

## Mutation and $g$ -vectors in $\tau$ -tilting theory

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Let  $A_Q$  be a cluster algebra associated with a quiver  $Q$ . For a potential  $W$ , the cluster category  $\mathcal{C}_{Q,W}$  is defined by the Ginzburg dg algebra  $\Gamma_{Q,W}$ . We denote by  $\text{c-tilt } \mathcal{C}_{Q,W}$  the set of isomorphism classes of basic cluster-tilting objects, and  $\text{c-tilt}_0 \mathcal{C}_{Q,W}$  the subset consisting of *reachable* cluster-tilting objects (i.e. cluster-tilting objects which are obtained from  $\Gamma_{Q,W}$  by iterated mutation). When  $(Q, W)$  is non-degenerate, we have a bijection

$$(1) \quad \text{c-tilt}_0 \mathcal{C}_{Q,W} \rightarrow \{\text{clusters in } A_Q\}$$

which commutes with mutation [CKLP] after works by a number of authors.

$\tau$ -tilting theory [AIR] provides another framework of categorifying cluster algebras, which is simpler since it deals with the Jacobian algebra  $P_{Q,W}$  instead of the Ginzburg dg algebra  $\Gamma_{Q,W}$ . It is interesting from a representation theoretic viewpoint since it works for arbitrary finite dimensional algebras.

**1.  $\tau$ -tilting theory** Let  $k$  be an algebraically closed field and  $\Lambda$  a basic finite dimensional  $k$ -algebra. We denote by  $\text{mod } \Lambda$  the category of finitely generated left  $\Lambda$ -modules, and  $\text{proj } \Lambda$  (respectively,  $\text{inj } \Lambda$ ) the full subcategory consisting of projective (respectively, injective) modules. We have an equivalence  $\nu := (D\Lambda) \otimes_{\Lambda} - : \text{proj } \Lambda \rightarrow \text{inj } \Lambda$  called *Nakayama* functor. For  $M \in \text{mod } \Lambda$ , we denote by  $P_1^M \xrightarrow{f} P_0^M \rightarrow M \rightarrow 0$  a minimal projective presentation. The *AR-translation* of  $M$  is defined by an exact sequence  $0 \rightarrow \tau M \rightarrow \nu(P_1^M) \xrightarrow{\nu(f)} \nu(P_0^M)$ .

Clearly  $\tau M = 0$  if  $M$  is projective. Moreover  $\tau$  gives a bijection between the set of isomorphism classes of indecomposable non-projective  $\Lambda$ -modules and the set of isomorphism classes of indecomposable non-injective  $\Lambda$ -modules.

The notion of  $\tau$ -rigid modules appeared in an old work by Auslander-Smalø:

**Definition 1** Let  $M \in \text{mod } \Lambda$ . We call  $M$   *$\tau$ -rigid* if  $\text{Hom}_{\Lambda}(M, \tau M) = 0$ . We call  $M$   *$\tau$ -tilting* if it is  $\tau$ -rigid and  $|M| = |\Lambda|$  holds, where  $|M|$  is the number of non-isomorphic indecomposable direct summands of  $M$ . We call  $M$  *support  $\tau$ -tilting* if there exists an idempotent  $e$  of  $\Lambda$  such that  $M$  is a  $\tau$ -tilting  $(\Lambda/\langle e \rangle)$ -module.

We denote by  $s\tau\text{-tilt } \Lambda$  the set of isomorphism classes of basic support  $\tau$ -tilting  $\Lambda$ -modules. We give a few examples.

**Example 2** (a)  $\Lambda$  and  $0$  always belong to  $s\tau\text{-tilt } \Lambda$ .

(b) If  $\Lambda$  is local, then  $s\tau\text{-tilt } \Lambda = \{\Lambda, 0\}$ .

(c) [M] Let  $\Pi$  be a preprojective algebra of a Dynkin quiver  $Q$ ,  $W$  the corresponding Weyl group, and  $I_i := \langle 1 - e_i \rangle$  a two-sided ideal of  $\Pi$  for the vertex  $i$  of  $Q$ . Then there exists a bijection  $W \rightarrow s\tau\text{-tilt } \Pi$  sending  $w \in W$  to  $I_w := I_{i_1} \cdots I_{i_\ell}$ , where  $w = s_{i_1} \cdots s_{i_\ell}$  is an arbitrary reduced expression of  $w$ .

