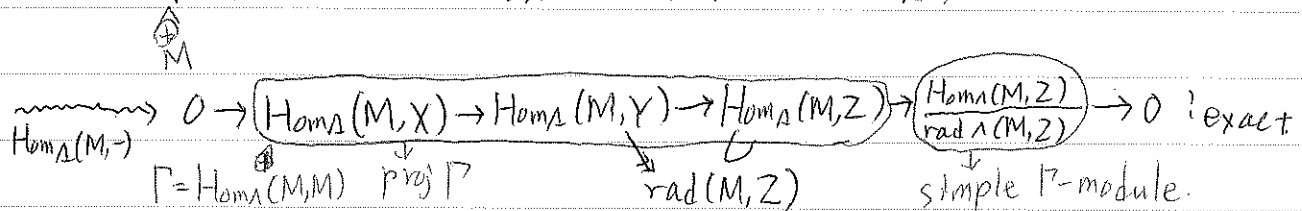


② is checked  $\square$

Rem  $\Lambda$ : rep-fin.  $M := \bigoplus_{X \in \text{CM}(\Lambda): \text{indec}} X$   $\Gamma := \text{End}_\Lambda(M)$  Auslander algebra of  $\Lambda$

almost split sequences in  $\text{CM}(\Lambda) = \text{min proj resolution of simple } \Gamma\text{-modules}$

$0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$ : almost split seq in  $\text{CM}(\Lambda)$



(AR quiver of  $\Lambda$ ) = (quiver of  $\Gamma$ )  
 $\frac{\text{rad}(\Gamma)}{\text{rad}^2(\Gamma)}$  defined in Mizuno's lecture  $\frac{\text{rad} \Gamma}{\text{rad}^2 \Gamma}$

Recall Assume  $k = R/m$  is algebraically closed

$\Gamma$ : module-finite  $R$ -algebra  $J$ : Jacobson radical  
 quiver of  $\Gamma$ :  $\Gamma/J \simeq M_{e_1}(k) \times \dots \times M_{e_n}(k)$  (Artin-Wedder)  
 $e_{i_1} + \dots + e_{i_1}$   $e_{n_1} + \dots + e_{n_1}$

vertices:  $1 \sim n$   
 arrows:  $d_{ij} := \dim_k (e_{ii}(J/J^2)e_{jj})$  ( $i, j = 1 \sim n$ )  
 Draw  $d_{ij}$  arrows from  $i$  to  $j$

Example  $G \subset GL_2(k)$  char  $k = 0$ .  $S := k[x, y] \supset S^G =: \Lambda$

Hoshi's lecture  $\cdot \Lambda$  is rep-fin (add  $S = \text{CM}(\Lambda)$ )

$\cdot S * G$  is an Auslander alg ( $\text{End}_{\Lambda}(S) \simeq S * G$ )

(S, n)  $\cdot$  (AR quiver of  $\Lambda$ ) = (quiver  $S * G$ )

$\Gamma = S * G = S \otimes_k kG$ .  $\Gamma/J \simeq kG$  (McKay quiver of  $G$ )

$J = \begin{matrix} \otimes & \otimes & \otimes \\ \otimes & \otimes & \otimes \\ \otimes & \otimes & \otimes \end{matrix} kG \otimes_k n$   
 $J^2 = \begin{matrix} \otimes & \otimes & \otimes \\ \otimes & \otimes & \otimes \\ \otimes & \otimes & \otimes \end{matrix} kG \otimes_k n^2$

$e_{i_1} + \dots + e_{i_1}$   $e_{n_1} + \dots + e_{n_1}$

$\Gamma/J = kG = M_{e_1}(k) \times \dots \times M_{e_n}(k)$   $V_i$   $V_n$  irreducible rep  $G$

quiver  $S * G$ . vertices:  $1 \sim n \leftrightarrow e_{ii}(kG) \sim e_{ni}(kG)$  simple  $kG$ -module

$J/J^2 \simeq kG \otimes \left( \frac{n}{n^2} \right)$   $e_{ii}(kG \otimes V) e_{jj} = (V_i \otimes V) e_{jj} = \begin{cases} \text{multiplicity of } V_j \\ \text{in } V_i \otimes V \end{cases}$

□

Rem For general order, we can prove existence of almost split sequences (non rep-fin)

cat of functors  $F: \text{CM}(\Lambda) \rightarrow \text{Ab}$

by a similar way as in Prop 5.1 by using functor categories  $\text{mod}(\text{CM}(\Lambda))$

instead of  $\text{mod} \Gamma$



§6 Gorenstein and symm orders fin. dim. alg

Def  $\Lambda$ : R-order  $\Lambda$ : Gorenstein  $\stackrel{\text{def}}{\iff} W_\Lambda \in \text{proj } \Lambda \iff \text{selfinj}$   
 $\Lambda$ : symmetric  $\stackrel{\text{def}}{\iff} \Lambda \simeq W_\Lambda$  as  $(\Lambda, \Lambda)$ -modules  $\iff \text{symm}$   
 $\Lambda$ : Iwanaga-Gorenstein  $\stackrel{\text{def}}{\iff} \text{inj dim } \Lambda < \infty \ \& \ \text{inj dim } \Lambda_\Lambda < \infty \iff \text{Iwanaga-Gorenstein}$

symm  $\Rightarrow$  Gorenstein  $\Rightarrow$  Iwanaga-Gorenstein  
 $(\text{inj dim } \Lambda = d = \text{inj dim } \Lambda_\Lambda)$

properties  $\Lambda$ : Gorenstein order isolated sing

$\Omega: \underline{\text{CM}}(\Lambda) \simeq \underline{\text{CM}}(\Lambda)$   
 $\bullet \underline{\text{CM}}(\Lambda) = \overline{\text{CM}}(\Lambda)$   
 $\tau: \underline{\text{CM}}(\Lambda) \simeq \underline{\text{CM}}(\Lambda)$