(d) For the path algebra  $kQ$  of the quiver  $Q$  of type  $A_2$ ,  $s\tau\text{-tilt}(kQ)$  consists of 5 elements  $kQ$ ,  $D(kQ)$ ,  $S_1$ ,  $S_2$  and  $0$ . This is a special case of the next (e).

(e) Let  $\mathcal{C}$  be a 2-Calabi-Yau triangulated category with a cluster-tilting object  $T$ . Then we have a bijection

$$(2) \quad \text{c-tilt } \mathcal{C} \rightarrow s\tau\text{-tilt } \text{End}_{\mathcal{C}}(T), \quad U \mapsto \text{Hom}_{\Lambda}(T, U).$$

When  $\mathcal{C} = \mathcal{C}_{Q,W}$  and  $T = \Gamma_{Q,W}$  for a non-degenerate Jacobi-finite potential  $(Q, W)$ , by combining (1) and (2) we have a bijection

$$s\tau\text{-tilt}_0 P_{Q,W} \rightarrow \{\text{clusters in } A_Q\}$$

for the Jacobian algebra  $P_{Q,W}$ , where  $s\tau\text{-tilt}_0 P_{Q,W}$  is the set of *reachable* support  $\tau$ -tilting  $P_{Q,W}$ -modules.

To introduce mutation of support  $\tau$ -tilting modules, we need to deal with pairs  $(M, P)$  with  $M \in \text{mod } \Lambda$  and  $P \in \text{proj } \Lambda$ . We call  $(M, P)$   *$\tau$ -rigid* if  $M$  is  $\tau$ -rigid and  $\text{Hom}_{\Lambda}(P, M) = 0$ . We call  $(M, P)$  *support  $\tau$ -tilting* if  $(M, P)$  is  $\tau$ -rigid and  $|M| + |P| = |\Lambda|$ .

**Theorem 3** Let  $(M, P)$  be a basic  $\tau$ -rigid-pair for  $\Lambda$ .

(a)  $(M, P)$  is a direct summand of some  $(N, Q) \in s\tau\text{-tilt } \Lambda$  (i.e.  $M$  and  $P$  are direct summands of  $N$  and  $Q$  respectively).

(b) If  $|M| + |P| = |\Lambda| - 1$ , then  $(M, P)$  is a direct summand of precisely two elements  $(N_i, Q_i) \in s\tau\text{-tilt } \Lambda$  ( $i = 1, 2$ ).

(c) [J] There exist finite dimensional  $k$ -algebra  $\Gamma$  with  $|M| + |P| = |\Lambda| - |\Gamma|$  and a bijection  $\{(N, Q) \in s\tau\text{-tilt } \Lambda \mid (N, Q) \text{ has } (M, P) \text{ as a direct summand}\} \rightarrow s\tau\text{-tilt } \Gamma$ .

Note that (b) is a special case of (c) since  $|\Gamma| = 1$  implies  $s\tau\text{-tilt } \Gamma = \{\Gamma, 0\}$ .

We call  $(N_1, Q_1)$  and  $(N_2, Q_2)$  in (b) above *mutation* of each other. The *exchange graph* of  $\Lambda$  has the set  $s\tau\text{-tilt } \Lambda$  of vertices and edges correspond to mutation.

It is important to know the number of connected components of the exchange graph. The partial order gives an effective tool.

**2. Partial order** The partial order on tilting modules due to Riedtmann-Schofield and Happel-Unger can be extended to support  $\tau$ -tilting modules.

A *torsion class* is a full subcategory  $\mathcal{T}$  in  $\text{mod } \Lambda$  which is closed under factor modules and extensions. We call a torsion class *functorially finite* if there exists  $M \in \text{mod } \Lambda$  such that  $\mathcal{T} = \text{Fac } M$ , where  $\text{Fac } M$  is the full subcategory of  $\text{mod } \Lambda$  consisting of all factor modules of finite direct sums of copies of  $M$ .

**Theorem 4** (a) There exists a bijection from  $s\tau\text{-tilt } \Lambda$  to the set of all functorially finite torsion classes in  $\text{mod } \Lambda$ . Hence  $s\tau\text{-tilt } \Lambda$  has a natural partial order, i.e. we define  $M \geq N$  if and only if  $\text{Fac } M \supset \text{Fac } N$ . Clearly  $\Lambda$  is a unique maximal element and  $0$  is a unique minimal element.