Prop  $\Lambda$ : symm R-order isolated sing  $\Rightarrow (1) \tau \simeq \Omega^{2-d}$

proof  $\tau = ( )^\vee \Omega^d \text{Tr} \simeq ( )^\vee \Omega^{d-2} ( )^* \simeq \Omega^{2-d} ( )^\vee ( )^* \simeq \Omega^{2-d}$   
 $\Omega^2 \text{Tr} \simeq ( )^* ( )^\vee \Omega \simeq \Omega ( )^\vee ( )^* = \text{Hom}_\Lambda( -, \Lambda) = ( )^\vee \quad \square$

(2)  $\exists$  functorial iso.

$\underline{\text{Hom}}_\Lambda(X, Y) \simeq D \underline{\text{Hom}}_\Lambda(Y, \Omega^{1-d} X) \quad (X, Y \in \underline{\text{CM}}(\Lambda))$   
 $\parallel \quad \parallel$   
 $X[d-1] \quad \{ X \in \underline{\text{CM}}(\Lambda) \mid \forall \beta \neq m, X_\beta \in \text{proj } \Lambda_\beta \}$

Rem  $\Lambda$ : Gorenstein  $\Rightarrow \underline{\text{CM}}(\Lambda)$  has a natural structure of triangulated category

$\{ \cdot [1] = \Omega^{-1} \underline{\text{CM}}(\Lambda) \simeq \underline{\text{CM}}(\Lambda) \}$   
 $\{ \text{triangles are given by short exact seq in } \underline{\text{CM}}(\Lambda) \}$

$\Lambda$ : symmetric  $\Rightarrow \underline{\text{CM}}(\Lambda)$  is a  $(d-1)$ -Calabi-Yau triangulated category  
 isolated sing

Tachikawa

Application AR conjecture  $\Lambda$ : Gorenstein R-order  $M \in \underline{\text{CM}}(\Lambda)$   
 $\text{Ext}_\Lambda^{>0}(M, M) = 0 \Rightarrow M \in \text{proj } \Lambda$

**Thm [Araya]**  $\Lambda$ : symmetric R-order  $\text{gl.dim } \Lambda_{\mathfrak{p}} = 1$  ( $\forall \mathfrak{p}$  ht 1. prime ideal)  
 $\Rightarrow$  ARC is true

proof.  $M \in \text{CM}(\Lambda)$   $\text{Ext}_{\Lambda}^{\geq 0}(M, M) = 0$   
 non-proj

Take minimal  $\mathfrak{p}$  s.t.  $M_{\mathfrak{p}} \notin \text{proj } \Lambda_{\mathfrak{p}}$  ht  $\mathfrak{p} = n > 1$

$M'_{\mathfrak{p}} \in \text{CM}_0(\Lambda_{\mathfrak{p}})$

By AR duality for  $\text{CM}_0(\Lambda_{\mathfrak{p}})$

$$\begin{aligned} 0 \neq \underline{\text{Hom}}_{\Lambda_{\mathfrak{p}}}(M_{\mathfrak{p}}, M_{\mathfrak{p}}) &\simeq D \underline{\text{Hom}}_{\Lambda_{\mathfrak{p}}}(M_{\mathfrak{p}}, \Omega_{\Lambda_{\mathfrak{p}}}^{1-n} M_{\mathfrak{p}}) \\ &= D \text{Ext}_{\Lambda_{\mathfrak{p}}}^{n-1}(M_{\mathfrak{p}}, M_{\mathfrak{p}}) \\ &= D \text{Ext}_{\Lambda}^{n-1}(M, M)_{\mathfrak{p}} = 0 \quad \times \quad \square \end{aligned}$$

$d=1$   $\begin{pmatrix} R & m^i & m^j \\ m^i & R & m^k \\ m^j & m^k & R \end{pmatrix}$  tiled. R-order.

Bass order := ( $\forall$  overorder is Gorenstein order) type (I) ~ (V)

simple sing type  $A_n$

(I)  $M_2(k)$   $M_2(k[[x]]) \supset \Lambda_n := \left\{ \begin{array}{l} A_0 + A_1x + A_2x^2 + \dots \\ A_i \in M_2(k) \end{array} \mid A_0 \sim A_{n+1} \in k \right\}$

$\downarrow$   
 $K$   
 $\cup$  quad ext  
 $\downarrow$   
 $k$

$(k[[x]] \mid k[[x]]) \xrightleftharpoons[(2,1)]{(1,2)} \Lambda_1 \iff \Lambda_2 \iff \dots \iff \Lambda_n$