(b) [DIJ] Let  $M \in s\tau\text{-tilt } \Lambda$ , and let  $\mathcal{T}$  be a torsion class in  $\text{mod } \Lambda$ . If  $\text{Fac } M \supsetneq \mathcal{T}$  (respectively,  $\text{Fac } M \subsetneq \mathcal{T}$ ), then there exists a mutation  $N$  of  $M$  such that  $\text{Fac } M \supsetneq \text{Fac } N \supset \mathcal{T}$  (respectively,  $\text{Fac } M \subsetneq \text{Fac } N \subset \mathcal{T}$ ).

(c) The exchange graph of  $s\tau\text{-tilt } \Lambda$  coincides with the Hasse graph of  $s\tau\text{-tilt } \Lambda$ .

Note that (c) is an easy consequence of (b).

We call a finite dimensional  $k$ -algebra  $\Lambda$   *$\tau$ -rigid-finite* if there exists only finitely many indecomposable  $\tau$ -rigid  $\Lambda$ -modules, or equivalently,  $s\tau\text{-tilt } \Lambda$  is a finite set

[DIJ]. For example, any representation-finite algebra and any local algebra are  $\tau$ -rigid-finite. Any preprojective algebra  $\Pi$  of Dynkin type is also  $\tau$ -rigid-finite (Example 2(c)), and the partially ordered set  $s\tau\text{-tilt}\Pi$  is isomorphic to  $W$  with respect to the opposite of weak order.

It is an interesting question to classify  $\tau$ -rigid-finite algebras.

Another easy consequence of (b) above is the following result.

**Corollary 5** [DIJ] A finite dimensional  $k$ -algebra  $\Lambda$  is  $\tau$ -rigid-finite if and only if any torsion class in  $\mathbf{mod}\Lambda$  is functorially finite. In this case, the exchange graph of  $s\tau\text{-tilt}\Lambda$  is connected.

This is an analog of a classical result: A finite dimensional  $k$ -algebra  $\Lambda$  is representation-finite if and only if any subcategory in  $\mathbf{mod}\Lambda$  is functorially finite.

**3.  $g$ -vectors** A combinatorial invariant of  $\tau$ -rigid pairs is given by  $g$ -vectors. Let  $\Lambda$  be a basic finite dimensional  $k$ -algebra such that  $1 = e_1 + \cdots + e_n$  for primitive orthogonal idempotents  $e_1, \dots, e_n$ . The Grothendieck group  $K_0(\mathbf{proj}\Lambda)$  of an additive category  $\mathbf{proj}\Lambda$  is a free abelian group with a basis  $[e_1\Lambda], \dots, [e_n\Lambda]$ .

**Theorem 6** [AIR, DIJ] (a) If  $(M, P)$  is a  $\tau$ -rigid pair, then  $P_0^M$  and  $P_1^M \oplus P$  have no non-zero common direct summands. We define the  $g$ -vector (or *index*) of  $(M, P)$  as

$$g^{(M,P)} := [P_0^M] - [P_1^M \oplus P] \in K_0(\mathbf{proj}\Lambda).$$

(b)  $\tau$ -rigid pairs are determined by their  $g$ -vectors.

(c) Let  $(M, P) \in s\tau\text{-tilt}\Lambda$ . Then  $g$ -vectors of indecomposable direct summands of  $(M, P)$  give a basis of  $K_0(\mathbf{proj}\Lambda)$ . Let  $C(M, P)$  be the cone in  $K_0(\mathbf{proj}\Lambda) \otimes_{\mathbf{Z}} \mathbf{R}$  spanned by these basis elements.

(d) Different cones intersect only at their boundaries.

(e) If  $\Lambda$  is  $\tau$ -rigid-finite, then  $\bigcup_{(M,P) \in s\tau\text{-tilt}\Lambda} C(M, P) = K_0(\mathbf{proj}\Lambda) \otimes_{\mathbf{Z}} \mathbf{R}$ .

For the preprojective algebra  $\Pi$  of Dynkin type, the cones  $C(M, P)$  are precisely Weyl chambers.

Note that  $\tau$ -rigid pairs for  $\Lambda$  have a structure of a simplicial complex, and a geometric realization is given by  $g$ -vectors. If  $\Lambda$  is  $\tau$ -rigid-finite, then it is homeomorphic to an  $(n - 1)$ -sphere as an easy consequence of (d) and (e) above.

We conjecture that  $g$ -vectors determine the partial order on  $s\tau\text{-tilt}\Lambda$ .

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